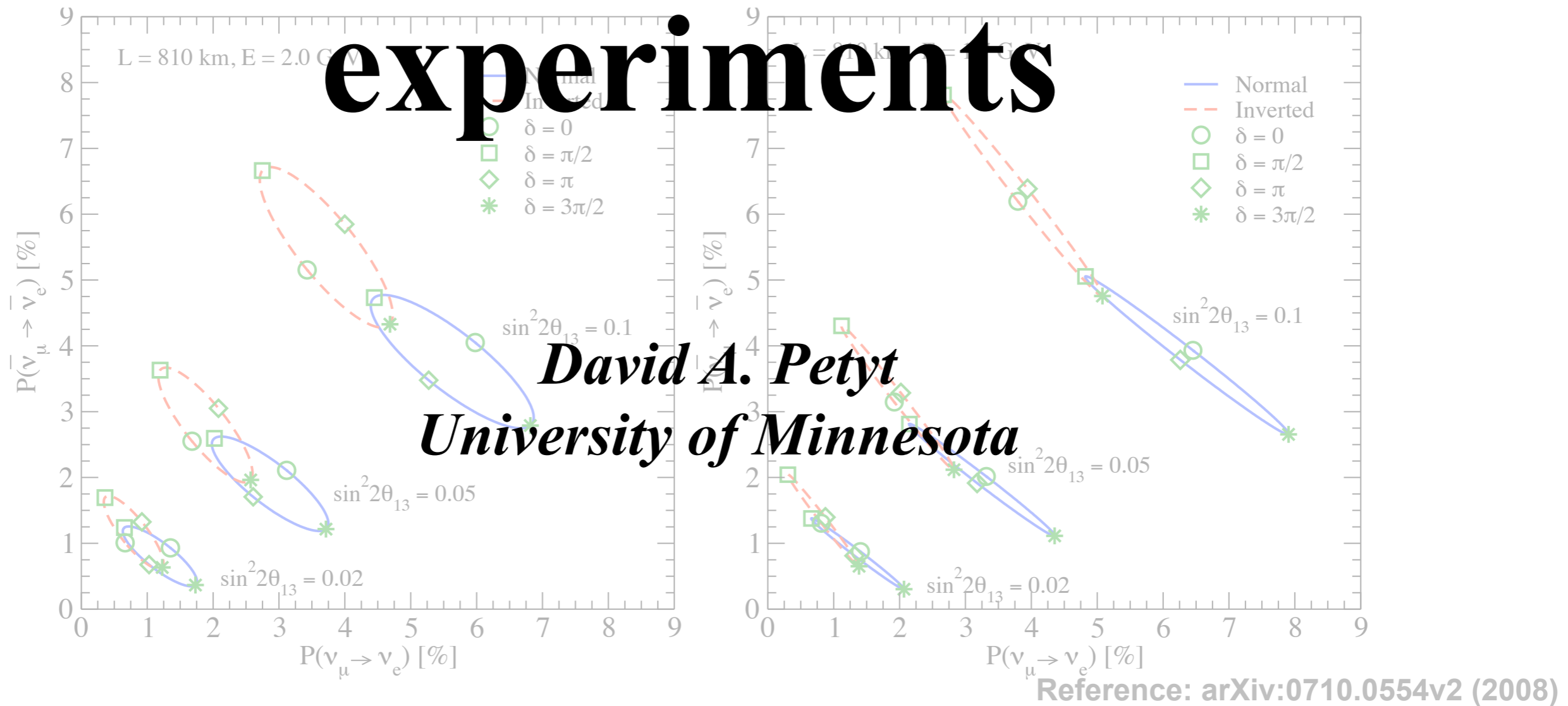


# Review of long-baseline neutrino oscillation experiments



*David A. Petyt*  
*University of Minnesota*

**45th Karpacz Winter School in Theoretical Physics**  
**February 1-12 2009**

# Overview of the talk

- Brief history of the neutrino
- Neutrino oscillations - theoretical and experimental considerations
- Open questions in neutrino oscillation physics
- Past and present long-baseline experiments
- Near and long-future long-baseline experiments
- Summary and outlook

# The neutrino in particle physics

## The Discoveries

- 1930: “Invisible particle” postulated by Pauli
- 1933: Neutrino named by Fermi, theory of Weak interactions
- 1956: Reines/Cowan experiment observes Electron neutrino
- 1962: Observation of Muon Neutrino
- 1977: Observation of tau lepton - 3 lepton flavours
- 1991: Z line-width analysis at LEP → 3 light neutrinos
- 2001: Discovery of Tau Neutrino by DONUT

## The Anomalies

- 1969: “Missing” solar neutrinos in Homestake experiment. *Confirmed in 1989-92 by Kamiokande, SAGE and GALLEX*
- 1988: Kamiokande observes deficit of atmospheric muon neutrinos. *Confirmed 1995-1998 by MACRO, Soudan 2, Super-Kamiokande*
- 1995: LSND anomaly - sees excess of  $\nu_e$  in muon neutrino beam. *Disfavoured by MiniBoone analysis in 2007*

# Neutrino oscillations

- Neutrino flavour oscillations possible if neutrinos have non-zero and non-degenerate masses.

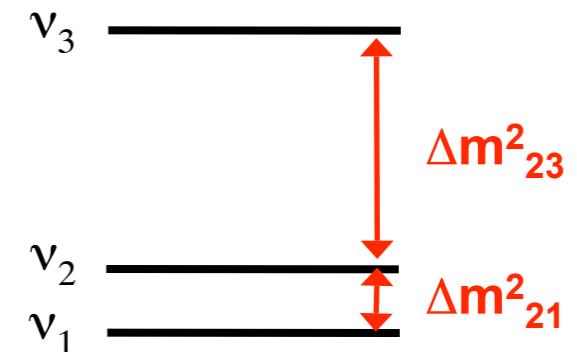
$$\text{flavour eigenstates} \begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{pmatrix} = U \begin{pmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \end{pmatrix} \text{mass eigenstates}$$

## PMNS Unitary mixing matrix

$$U = \begin{bmatrix} 1 & 0 & 0 \\ 0 & c_{23} & s_{23} \\ 0 & -s_{23} & c_{23} \end{bmatrix} \begin{bmatrix} c_{13} & 0 & s_{13}e^{-i\delta} \\ 0 & 1 & 0 \\ -s_{13}e^{i\delta} & 0 & c_{13} \end{bmatrix} \begin{bmatrix} c_{12} & s_{12} & 0 \\ -s_{12} & c_{12} & 0 \\ 0 & 0 & 1 \end{bmatrix}$$

$$= \begin{bmatrix} c_{12}c_{13} & s_{12}c_{13} & s_{13}e^{-i\delta} \\ -s_{12}c_{23} - c_{12}s_{23}s_{13}e^{i\delta} & c_{12}c_{23} - s_{12}s_{23}s_{13}e^{i\delta} & s_{23}c_{13} \\ s_{12}s_{23} - c_{12}c_{23}s_{13}e^{i\delta} & -c_{12}s_{23} - s_{12}c_{23}s_{13}e^{i\delta} & c_{23}c_{13} \end{bmatrix}$$

## Neutrino mass hierarchy



## Two flavour formalism

$$P(\nu_\alpha \rightarrow \nu_\alpha) = 1 - \sin^2 2\theta \sin^2 (1.27 \Delta m^2 L / E)$$

mixing amplitude

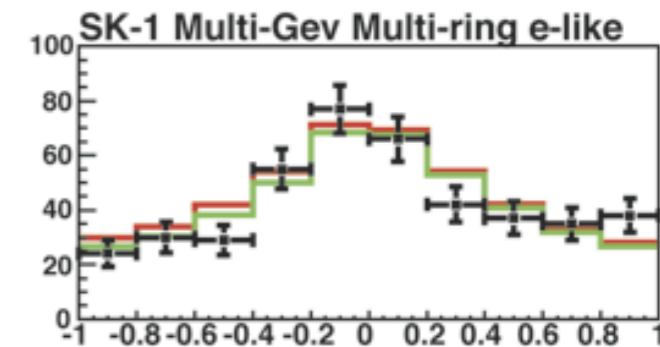
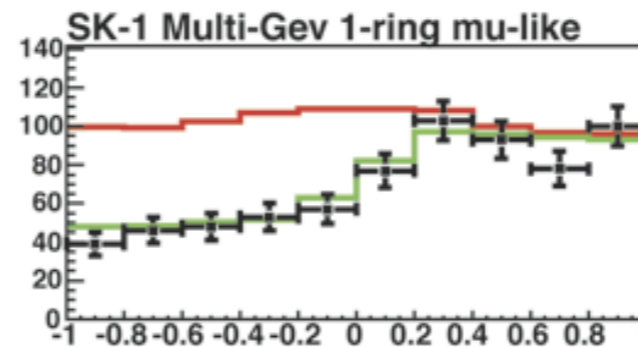
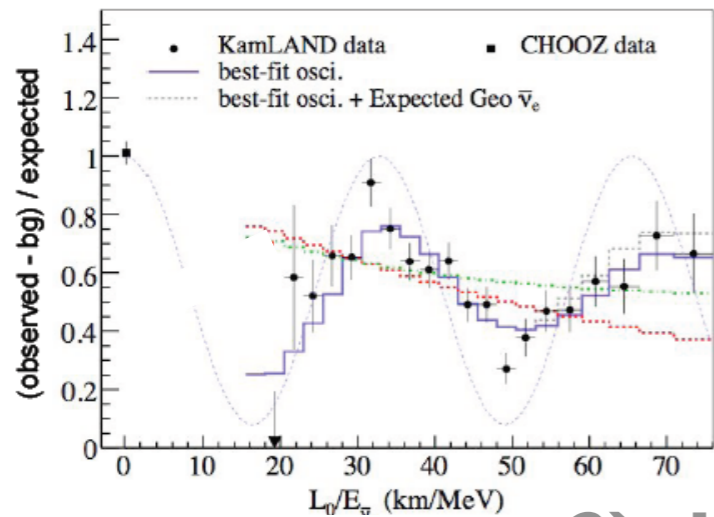
phase experimental  
variables

# Neutrino oscillations - theory vs experiment

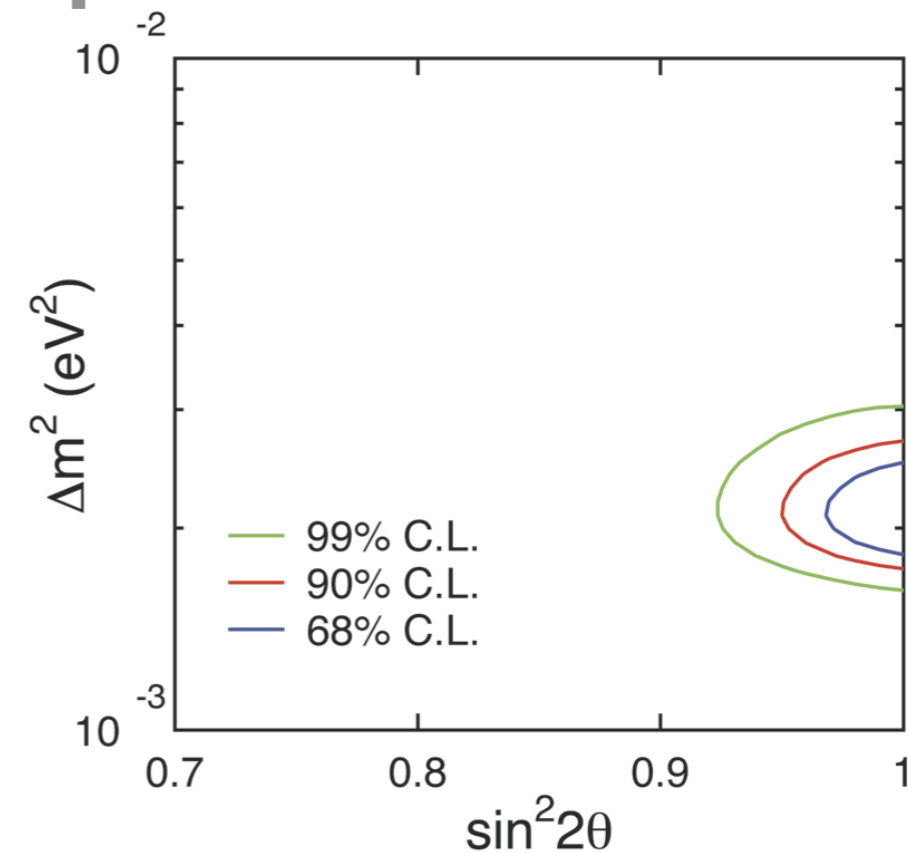
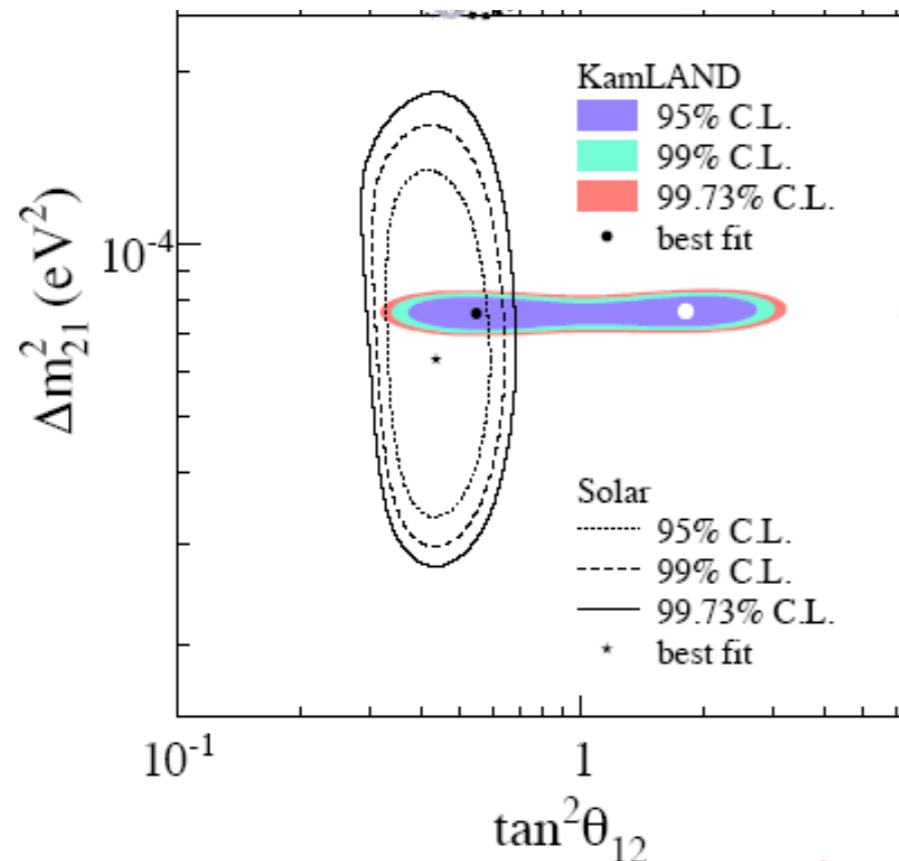
## Solar Neutrinos+Kamland

## Atmospheric neutrinos

### 1) neutrino disappearance as a function of L/E



### 2) derived oscillation parameters



**Phenomenon of neutrino oscillations well-established**  
**Nobel Prize in Physics 2002: R. Davis Jr, M. Koshiba**

# Long-baseline experiments

- Explore neutrino oscillation phenomena using controlled beams produced by particle accelerators
- Optimise beam energy and experimental baseline to maximise sensitivity in region of oscillation phase space suggested by atmospheric neutrino data
- Two classes of search:
  - **Disappearance measurement**: search for a deficit of neutrinos of a given flavour (typically  $\nu_\mu$ ) as a function of energy and neutrino path length
  - **Appearance measurement**: search for the appearance of neutrino of flavour  $\nu_x$ , due to  $\nu_\mu \rightarrow \nu_x$  oscillations

# Two-detector approach

- Most long-baseline experiments adopt a two-detector approach:
  - A *Near detector* (or set of detectors) close to the neutrino source to measure the beam flux and composition in the absence of oscillations
  - A *Far detector* to measure the neutrino spectrum and flavour composition after the neutrinos have travelled sufficient distance to oscillate
- Although there are significant uncertainties in the prediction of the absolute beam flux and cross-sections, these are common to interactions in both Near and Far detectors.
  - By comparing events in the two detectors, one can significantly cancel these uncertainties.
  - The goal of long-baseline experiments is therefore make the fullest use of the Near detector data to predict the neutrino flux in the Far detector, using the Monte Carlo to make the required acceptance corrections.

# Open questions

that can be addressed by long-baseline experiments

- Is the atmospheric neutrino disappearance signature  $\nu_{\mu} \rightarrow \nu_{\tau}$ ?
  - are there light sterile neutrinos?
  - Can we verify the oscillation pattern?
- What is the value of the third mixing angle,  $\theta_{13}$ ?
- Is the mixing angle  $\theta_{23}$  maximal?
- What is the ordering of neutrino masses?
- Is there CP violation in the neutrino sector?



# Past/Current experiments

*K2K: 1999-2004*

*MINOS: 2005-present*

*CERN-Gran-Sasso: 2007-present*

# List of experiments and goals

- The principal goals of the first generation of long-baseline accelerator neutrino experiments was/is:
  - **K2K (1999-2004)**: confirm the oscillation signal observed in atmospheric neutrinos.
  - **MINOS (2005+)**: make precision  $<10\%$  measurement of the oscillation parameters, confirm oscillation pattern
  - **OPERA (2007+)**: confirm  $\nu_{\mu} \rightarrow \nu_{\tau}$  oscillation hypothesis by directly observing  $\tau$  decay signatures
- Secondary goals:
  - search for sub-dominant  $\nu_{\mu} \rightarrow \nu_e$  oscillations (**ALL**)
  - search for active  $\rightarrow$  sterile oscillations (**MINOS**)

# The K2K experiment

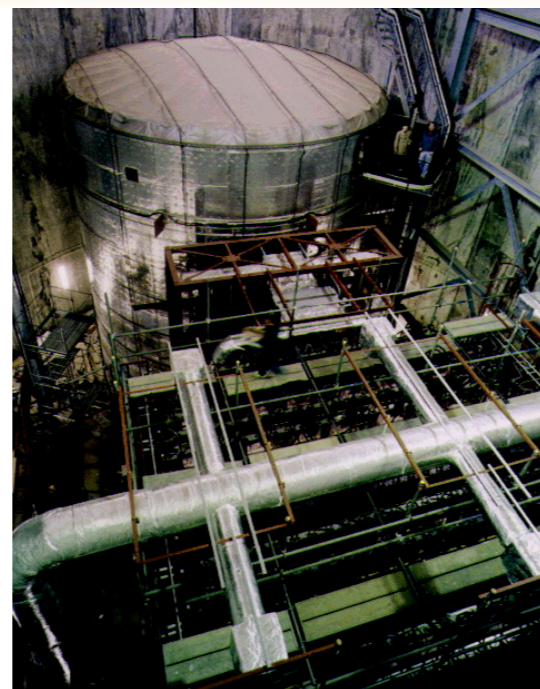
- The first long-baseline neutrino oscillation experiment using accelerator neutrinos



**Neutrino Beam**  
12 GeV PS at KEK

**Near Detectors**

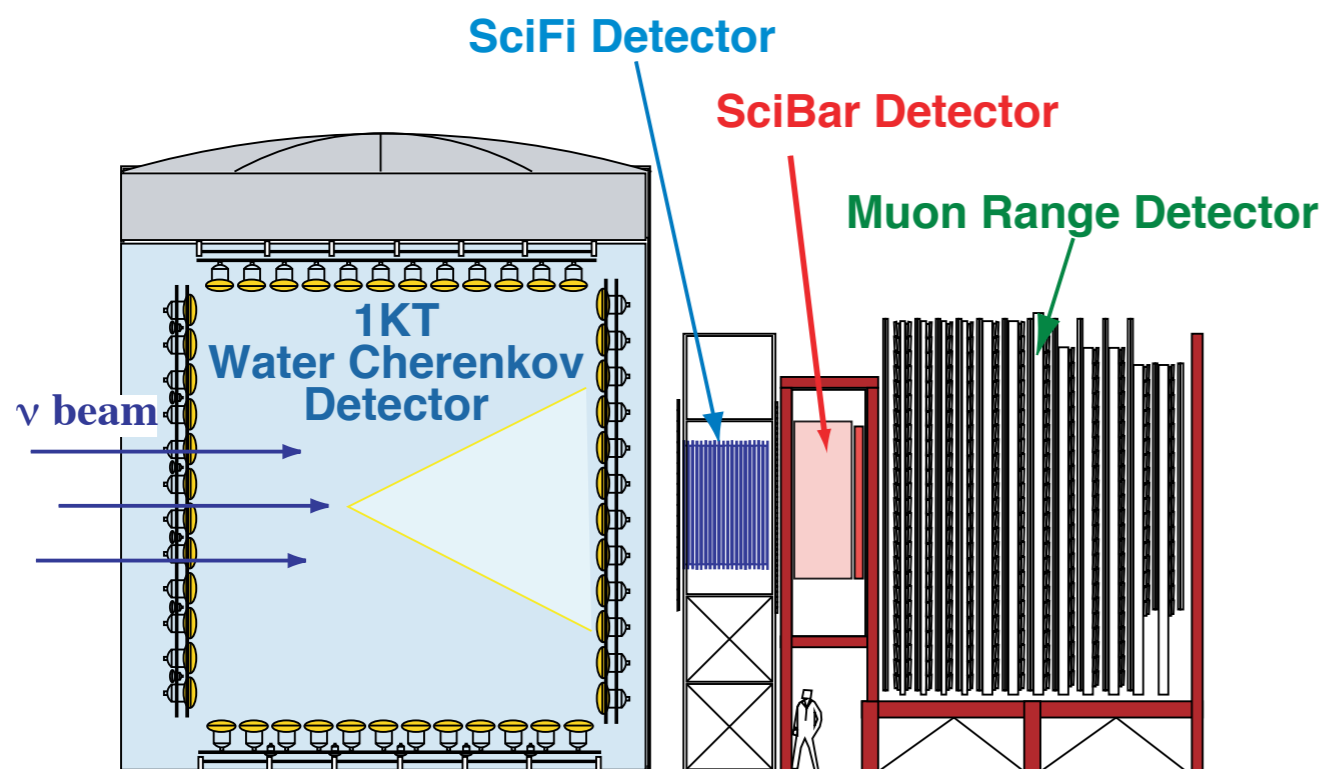
**Far Detector**  
Super-Kamiokande  
50 kT Water Cerenkov



# K2K physics goals and near detectors

- 12 GeV protons from KEK PS produce pure  $\nu_\mu$  beam with mean neutrino energy  $\sim 1$  GeV
  - together with the baseline from KEK to Super-K of 250 km, this allows an investigation of  $\nu_\mu$  disappearance in the  $\Delta m^2$  range  $10^{-3}$  to  $10^{-2}$  eV<sup>2</sup>  $\rightarrow$  **check of Super-K atm.  $\nu$  results using controlled neutrino beam**

## K2K near detector suite



- Neutrino flux measured by a suite of Near detectors:

**1kT Water Cherenkov:** smaller version of Super-K, uses same particle ID and reconstruction algorithms

**Sci(ntillating) Fi(bre) detector:** high resolution device for measuring charged tracks from neutrino interactions. Measures rates of QE and inelastic events

**Sci(ntillating) Bar detector:** high resolution, totally active device. Good sensitivity for low momentum charged particle tracks. Contains downstream EM calorimeter for measuring  $\pi^0$  production and beam  $\nu_e$  content

**Muon range detector:** 12 layers of iron/drift tubes ( $\sim 2$ m iron thickness) for muon range measurement. Acceptance: 0.3-2.8 GeV

# K2K analysis and physics results

- K2K prediction of  $\nu_\mu$  flux at Super-K:

$$\Phi_{SK} = \Phi_{ND} \times R_{FN}$$

measured flux at Near location

Far/Near flux ratio  
from HARP particle production data, validated by  
K2K beam MC and K2K pion monitor data

- Results based on exposure of  $0.922 \times 10^{20}$  POT (June 1999-Nov 2004)
  - observed **112**  $\mu$ -like events in Super-K, expected **158.1**
  - spectral distortion seen in FD  $E_\nu$  spectrum of 58 single ring  $\mu$ -like events

## Oscillation parameters:

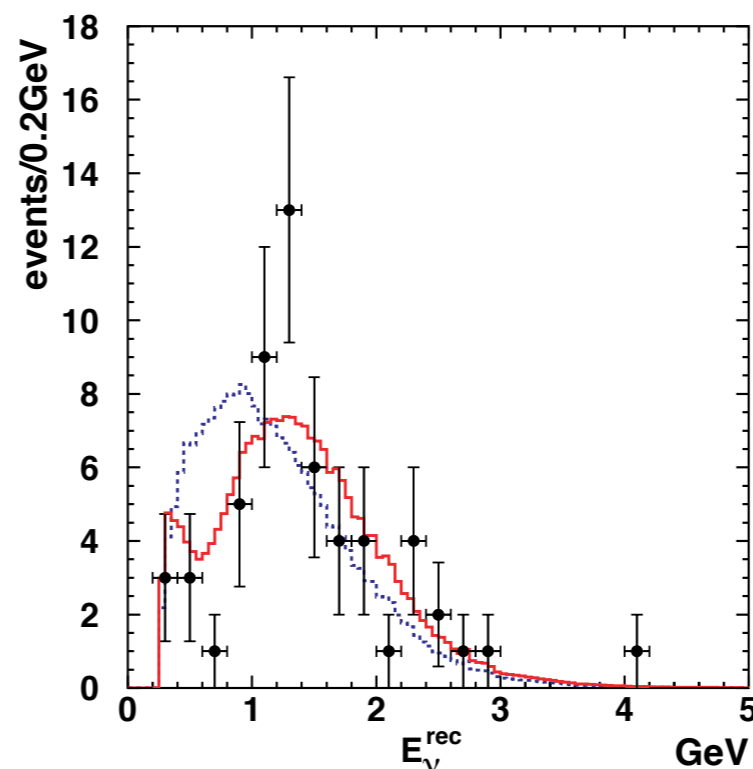
**$\sin^2 2\theta > 0.6$**  (90% C.L., 2 dof)

**$1.9 < \Delta m^2 < 3.1 \times 10^{-3} \text{ eV}^2$**   
(90% C.L., at  $\sin^2 2\theta = 1$ )

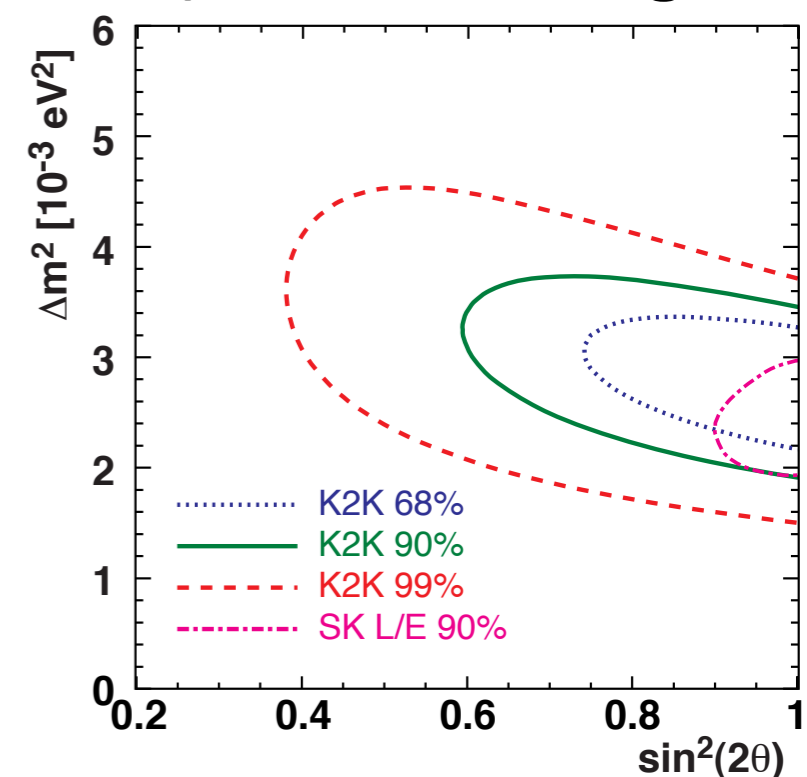
**Best fit =  $2.8 \times 10^{-3} \text{ eV}^2, 1.0$**

*confirms earlier Super-K  
atm.  $\nu$  result*

**$E_\nu$  spectrum of single-ring events**

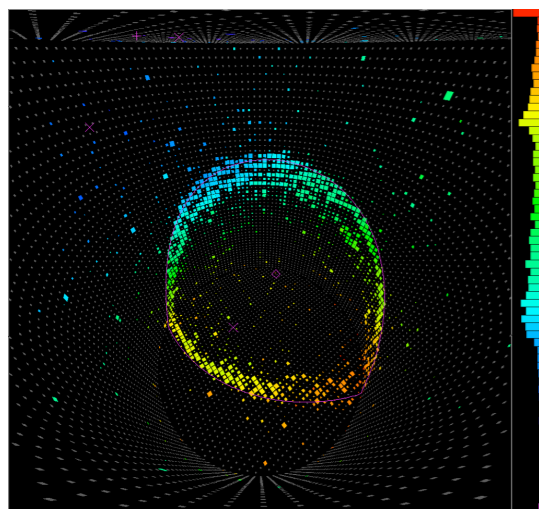


**$\nu_\mu \rightarrow \nu_\tau$  allowed region**

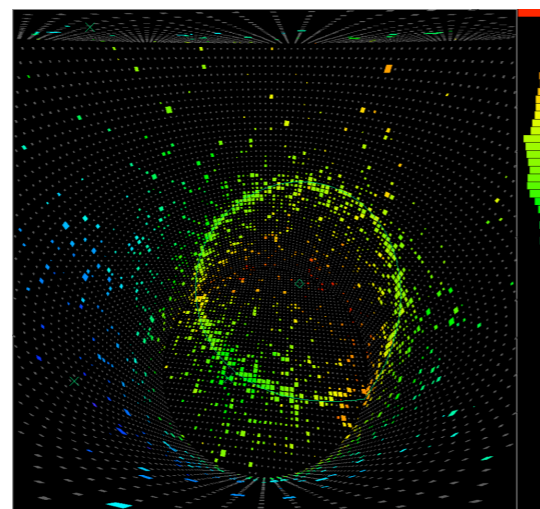


# K2K results - $\nu_e$

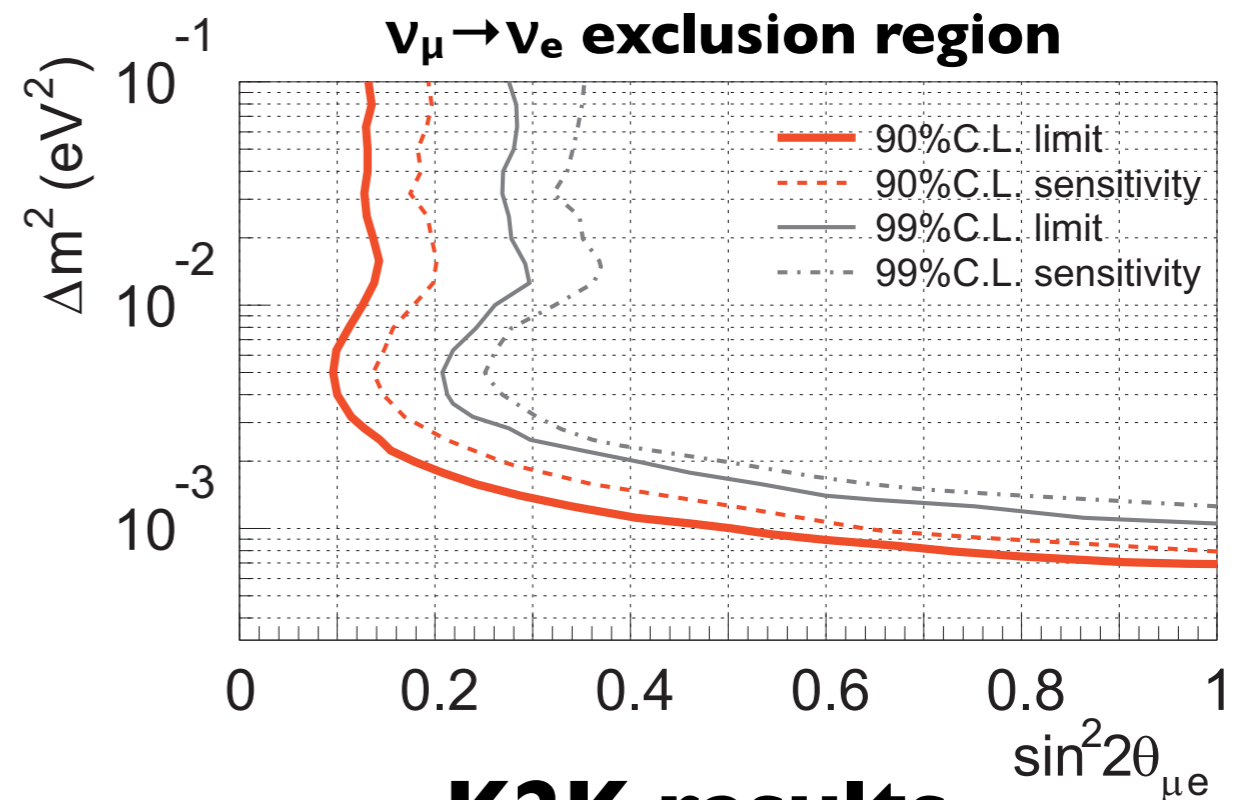
- Search for e-like (fuzzy) rings originating from  $\nu_e$  CC QEL events
  - excess over background ( $\pi^0$  from  $\nu_\mu$  interactions or beam  $\nu_e$ ) would be evidence for  $\nu_\mu \rightarrow \nu_e$
- After all selection cuts, 1 candidate event observed, consistent with background estimate



$\mu$ -like



e-like



## K2K results

$\text{Sin}^2 2\theta_{\mu e} < 0.13$  (90% C.L. at  $\Delta m^2 = 2.8 \times 10^{-3}$ )

$\text{Sin}^2 2\theta_{13} < 0.26$  (90% C.L. at  $\Delta m^2 = 2.8 \times 10^{-3}$ )

using  $\text{Sin}^2 2\theta_{\mu e} = 2 \text{Sin}^2 2\theta_{13}$

## Existing limit from CHOOZ

$\text{Sin}^2 2\theta_{13} < 0.1$  (90% C.L. at  $\Delta m^2 = 2.8 \times 10^{-3}$ )

Phys. Rev. D 74, 072003 (2006). (nu\_mu disappearance - long writeup)

Phys. Rev. Lett. 96, 181801 (2006). (nu\_e appearance)

Phys. Rev. Lett. 90, 041801 (2003). (first oscillation results)

# The MINOS experiment

- **MINOS (Main Injector Neutrino Oscillation Search)**
- Neutrino beam provided by 120 GeV protons from the Fermilab Main Injector
  - A Near detector at Fermilab to measure the beam composition and energy spectrum
  - A Far detector deep underground in the Soudan Mine Minnesota, to search for evidence of oscillations

Primary physics goals:

Precise measurement of  $\nu_{\mu} \rightarrow \nu_{\tau}$   
oscillation parameters  
Search for sub-dominant  $\nu_{\mu} \rightarrow \nu_{e}$   
oscillations

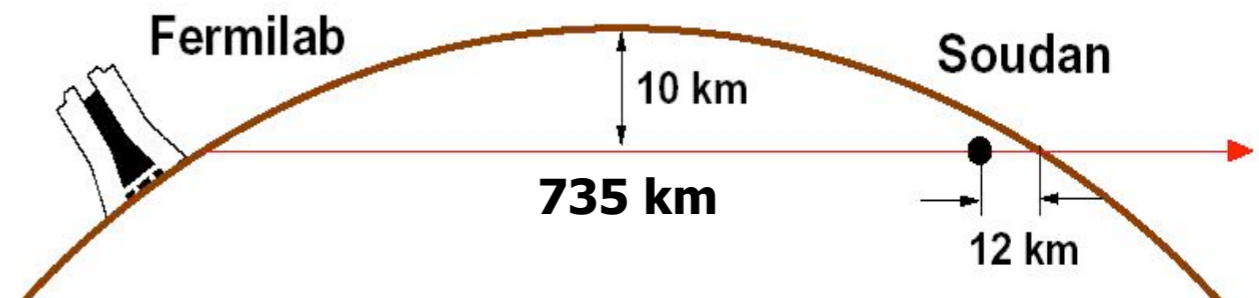
The MINOS Collaboration, 2003

Fermilab High-rise

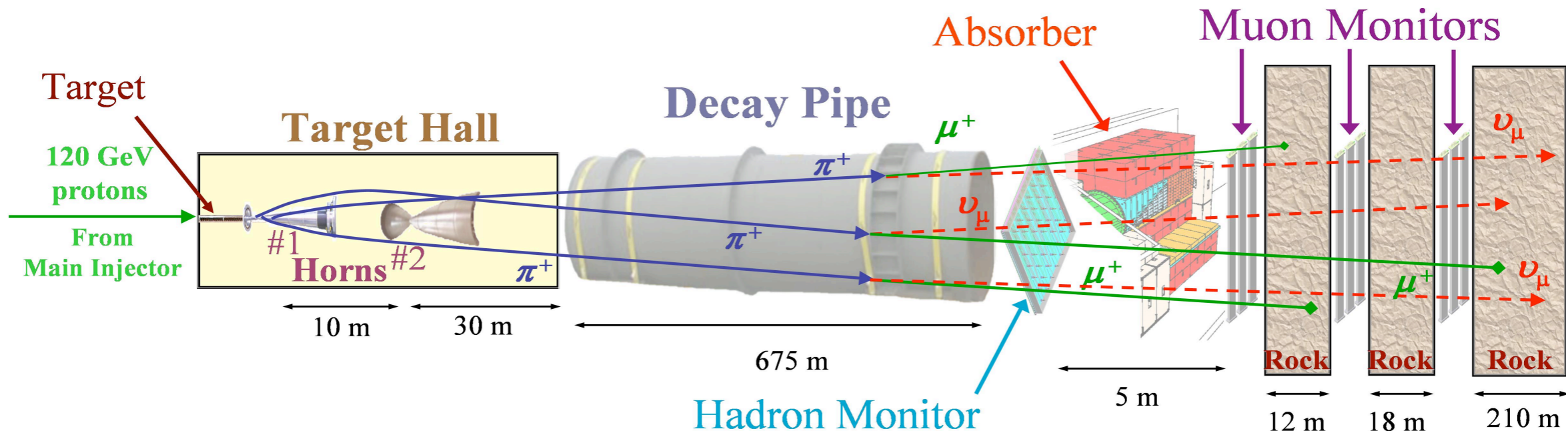
MINOS Near Detector



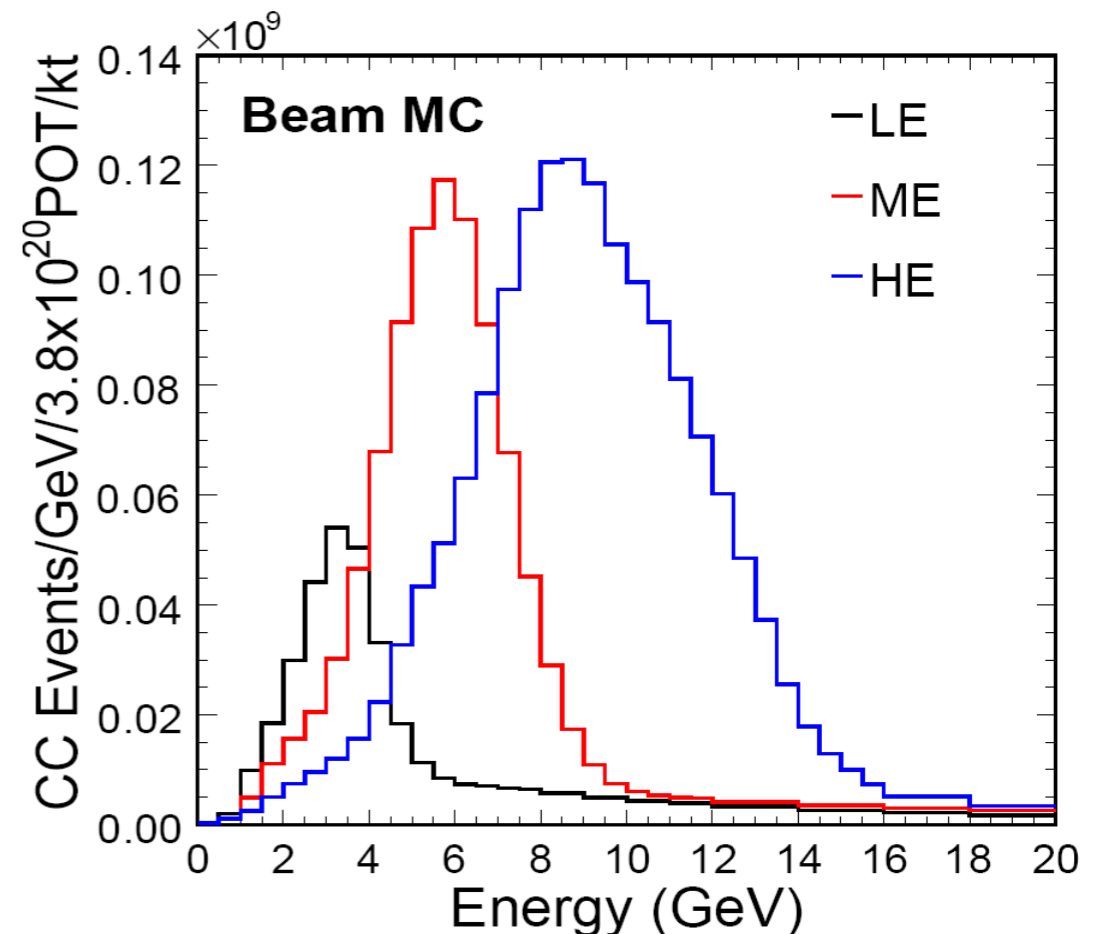
29 institutions, 165 scientists



# The NuMI neutrino beam



- Neutrino beam produced by 120 GeV protons striking a graphite target:
  - $\pi$  and K decays produce a 98.5% pure  $\nu_\mu$  beam
- Neutrino energy spectrum can be changed by moving target position relative to first horn:
  - Most of the running has been in the low energy “**LE-10**” position, which is optimum for measuring the oscillation parameters
  - Some running in higher energy positions for beam tuning and systematics studies

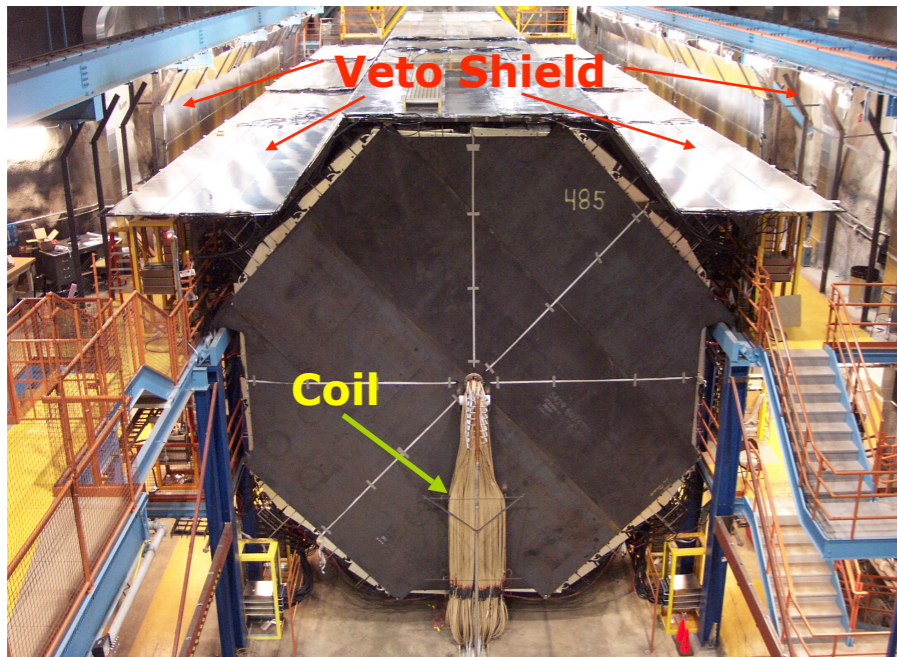




# The MINOS detectors

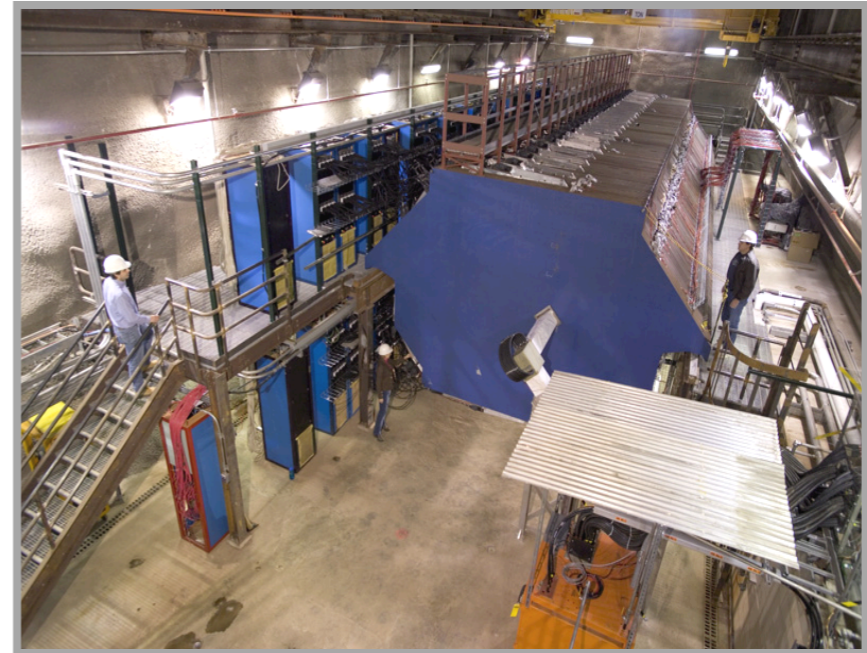
*“Two functionally identical detectors”*

## Far Detector at Soudan



Data taking since ~ September 2001. Installation complete in July 2003.

## Near Detector at Fermilab



Plane installation fully completed on Aug 11, 2004

5.4 kton mass, 8×8×30m

484 steel/scintillator planes

(x 8 multiplexing)

VA electronics

Magnetised steel - B ~1.2T

Multi-pixel (M16,M64) PMT readout

GPS time-stamping to synch FD data to ND/Beam

Continuous *untriggered* readout of whole detector (only during spill for the ND)

Interspersed light injection (LI) for calibration

Software triggering in DAQ PCs (Highly flexible : plane, energy, LI triggers in use)

Spill times from FNAL to FD trigger farm

1 kton mass 3.8×4.8×15m

282 steel and 153 scintillator planes

(x 4 multiplexing after plane 120)

Fast QIE electronics

# MINOS results - $\nu_\mu$ disappearance

- Analysis based on the following datasets:
  - $3.2 \times 10^{20}$  POT in “Low energy” (LE) configuration
  - $1.5 \times 10^{19}$  POT in “High energy” (HE) configuration
- Results:
  - LE: 730 events observed, expected 936
  - HE: 118 events observed, expected 129
  - strong energy-dependent suppression observed
- Oscillation parameters:

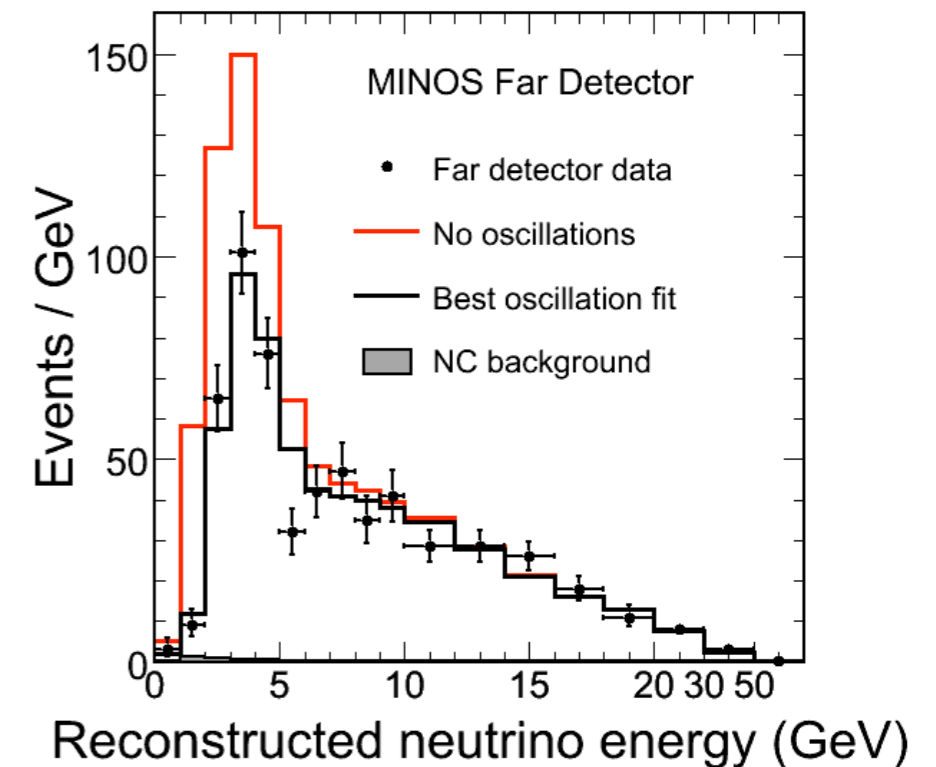
$$\sin^2 2\theta > 0.9 \quad (90\% \text{ C.L., 1 dof})$$

$$\Delta m^2 = 2.43 \pm 0.13 \times 10^{-3} \text{ eV}^2$$

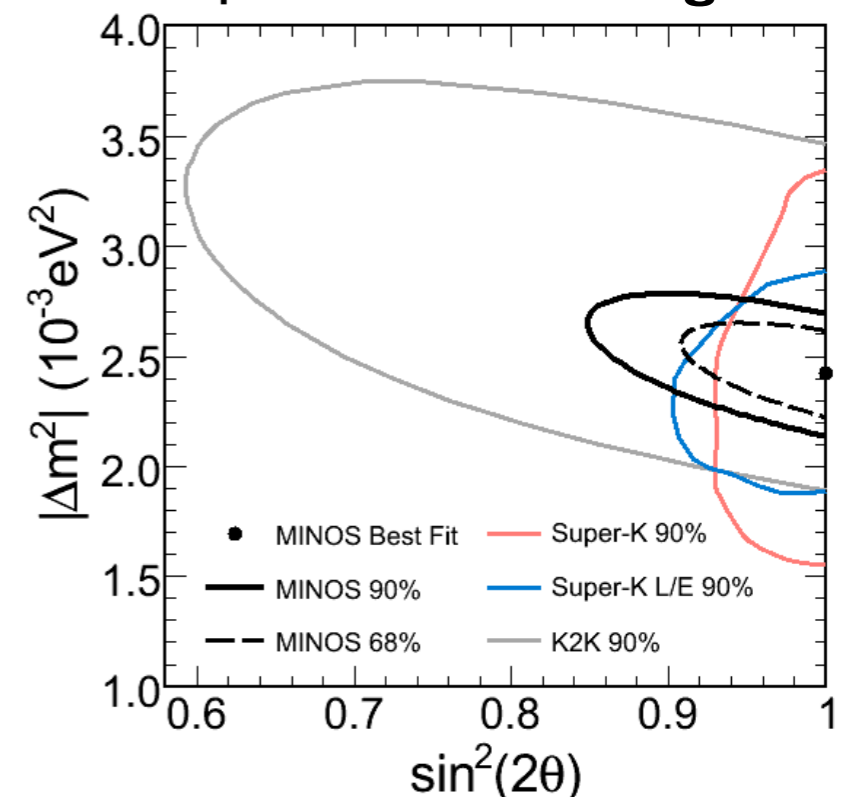
(90% C.L., 1 dof)

$$\text{Best fit} = 2.43 \times 10^{-3} \text{ eV}^2, 1.0$$

$E_\nu$  spectrum (LE+HE combined)



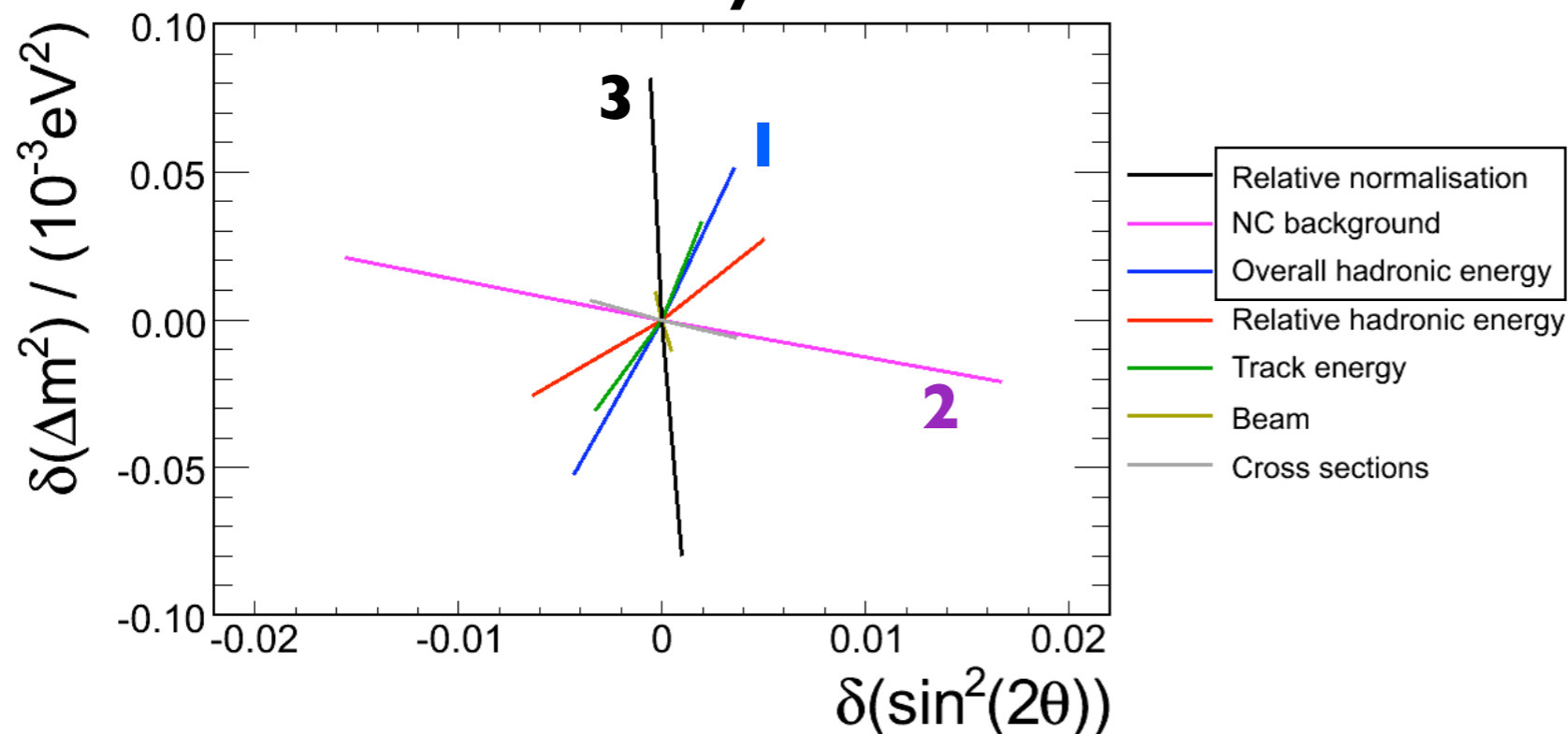
$\nu_\mu \rightarrow \nu_\tau$  allowed region



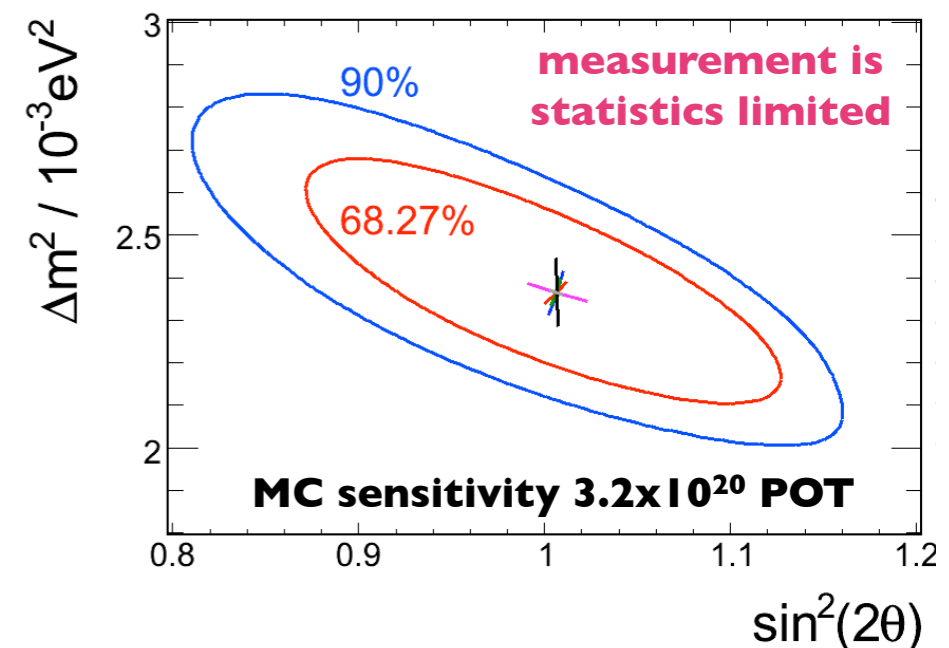
# MINOS systematic errors

- Major sources of systematic error in  $\nu_\mu$  disappearance measurement:
  - hadronic energy scale
  - NC background uncertainty
  - Near/far normalisation
- Effect of beam and cross-section uncertainties is minimised in this measurement, due to significant cancellation from ND to FD

## MC determination of systematic errors



## Comparison of statistical and systematic errors



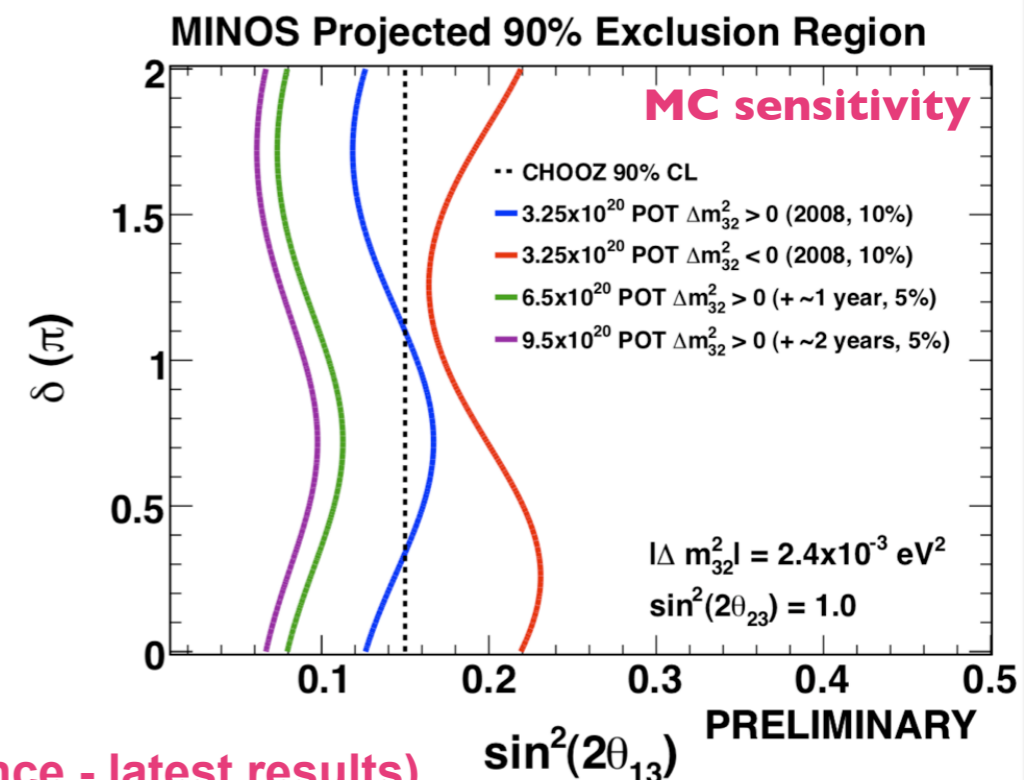
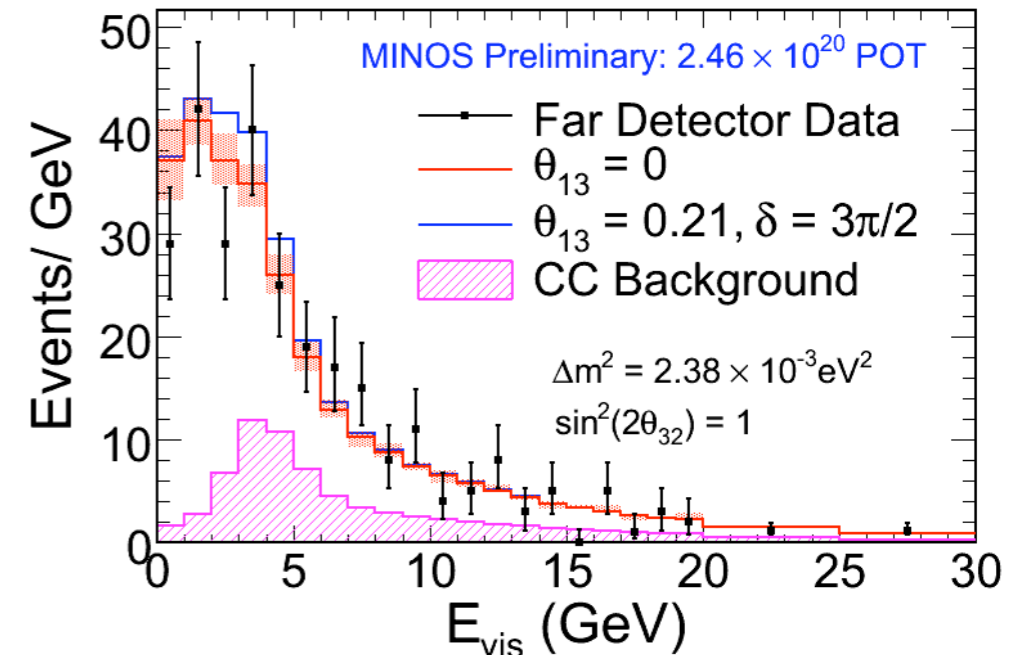
# Other MINOS analyses

- Sensitive to the presence of active/sterile neutrino oscillations by searching for a deficit of NC interactions in the Far detector
- Set a limit on the parameter  $f_s$  - the fraction of  $\nu_\mu$  that oscillate to  $\nu_{\text{sterile}}$  at the atm.  $\nu$  mass scale
- No evidence for  $\nu_\mu \rightarrow \nu_{\text{sterile}}$  oscillations seen:

$$f_s < 0.68 \quad (90\% \text{ C.L., 1 dof})$$

$$\text{Best fit } f_s = 0.28^{+0.25}_{-0.28} \quad (68\% \text{ C.L., 1 dof, } \theta_{13}=0)$$

- Also sensitive to  $\nu_\mu \rightarrow \nu_e$  by searching for excess of EM-like events in the Far detector
  - with Run I+RunII dataset, MINOS sensitivity comparable to CHOOZ limit.
  - Analysis ongoing - first results this year



Phys. Rev. Lett. 101, 131802 (2008)

Phys. Rev. Lett. 101, 221804 (2008)

Phys. Rev. D 77: 072002 (2008).

Phys. Rev. Lett. 97, 191801 (2006).

( $\nu_\mu$  disappearance - latest results)

(sterile neutrino search)

( $\nu_\mu$  disappearance - long writeup)

(first oscillation results)

# Confirming the oscillation pattern

- Examine energy dependence of  $\nu_\mu$  oscillated/unoscillated spectrum ratio to test alternative models of  $\nu_\mu$  disappearance
- Decay/Decoherence disappearance probabilities are exponential functions of energy:
  - no “dips” in spectrum ratio
  - slower “rise” at high energy

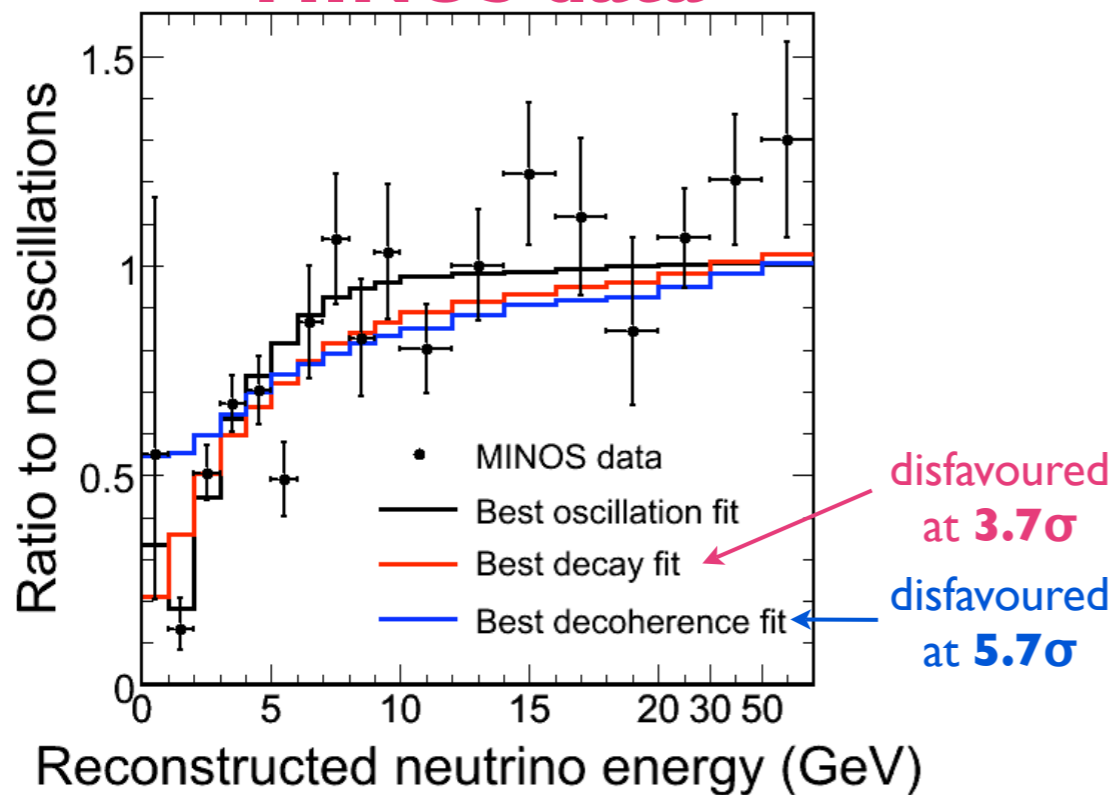
### Neutrino Decoherence

$$P(\nu_\mu \rightarrow \nu_\mu) = 1 - \frac{\sin^2 2\theta}{2} \left( 1 - e^{-\frac{\mu^2 L}{2E}} \right)$$

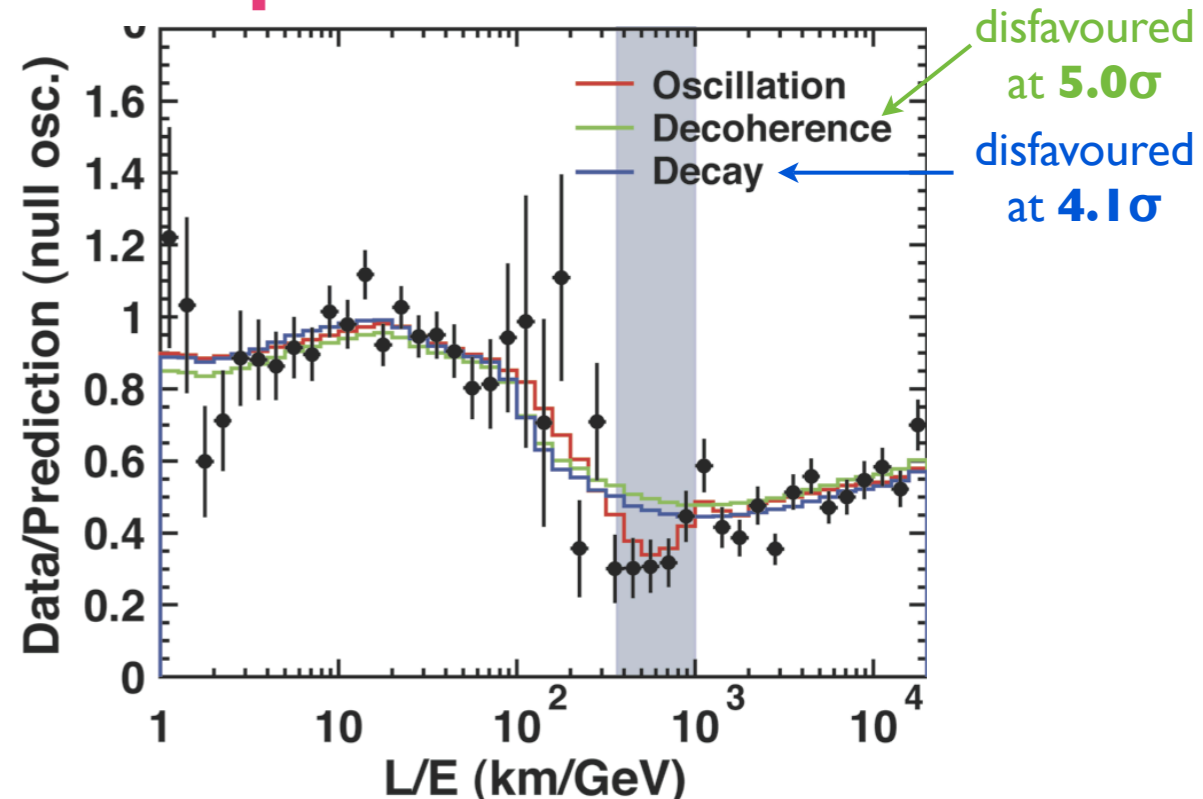
### Neutrino Decay

$$P(\nu_\mu \rightarrow \nu_\mu) = (\sin^2 \theta + \cos^2 \theta e^{-\frac{\alpha L}{2E}})^2$$

### MINOS data



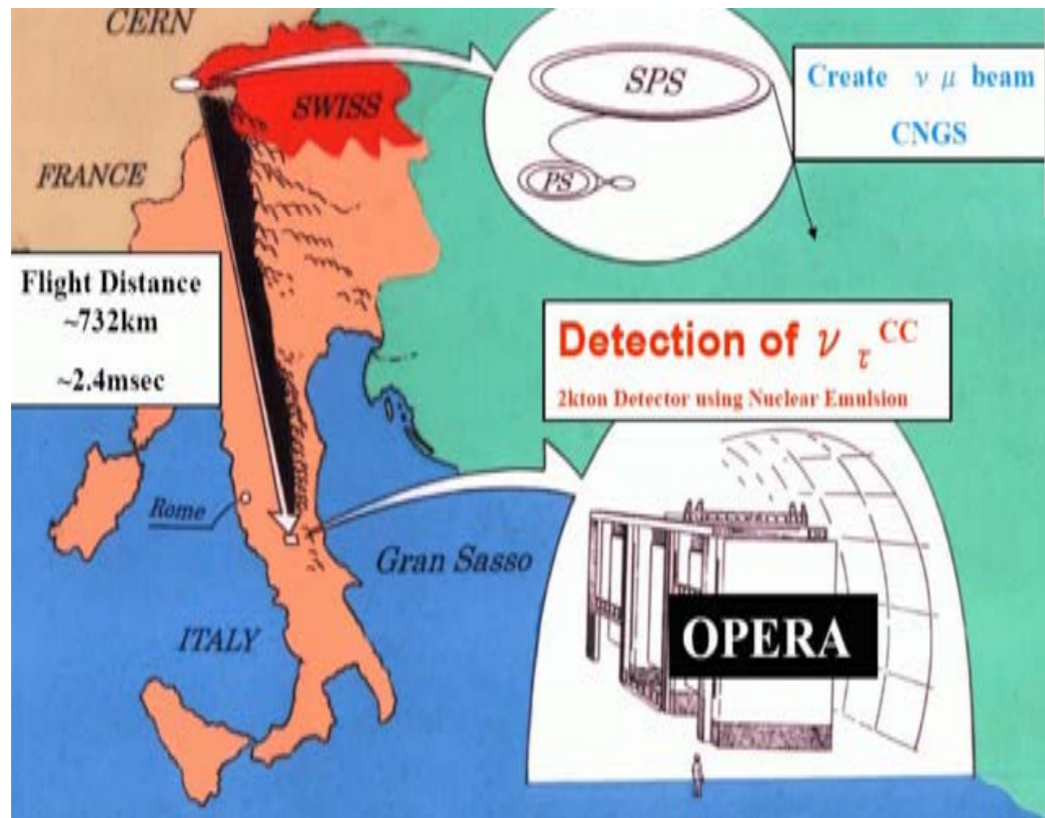
### Super-K atm. $\nu$ data



Current data disfavours pure decay/decoherence at  $>4\sigma$

# The OPERA experiment

- Direct search for  $\nu_{\mu} \rightarrow \nu_{\tau}$  oscillations via  $\tau$  appearance signature in nuclear emulsion

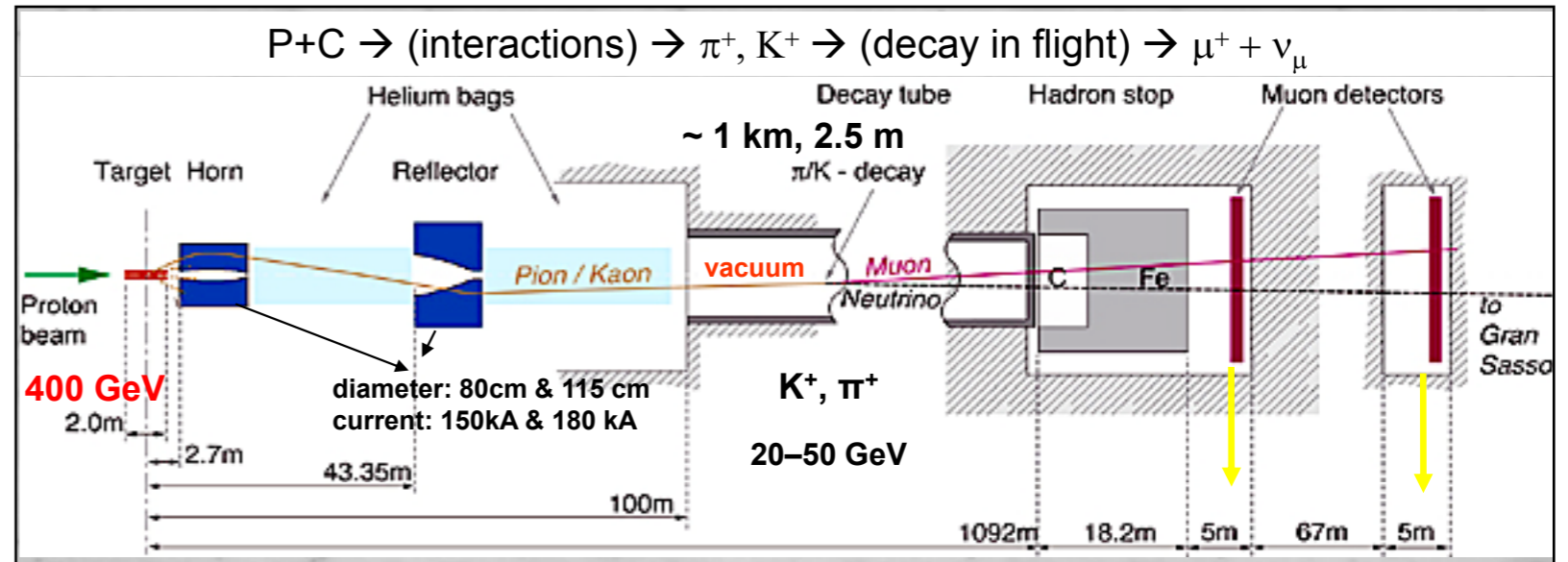


- Uses neutrino beam produced by CERN SPS and a large emulsion/tracking detector situated in Gran Sasso
- baseline = 732 km

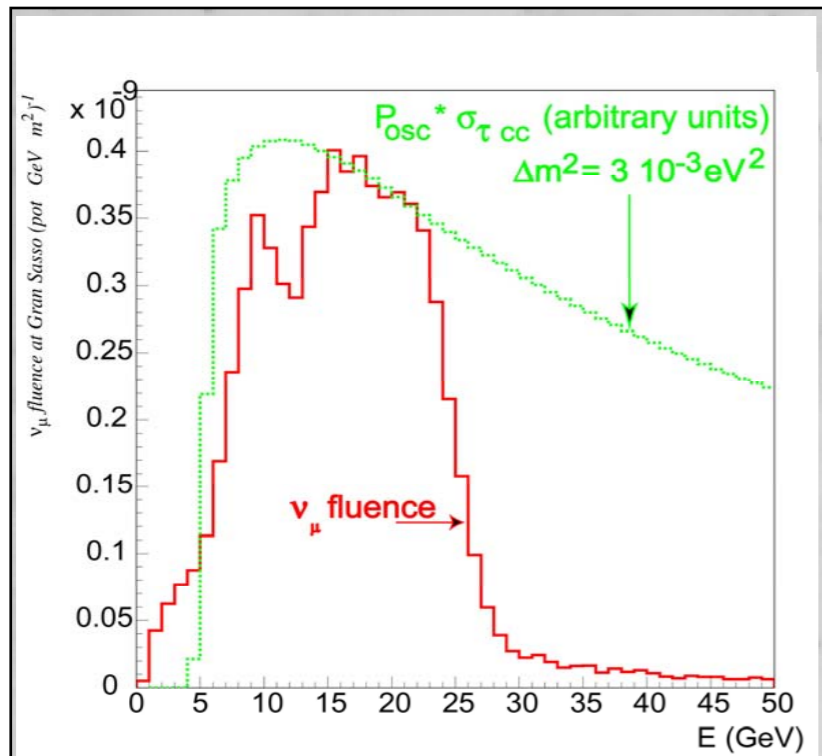
# LNGS Beam

- High energy  
Wide-band  
beam, optimised  
for  $\tau$  appearance

## LNGS beamline



## $\nu_\mu$ CC spectrum at Gran Sasso



## Beam parameters

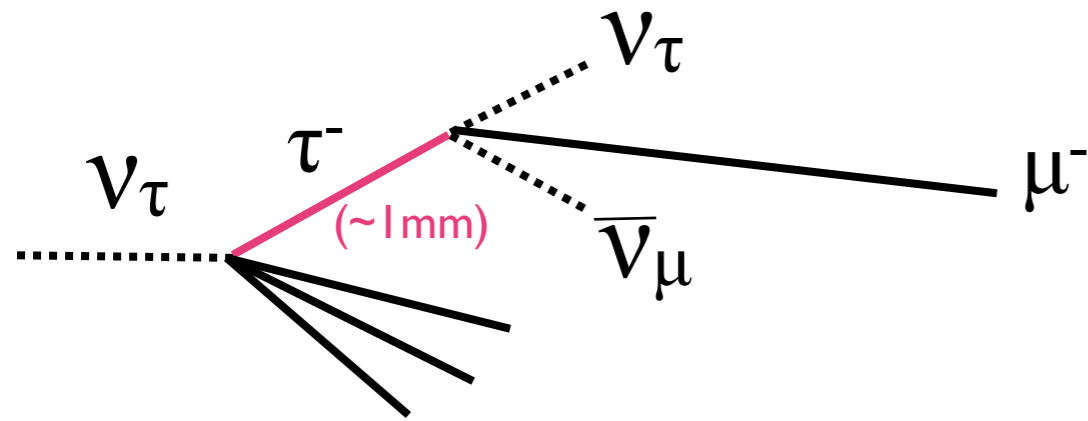
$\langle E_{\nu_\mu} \rangle$	17 GeV
$(\nu_e + \bar{\nu}_e)/\nu_\mu$	0.87%
$\bar{\nu}_\mu/\nu_\mu$	2.1%
$\nu_\tau$ prompt	negligible
p.o.t./year	$4.5 \times 10^{19}$

2007 run:  $8.24 \times 10^{17}$  POT  
2008 run:  $1.782 \times 10^{19}$  POT

$\nu_\tau$  CC events @ Gran Sasso (1.35kT, 5 years):  
**80** ( $\Delta m^2 = 2 \times 10^{-3} \text{ eV}^2$ )  
**180** ( $\Delta m^2 = 3 \times 10^{-3} \text{ eV}^2$ )

# Signal and background

- Search for “kink” signature - secondary vertex caused by decay of  $\tau$



Decay channel	Detection efficiency(%)	Branching ratio(%)	Signal ( $\Delta m^2=2.5 \times 10^{-3}$ )	Background
$\tau \rightarrow \mu$	17.5	17.7	2.9	0.17
$\tau \rightarrow e$	20.8	17.8	3.5	0.17
$\tau \rightarrow h$	5.8	49.5	3.1	0.24
$\tau \rightarrow 3h$	6.3	15	0.9	0.17
<b>ALL</b>	<b>effxBR=10.6%</b>		<b>10.4</b>	<b>0.75</b>

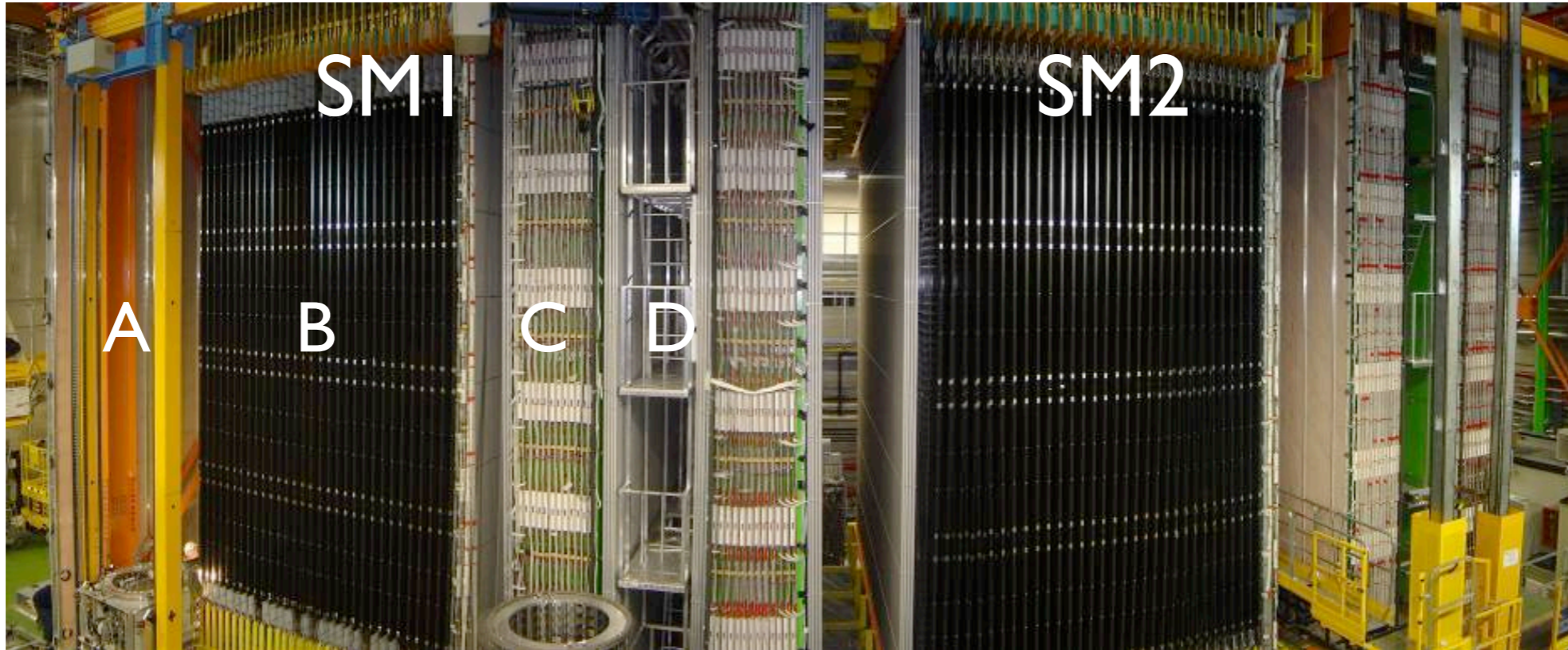
**5 year exposure @  $4.5 \times 10^{19}$  POT/year**

- Principal backgrounds:
  - charm decays
  - hadron re-interaction in lead
  - large angle muon scatters



# OPERA Detector

Hybrid emulsion/tracking detector - 2 identical supermodules



total target mass: 1.35kT

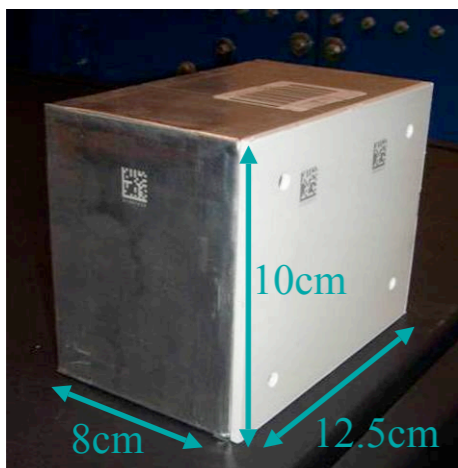
A: glass RPC veto

B: target + target tracker  
77500 Pb/emulsion bricks in 29 "walls"  
31 XY tracking planes (solid scintillator+ WLS readout)

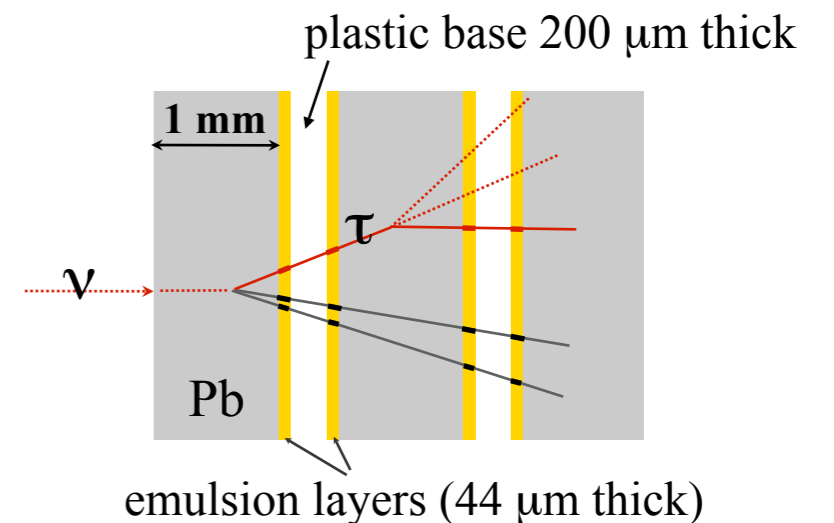
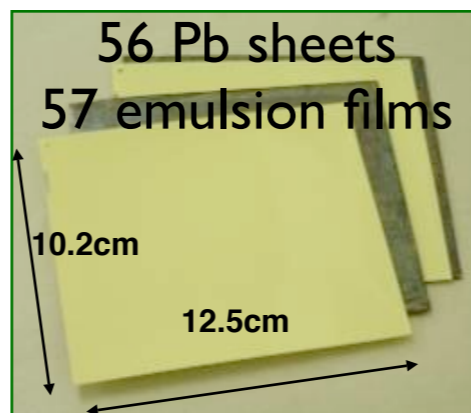
C: high precision tracker  
6x4-fold layers of drift tubes

D: dipole magnet  
1.53 T field  
22 planes of RPCs (XY readout)

Pb/emulsion brick

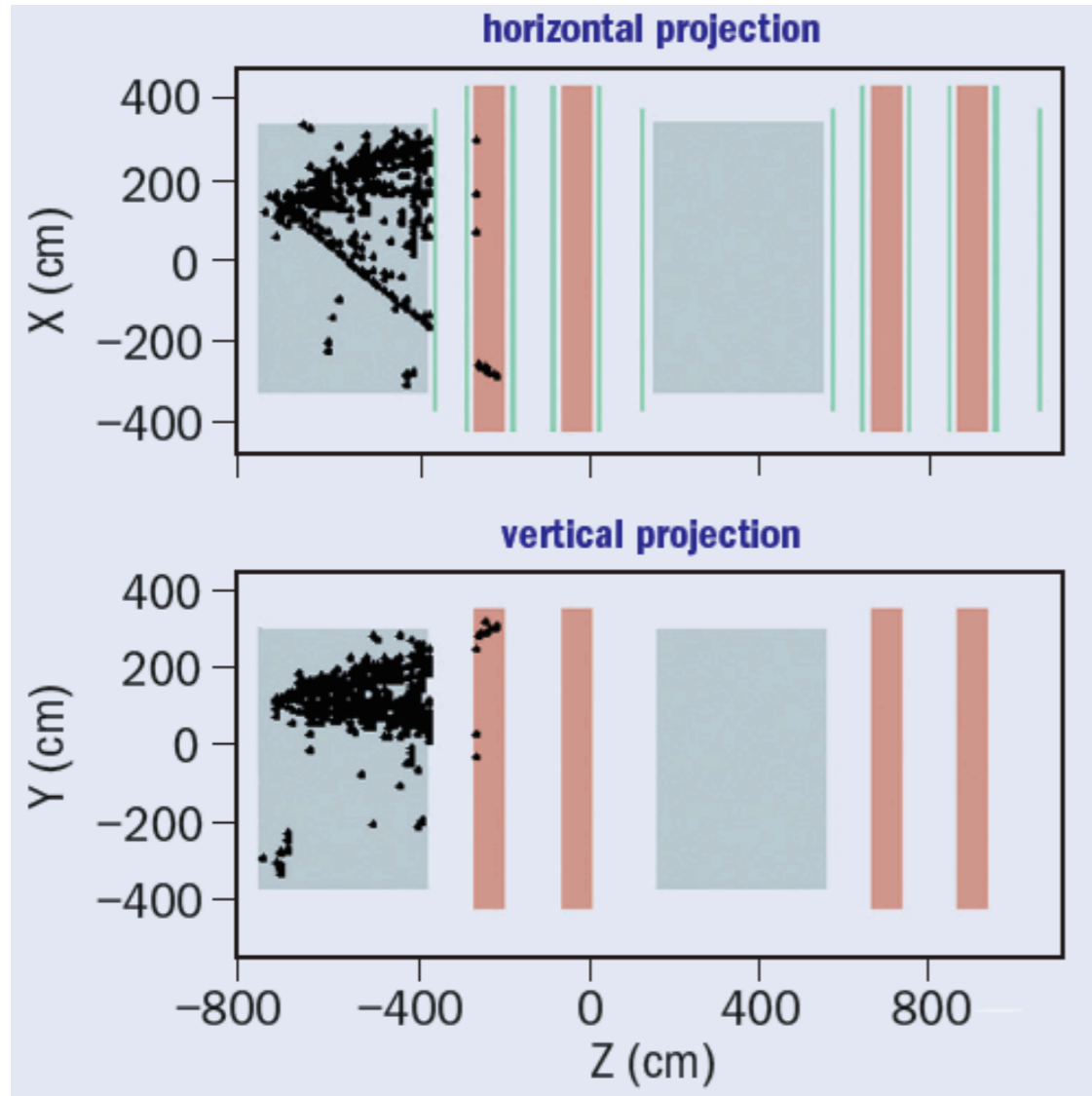


Pb/emulsion layers

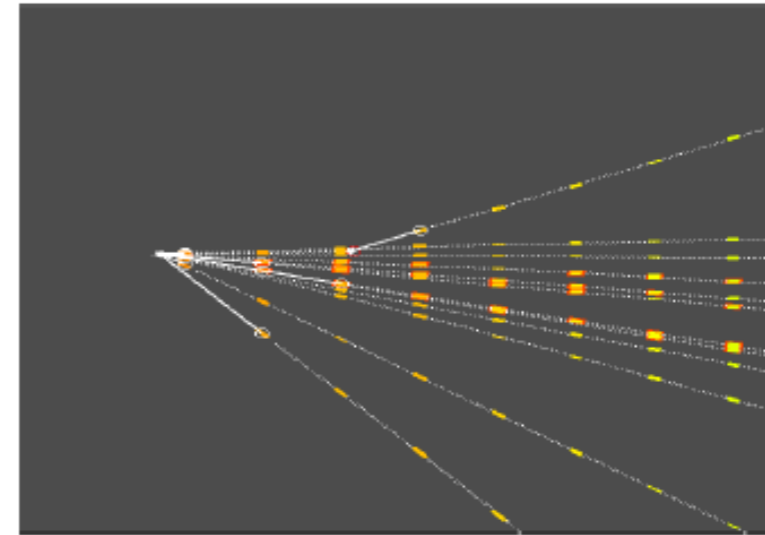


# OPERA observed events

## $\nu_\mu$ charged-current interaction



## charm candidate



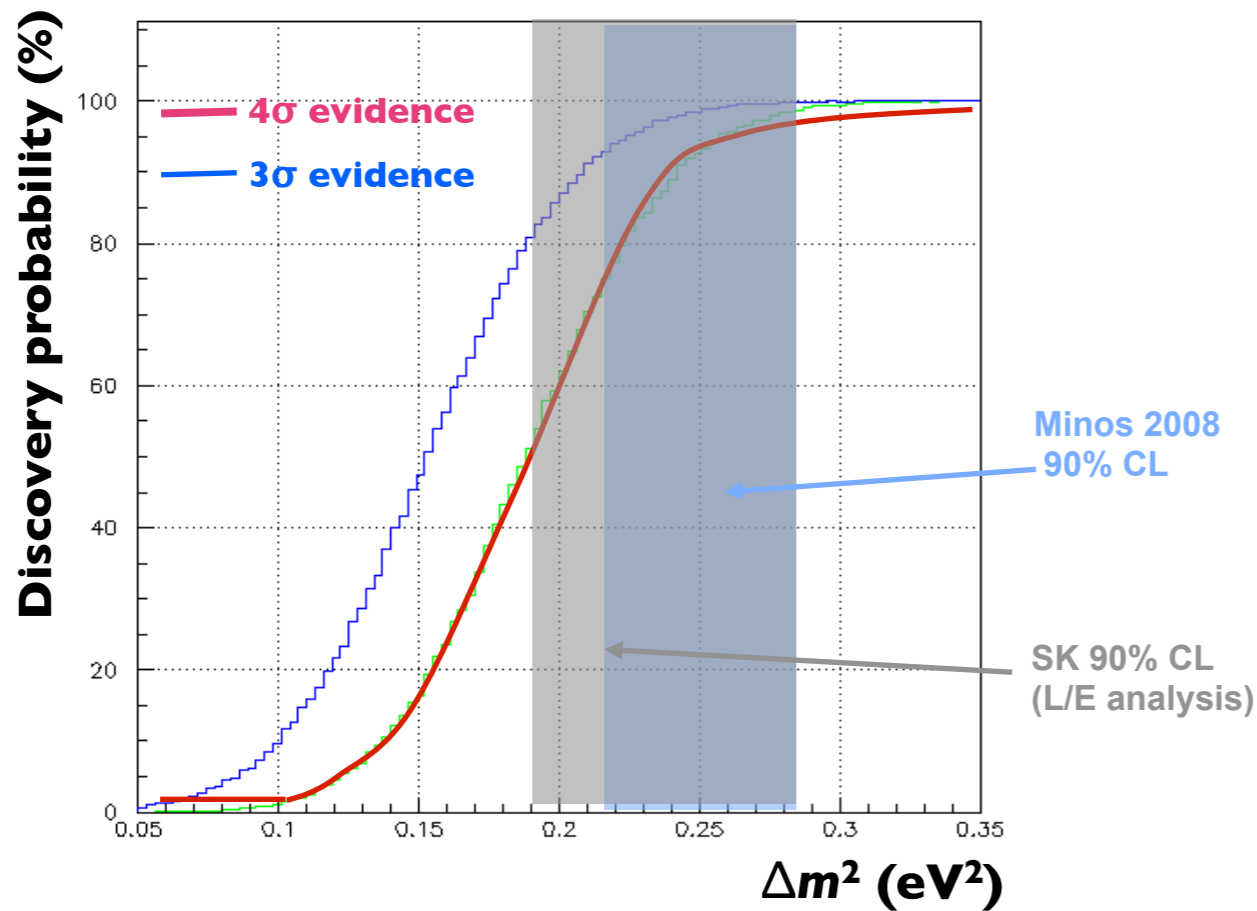
Flight length: 3247.2  $\mu\text{m}$   
 $\theta_{\text{kink}}$ : 0.204 rad  
 $P_{\text{daughter}}$ : 3.9 (+1.7 -0.9) GeV  
 $P_{\text{T}}$ : 796 MeV (> 606 MeV)

- Emulsions to be scanned are first tagged by charged tracks in tracking system, as well as removable emulsion films glued to exterior of bricks

Current data - see 2 charm candidates, with an expectation of  $\sim 2$

# OPERA sensitivity

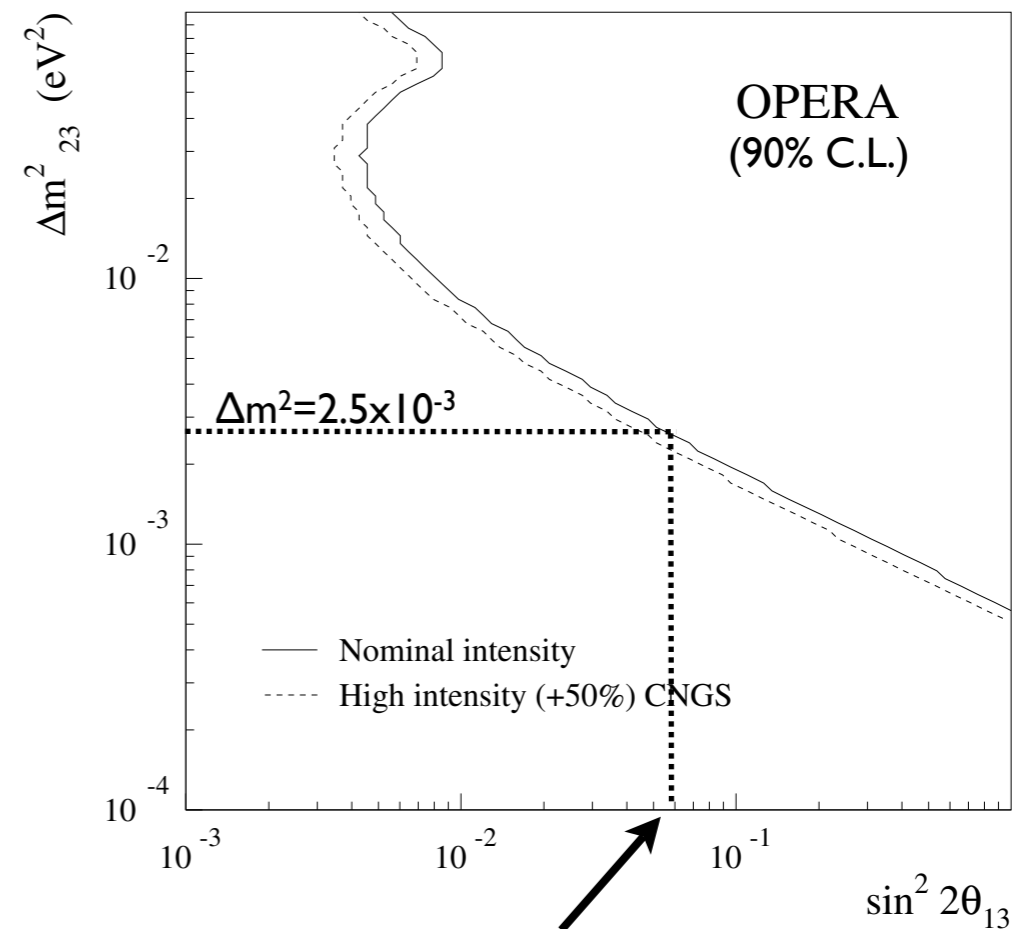
$$\nu_{\mu} \rightarrow \nu_{\tau}$$



probability to observe 3 $\sigma$ (4 $\sigma$ )  
effect after 5 year run

Current dataset should contain 1-2  
 $\nu_{\tau}$  decays - analysis ongoing!

$$\nu_{\mu} \rightarrow \nu_e$$



can set limit of  $\sin^2 2\theta_{13} < 0.06$  @ 90% C.L.  
c.f. CHOOZ limit:  $\sin^2 2\theta_{13} < 0.14$  @ 90% C.L.

$\theta_{13}$ (deg)	$\sin^2 2\theta_{13}$	Signal $\nu_{\mu} \rightarrow \nu_e$	$\nu_{\mu} \rightarrow \nu_{\tau}$ , $\tau \rightarrow e$	$\nu_{\mu}$ CC	$\nu_{\mu}$ NC	$\nu_e$ CC
9	0.095	9.3	4.5	1.0	5.2	18
7	0.058	5.8	4.6	1.0	5.2	18
5	0.030	3.0	4.6	1.0	5.2	18

5 year exposure @  $4.5 \times 10^{19}$  POT/year

# Near-Future experiments

*Tokai-to-Kamiokande (T2K)*

*Fermilab-Nova*

# Goals of near-future experiments

- Search for non-zero  $\theta_{13}$ 
  - goal is sensitivity down to 1%
- Search for leptonic CP violation
  - only observable if  $\theta_{13} \gg 1\%$
  - requires neutrino+anti-neutrino running
- Determine sign of  $\Delta m^2$ 
  - via CP violation and matter effects
- Higher precision measurements of 23 sector
  - 1% precision on  $\sin^2 2\theta_{23}$  - search for  $\theta_{23} < 45$  deg

# $\nu_\mu \rightarrow \nu_e$ oscillation probability

- Three-flavour oscillations in matter:

atmospheric  $\nu$  term

Reference: arXiv:0710.0554v2 (2008)

$$\begin{aligned}
 P(\nu_\mu \rightarrow \nu_e) = & \sin^2 \theta_{23} \sin^2 2\theta_{13} \frac{\sin^2(\Delta_{31} - aL)}{(\Delta_{31} - aL)^2} \Delta_{31}^2 \\
 & + \sin 2\theta_{23} \sin 2\theta_{13} \sin 2\theta_{12} \frac{\sin(\Delta_{31} - aL)}{(\Delta_{31} - aL)} \Delta_{31} \frac{\sin(aL)}{(aL)} \Delta_{21} \cos(\Delta_{31} + \delta) \\
 & + \cos^2 \theta_{23} \sin^2 2\theta_{12} \frac{\sin^2(aL)}{(aL)^2} \Delta_{21}^2,
 \end{aligned}$$

interference term

$$\Delta_{ab} = \Delta m_{ab}^2 L / 4E$$

solar  $\nu$  term

$$a = G_F N_e / \sqrt{2}$$

matter effects

size of matter effect proportional to L

Note:  $P(\nu_\mu \rightarrow \nu_e)$  is a function of both  $\theta_{13}$  and  $\delta$

**multiple measurements needed to break degeneracies!!**

Transformations

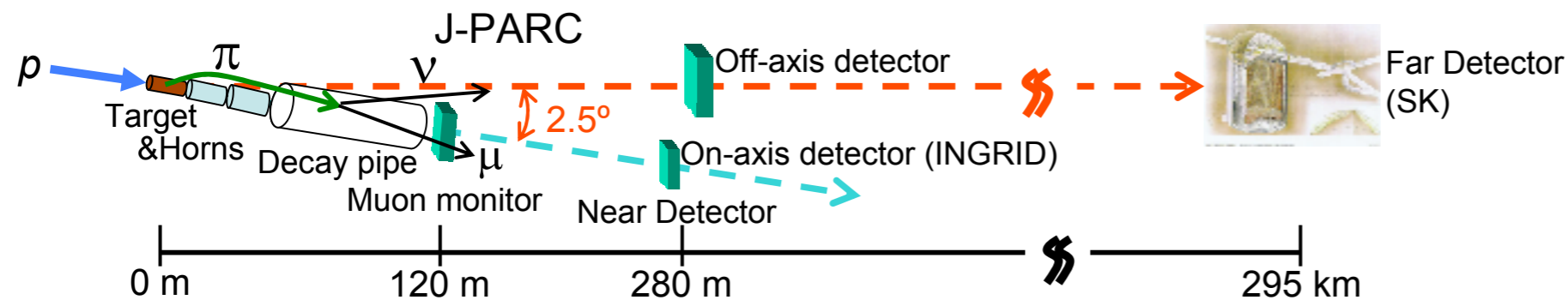
$$a \rightarrow -a \quad \delta \rightarrow -\delta$$

Anti-neutrinos:  
Inverted hierarchy:

interference term changes sign

# T2K - Tokai to Kamiokande

- New beamline using 50 GeV protons from JPARC facility in Tokai, directed to existing Super-Kamiokande detector
- beam aimed 2.5 degrees “off-axis” to maximise flux at low energy



High beam power:  
**~0.77 MW in phase I**  
 → 1600  $\nu_{\mu}$  events/yr in SK

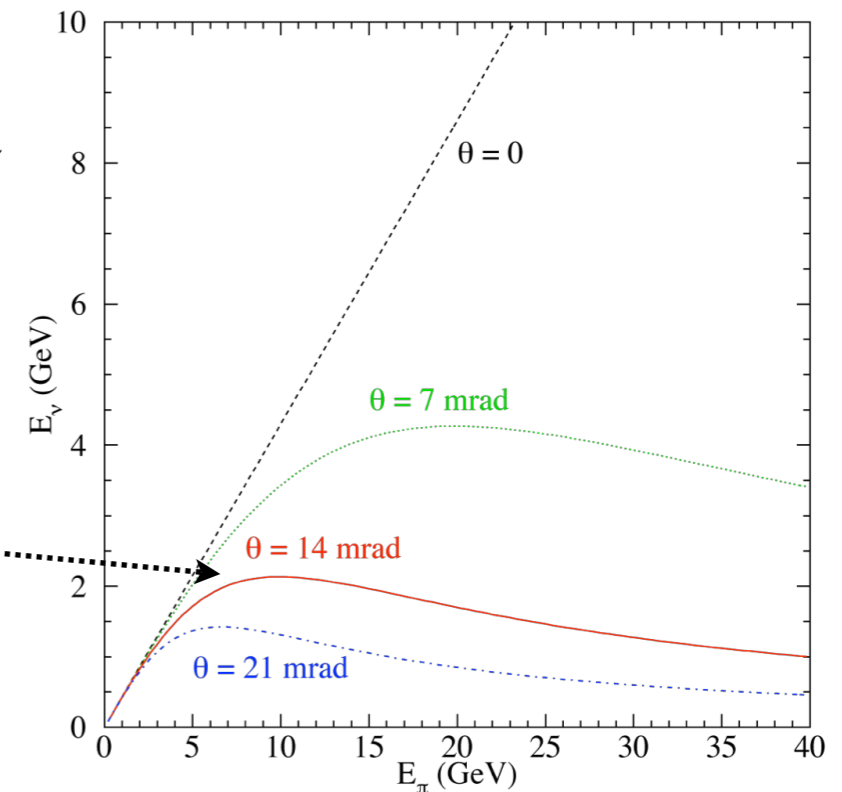
# Off-axis beam and near detectors

- Off-axis concept:

- neutrino energy is related to parent pion energy via the decay angle,  $\theta$ :

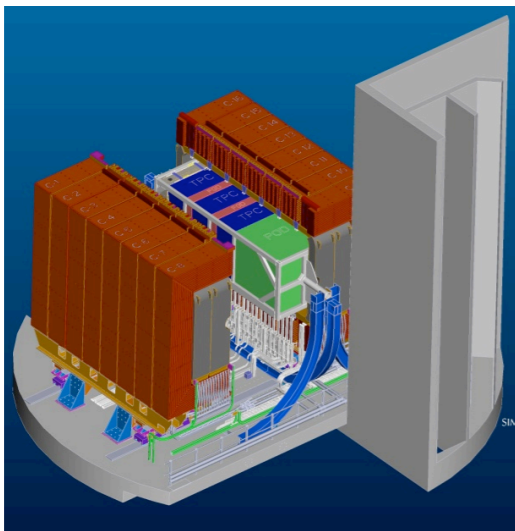
$$E_\nu = \frac{0.43 E_\pi}{1 + \gamma^2 \theta^2} \quad \gamma = E_\pi / m_\pi$$

- for  $\theta \sim 14 \text{ mrad}$ , neutrino energy largely independent of parent pion energy  $\rightarrow$  enhance low energy neutrino flux, suppress high energy tail



- T2K Near Detectors @280 m:

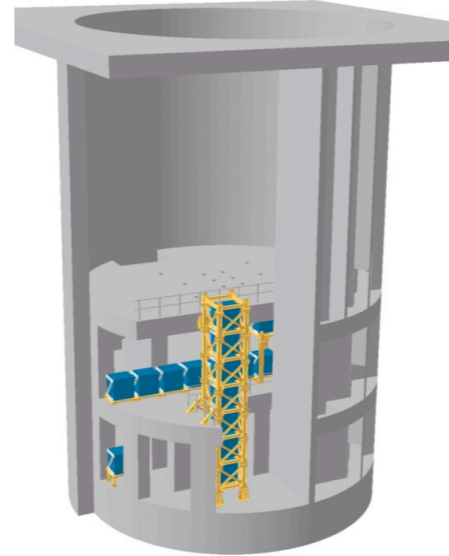
## off-axis detector



### fine-grained detector:

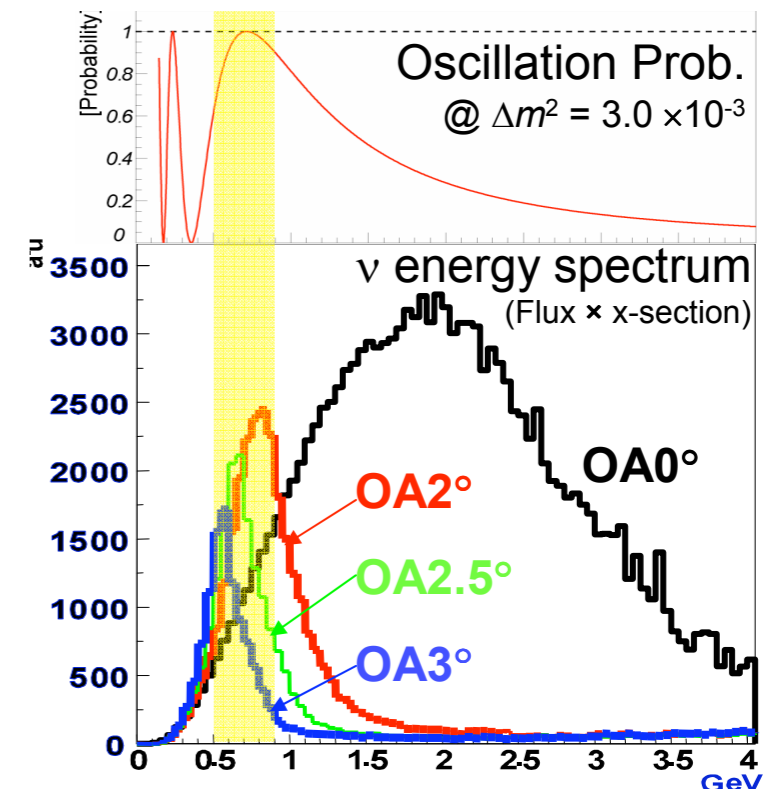
scintillator/TPC tracking: **measure x-sections**  
 $\pi^0$  background measurement  
 EM calorimeter and muon ranger

## on-axis detector



### iron/scintillator detector

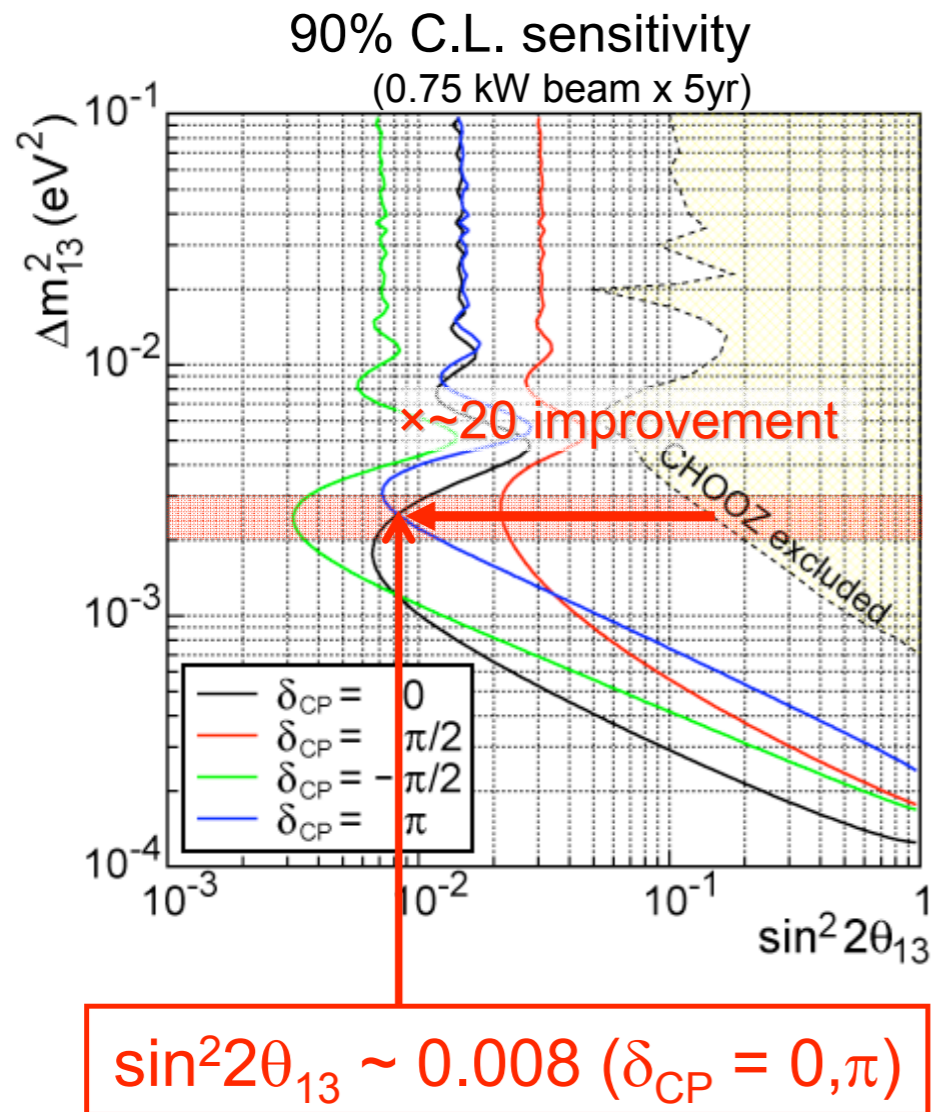
beam monitoring/beam  
 direction measurements



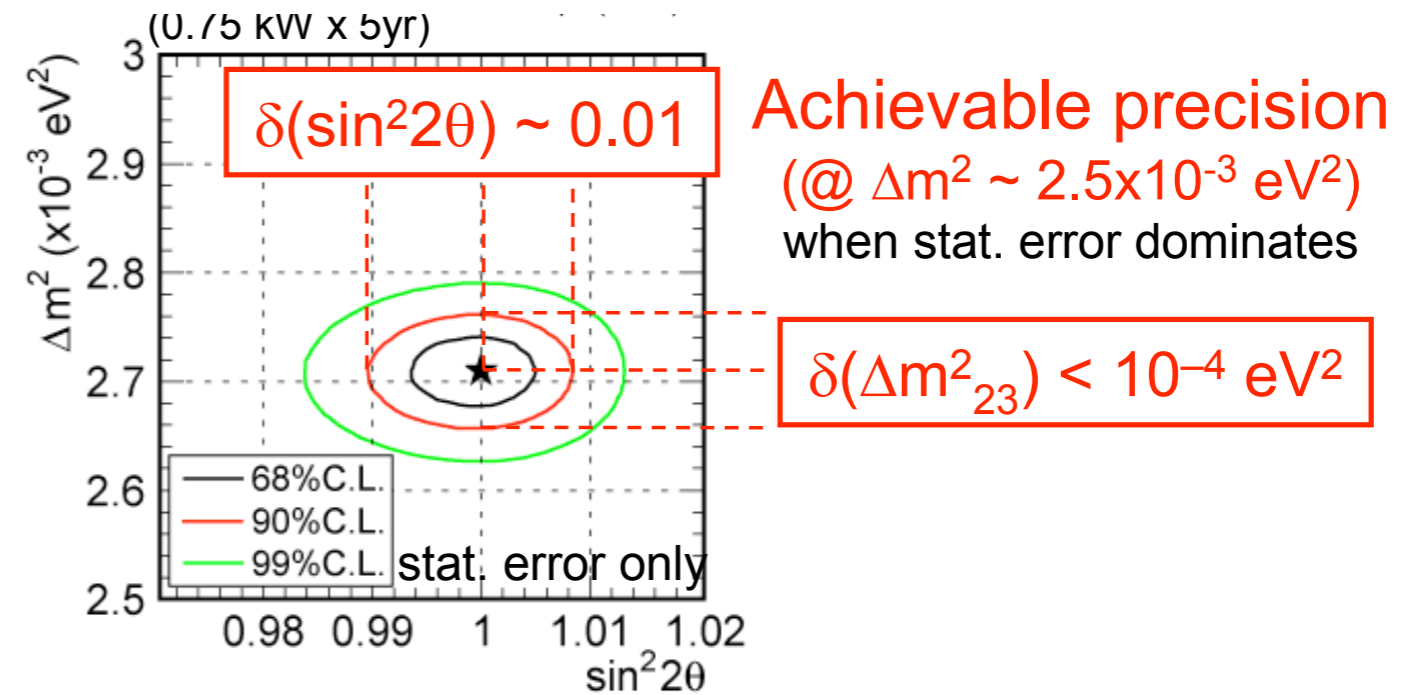


# T2K physics measurements

## $\nu_e$ appearance



## $\nu_\mu$ disappearance



5 year sensitivities:

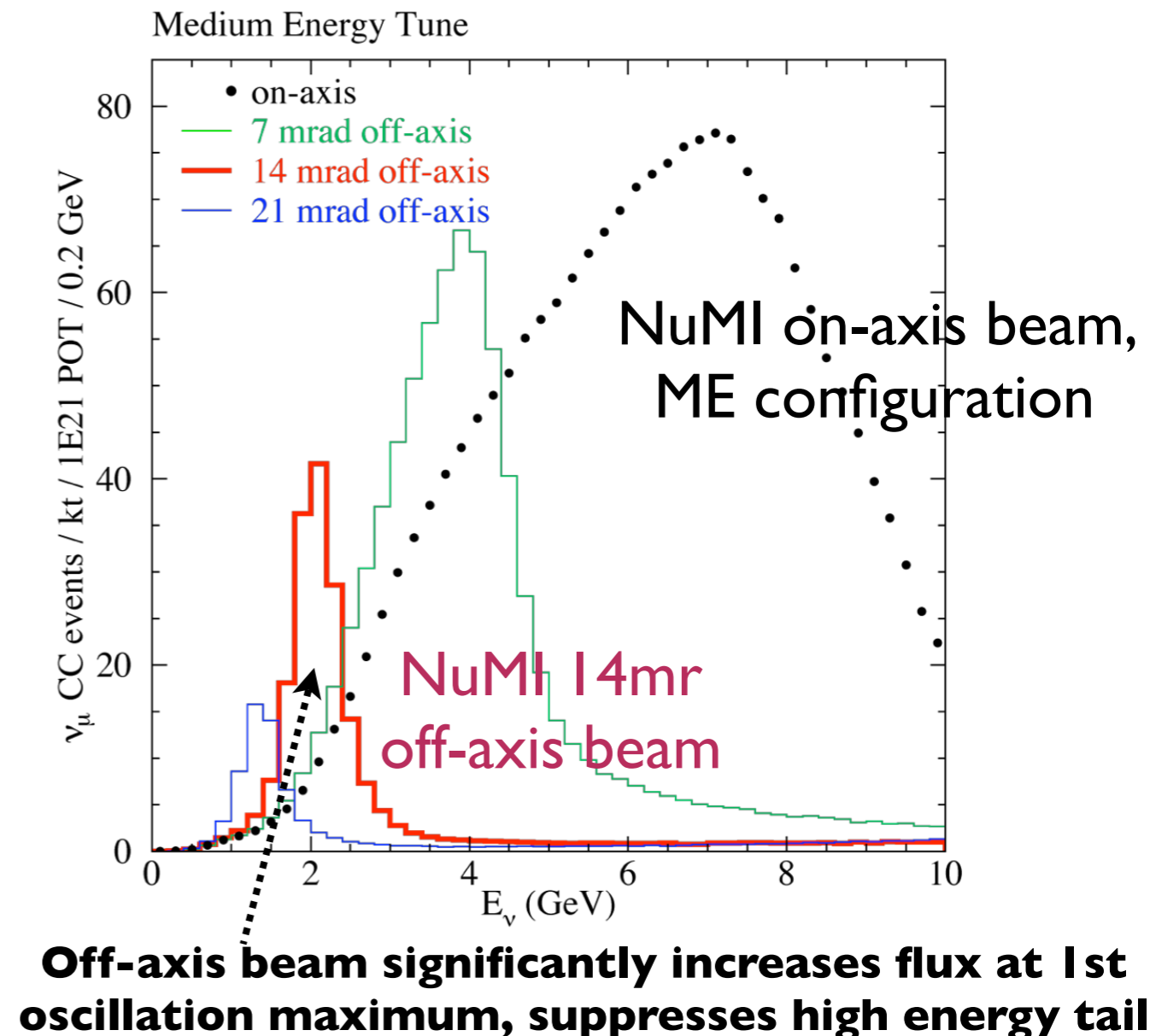
1% for  $\sin^2 2\theta_{13}$   
better than 1% precision on  $\sin^2 2\theta_{23}$  and  $\Delta m_{23}^2$

# T2K timeline

- **Beam:**
  - Linac commissioned and beam injected into main ring
  - Beam accelerated to 30 GeV (JPARC startup energy)
  - first neutrino beam anticipated April 2009
- **Detectors:**
  - Super-K refurbished (PMT replacement) with upgraded electronics
  - on-axis ND ready for first beam in April 2009
  - off-axis detector installation Summer 09
- **First physics results anticipated in 2010**

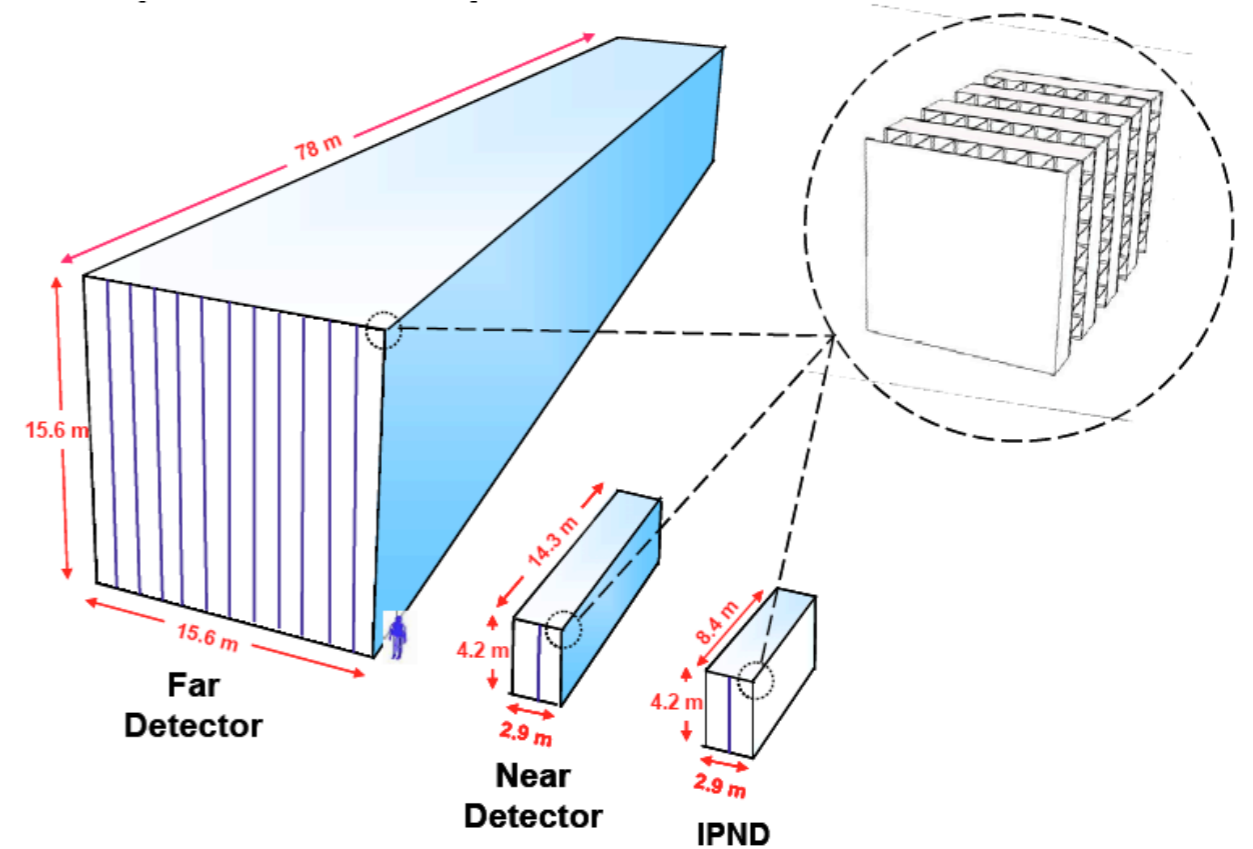
# The NOvA experiment

- NOvA = NuMI **O**ff-axis **v**eutrino **A**ppearance
  - search for  $\nu_e$  appearance using a new detector situated in North Minnesota, 14 mr off-axis from an upgraded NuMI beam



# The NoVA detectors

- NoVA Far Detector:
  - 15 kt “totally active detector”
  - PVC “cellular” extrusions filled with liquid scintillator, arranged in planar geometry
  - WLS readout to APD photodiodes (high QE)
  - good electron ID capability (1 plane =  $0.15 X_0$ )

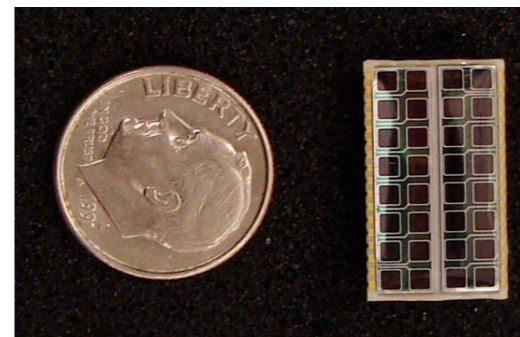


- NoVA Near Detector
  - smaller version (215 T) of FD, situated 14mr off-axis, 1km from beam
  - integration prototype (IPND) will form part of ND

**PVC extrusions**



**Avalanche photodiode**



**32 channels, 80% QE**

**cell cross-section: 3.9x6.6cm**

# NuMI beam upgrades

- Programme of NuMI beam upgrades:

Now (MINOS)

250kW

Proton plan (pre-Nova)

320kW (430kW)

**NoVA (ANU)**

**700kW**

Proton plan: momentum stacking in Main Injector,  
reduce MI cycle time from 2.4 → 2.2s

**ANU: Use Recycler for proton pre-injection to MI  
reduce MI cycle time from 2.2 to 1.33 s**

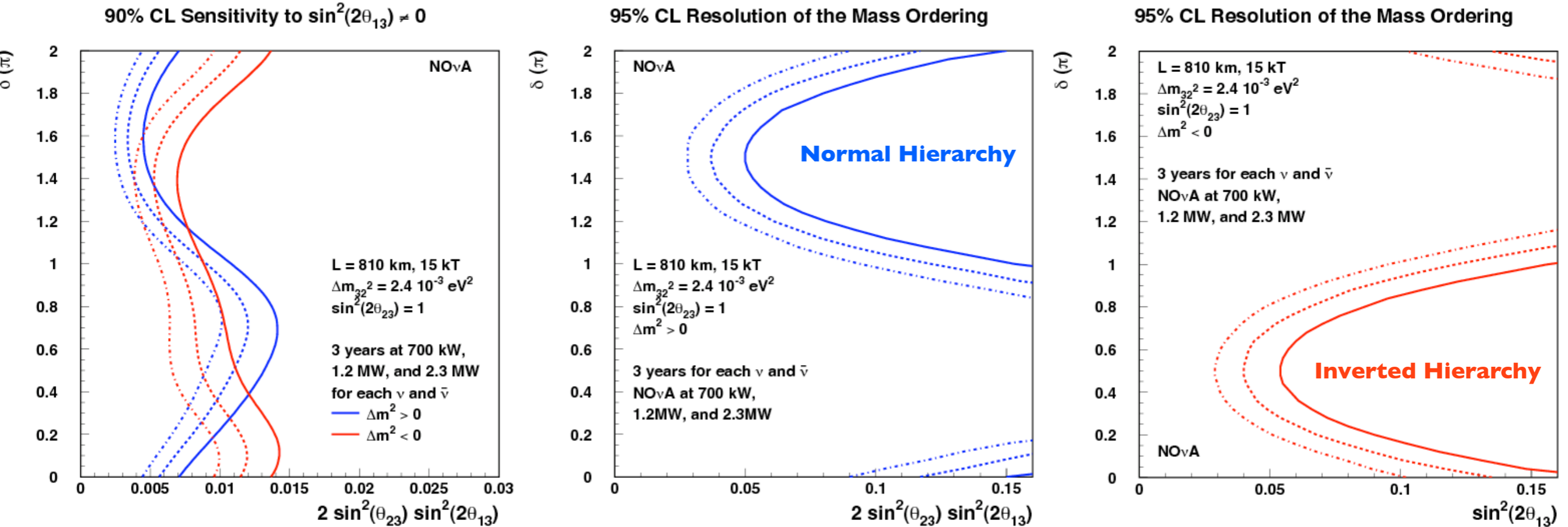
**ANU=Accelerator upgrades for NuMI, is a part of the NoVA project**

Possible Future upgrades: **SNuMI - 1.2MW** (increased momentum stacking, use of accumulator)

**Project X - 2.3 MW** (new 8 GeV linac) - see later

# NoVA sensitivity

- Sensitivity down to  $\sim 1\%$  in  $\sin^2 2\theta_{13}$
- Longer baseline  $\rightarrow$  3x matter effects of T2K
  - first opportunity to determine mass hierarchy over a significant region of phase space



Both neutrino and antineutrino running

# NoVA/T2K complementarity

Use of 2 experiments with different baselines (and matter effects) can help break parameter degeneracies inherent in single measurements

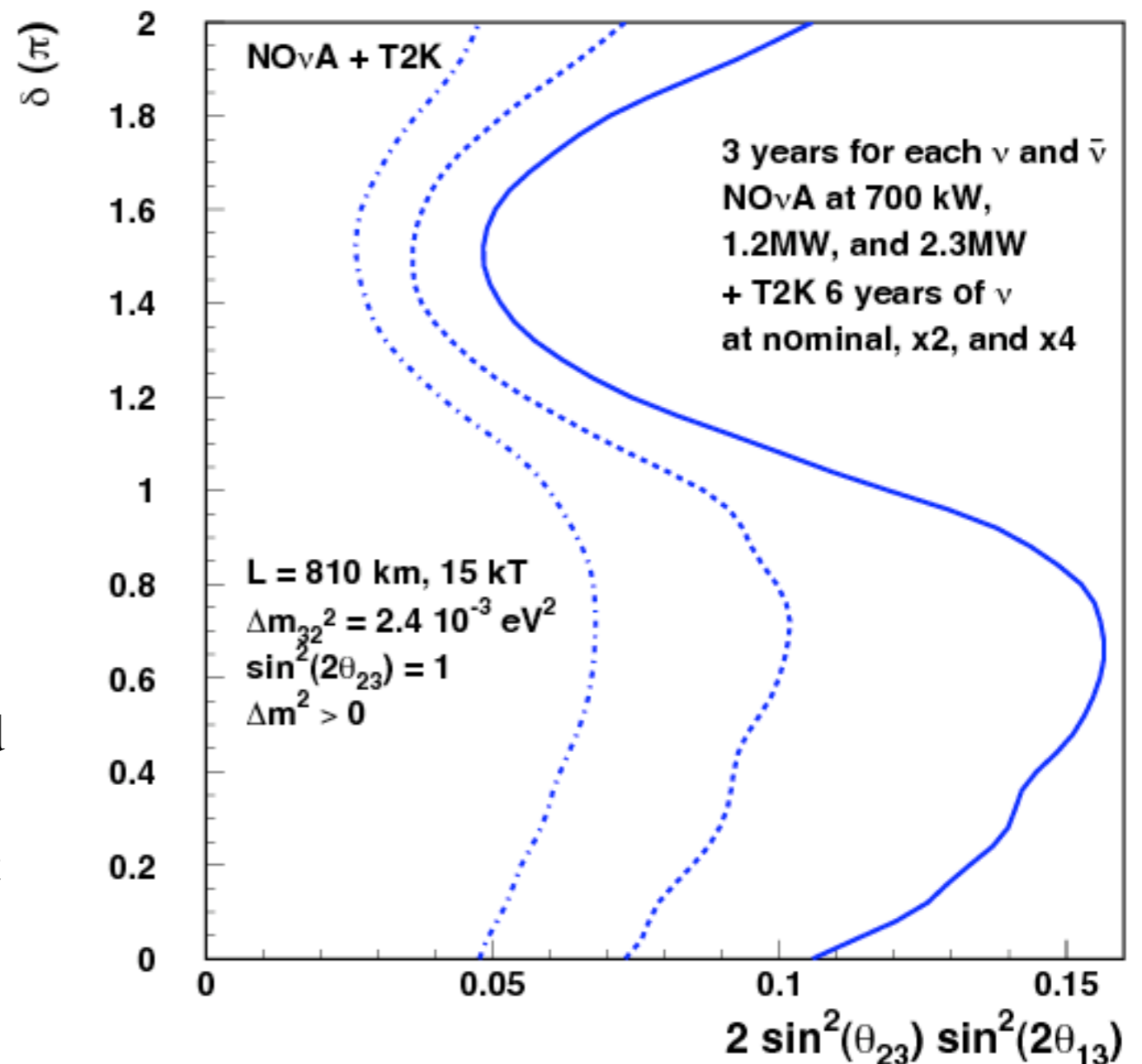
- **Region  $\delta > \pi$ :**

- NOvA resolves the hierarchy on its own through a comparison of measurements using neutrino and anti-neutrinos.

- **Region  $\delta < \pi$ :**

- *combination of:*
  - T2K's measurement using neutrinos at the first oscillation maximum which is little affected by matter effects
  - NOvA's measurement at the first oscillation maximum using neutrinos which is strongly affected by matter effects

95% CL Resolution of the Mass Ordering



# Far-future experiments (proposed)



# Far-future needs

- High beam power (neutrino flux):
  - conventional “super-beams” up to 2MW
- Massive detectors (event rate,  $\nu_e$  detection capability):
  - 100kT → 1MT scale
    - R&D into Water Cerenkov and Liquid Argon detector technologies  
(Higher mass) (Higher efficiency)
- Longer baselines
  - 1000km+
    - enhanced matter effects at first oscillation maximum
    - possibility to observe second oscillation maximum, where matter effects are suppressed → possibility to distinguish between CP violation and matter effects

# Far-Future projects: Japan

## T2KK - Tokai to Kamioka/Korea

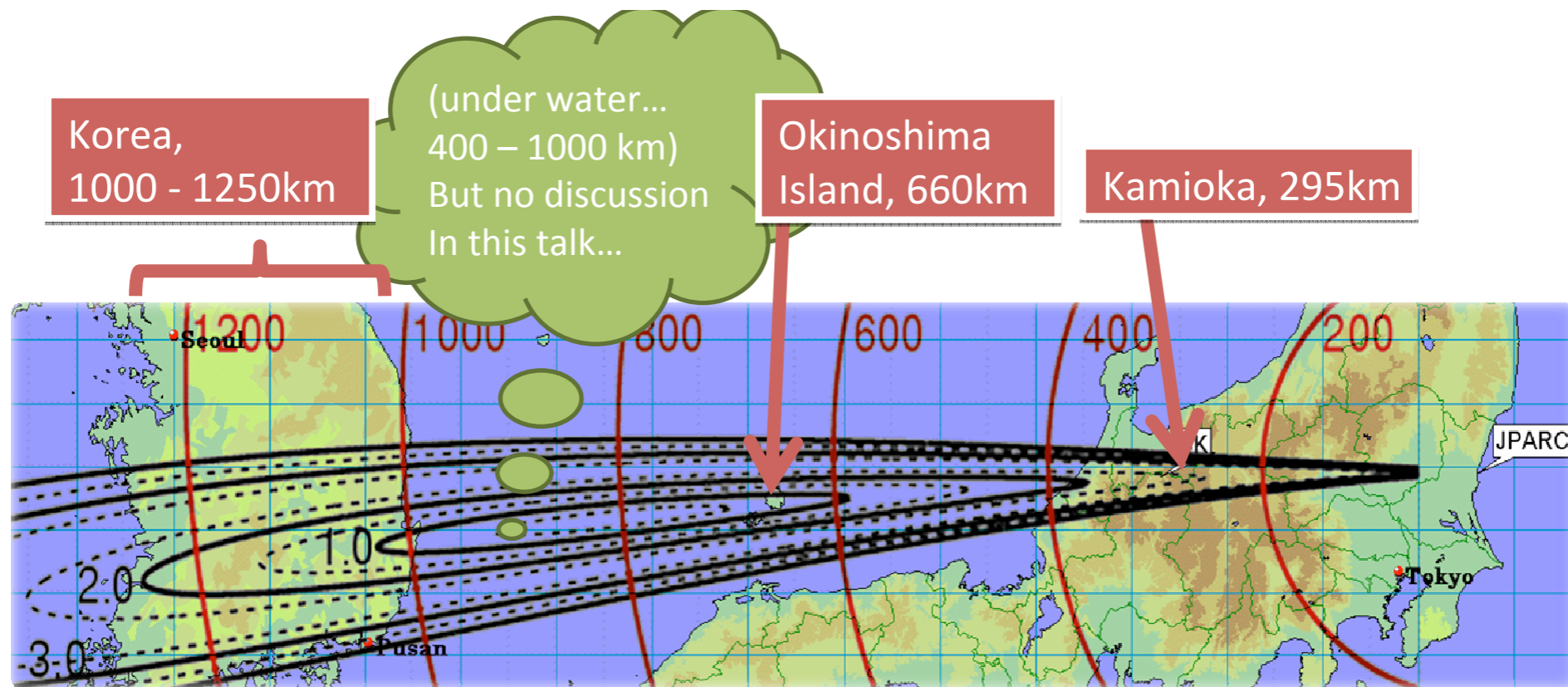


Fig: Senda NP04

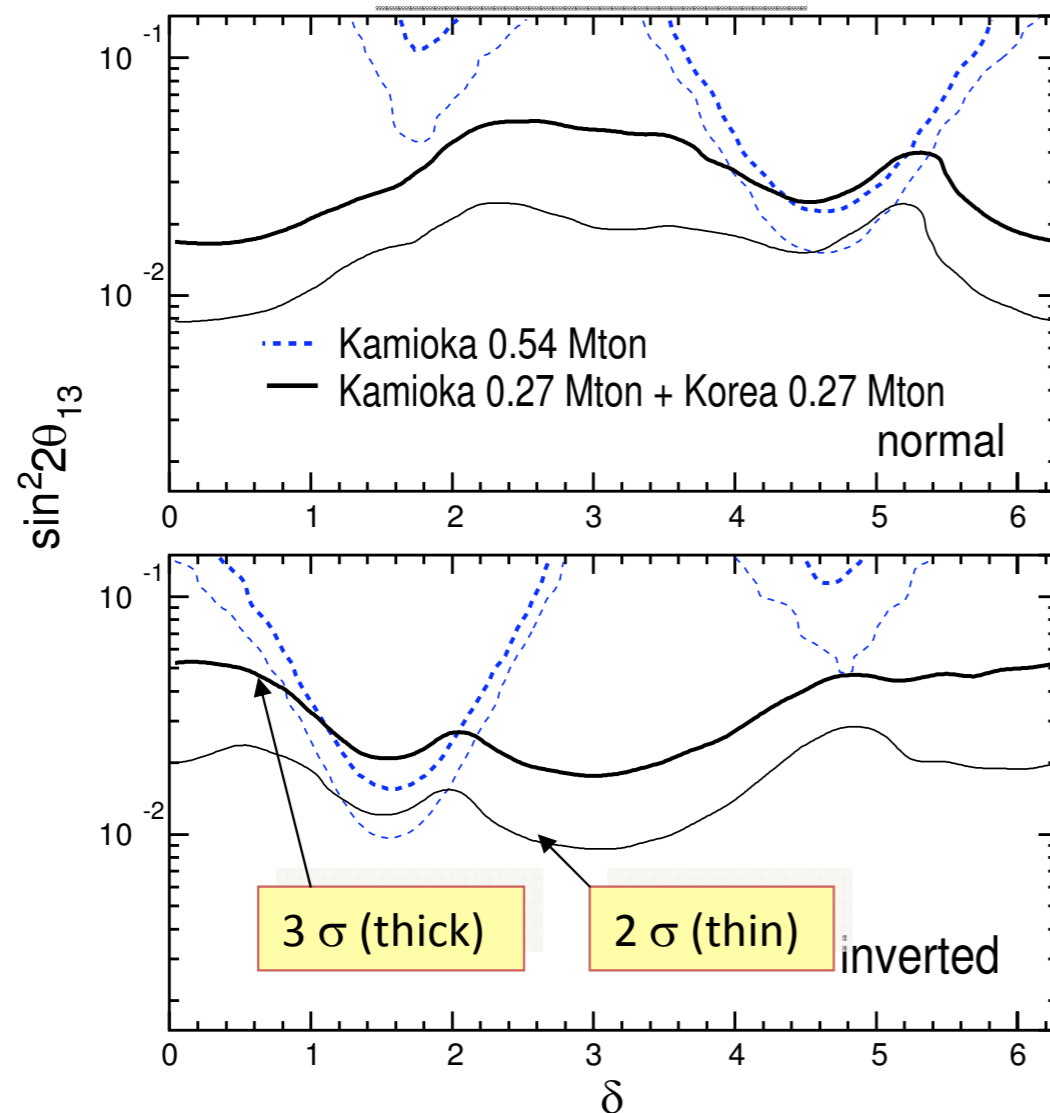
- Massive detectors (0.27MT fiducial Water Cerenkov) located at Kamioka (1st oscillation max) and Korea (2nd oscillation max)
  - also studying 0.1MT liquid argon detector designs
- Accelerator upgrades → beam power 1.66 MW

# T2KK sensitivity

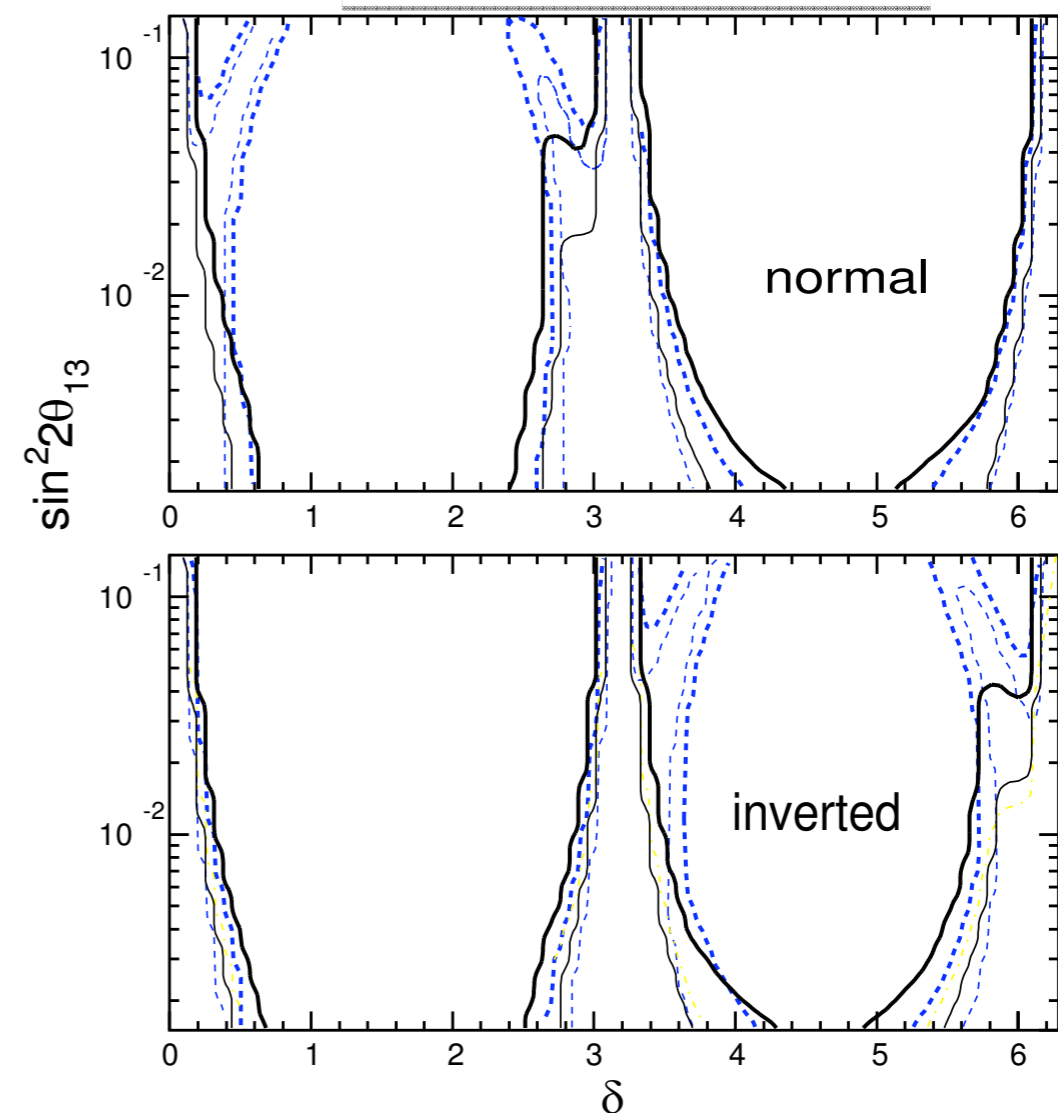
hep-ph/0504026

0.27 Mton fid. Mass at Kamioka and Korea (water Ch)  
4 years  $\nu$  beam + 4 years anti- $\nu$  beam, 4MW, 2.5 deg Off-axis

Mass hierarchy

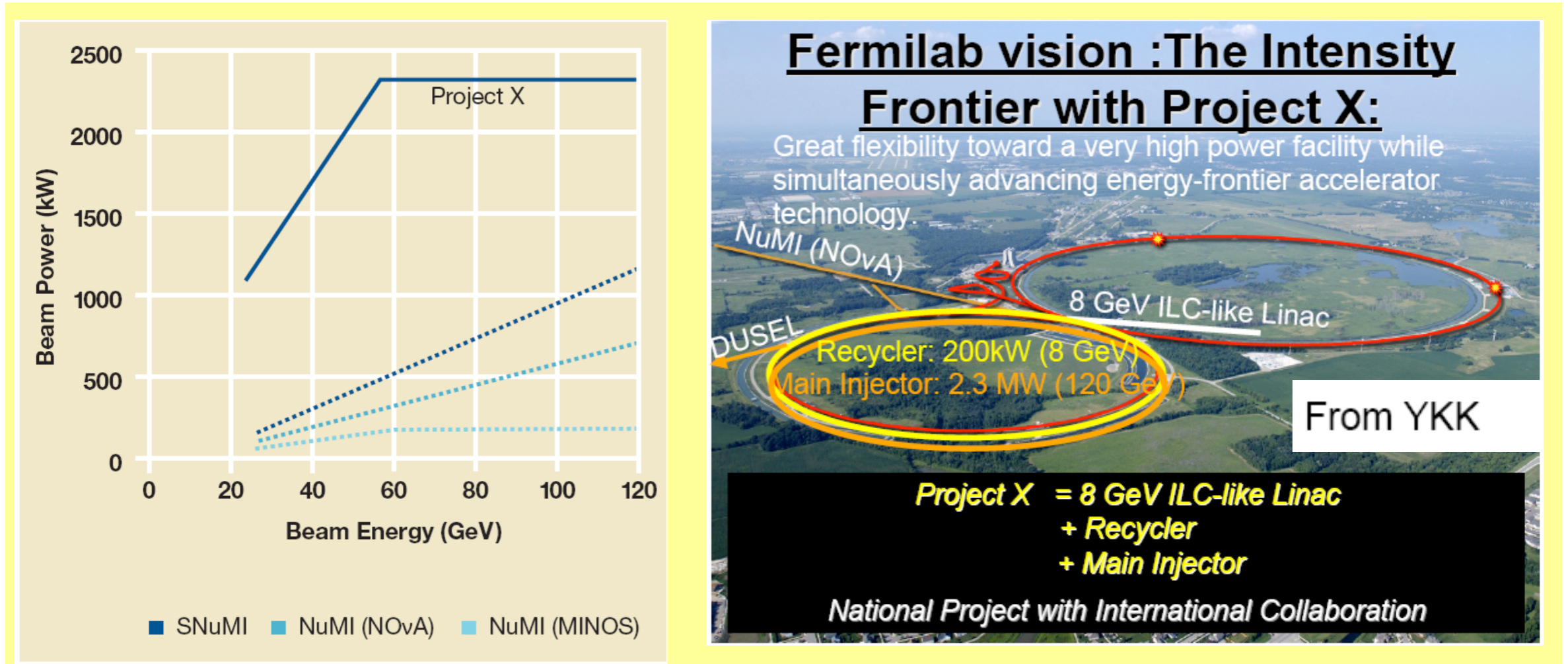


CP violation ( $\sin\delta \neq 0$ )



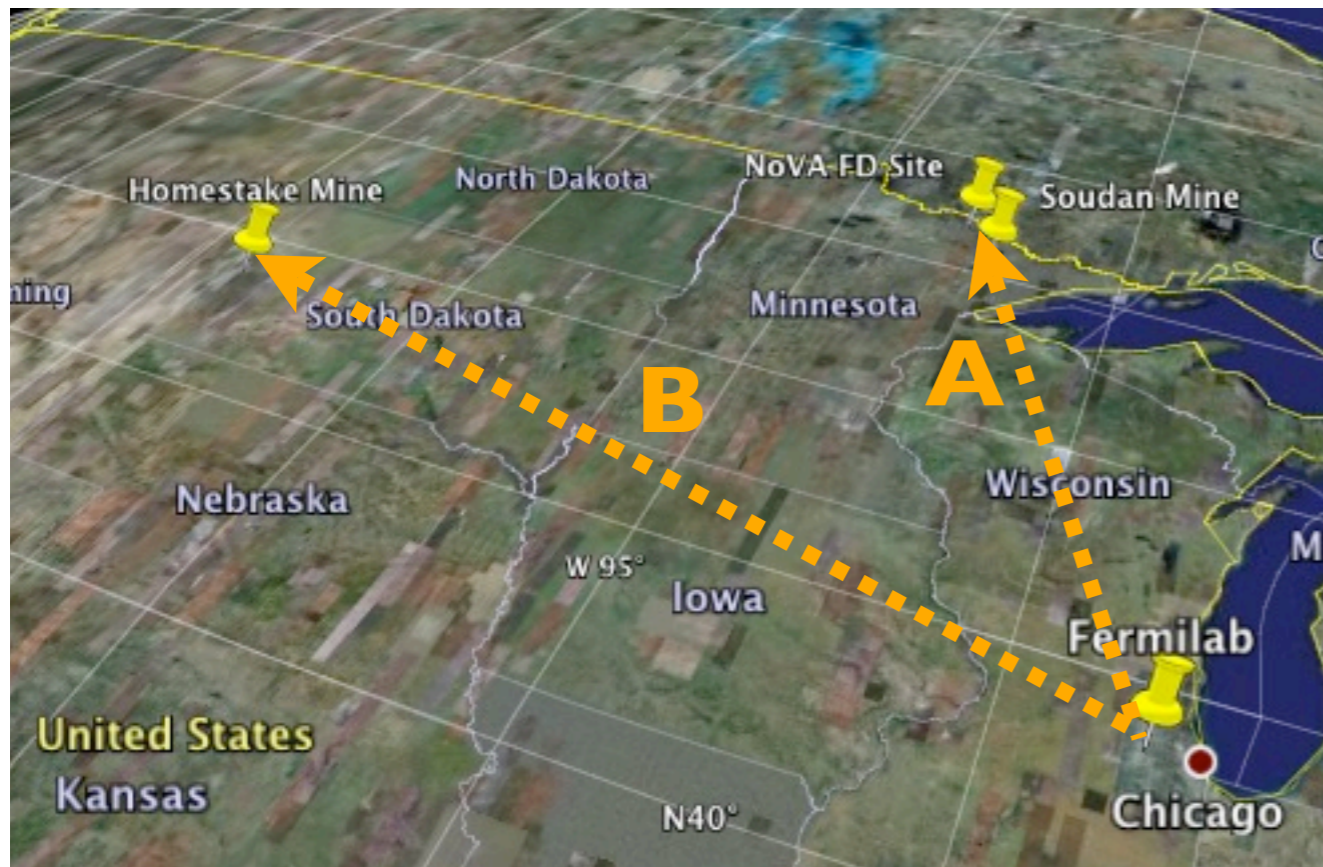
**$3\sigma$  sensitivity to mass hierarchy for  $\sin^2 2\theta > 0.02$**

# Far-Future projects: USA



- High intensity beam using new super-conducting 8 GeV linac (ILC-like technology)
  - proposed next step in FNAL neutrino beam upgrade beyond ANU (NoVA) and Super-NuMI

# Fermilab beamline options



**A:** Upgrade existing Off-axis narrow band beam to NoVA site (810 km)

**B:** New Wide-Band beam to DUSEL/Sanford Lab (Homestake mine) (1300 km)

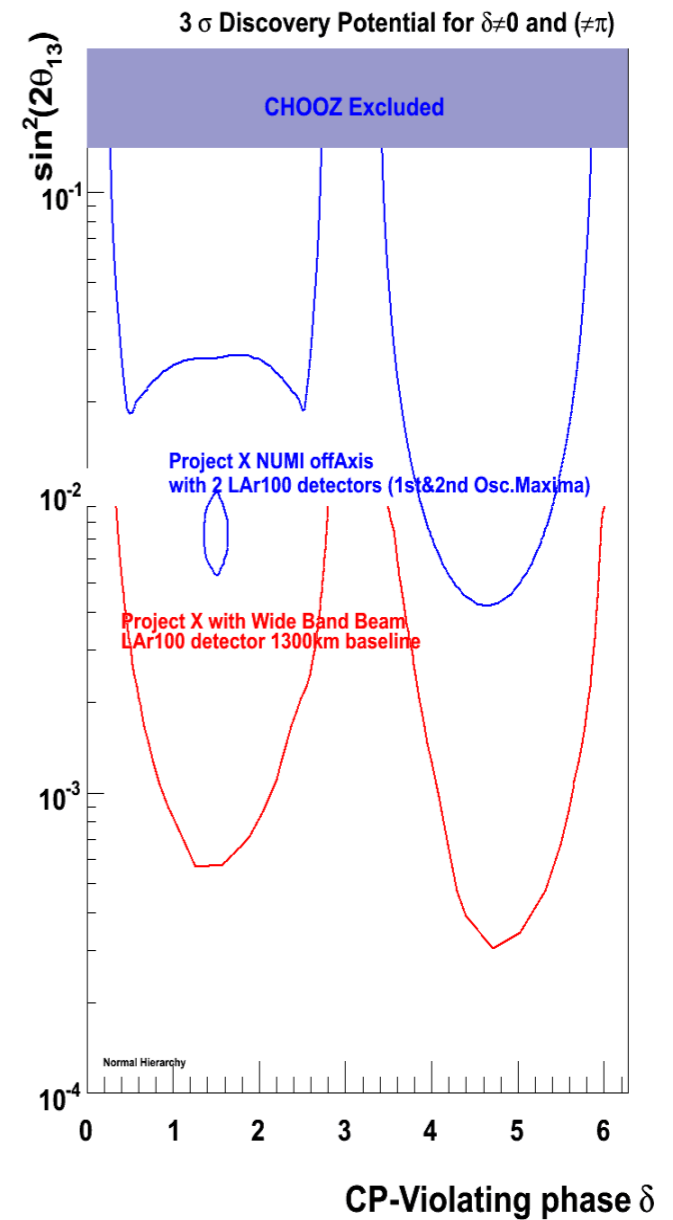
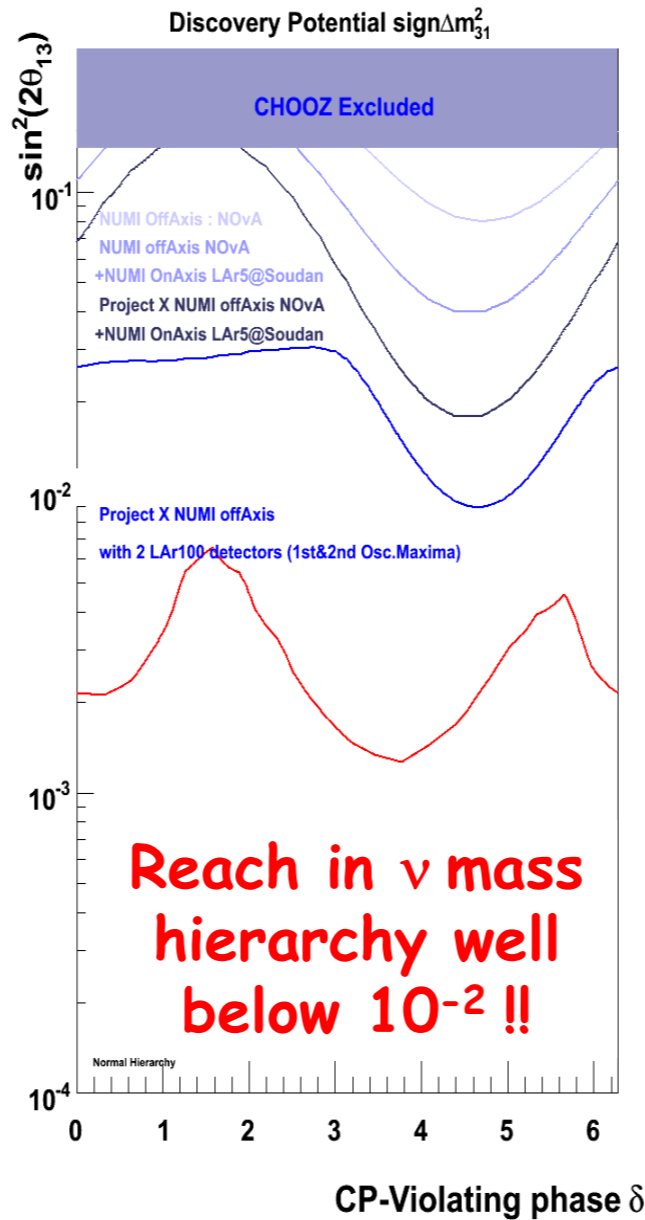
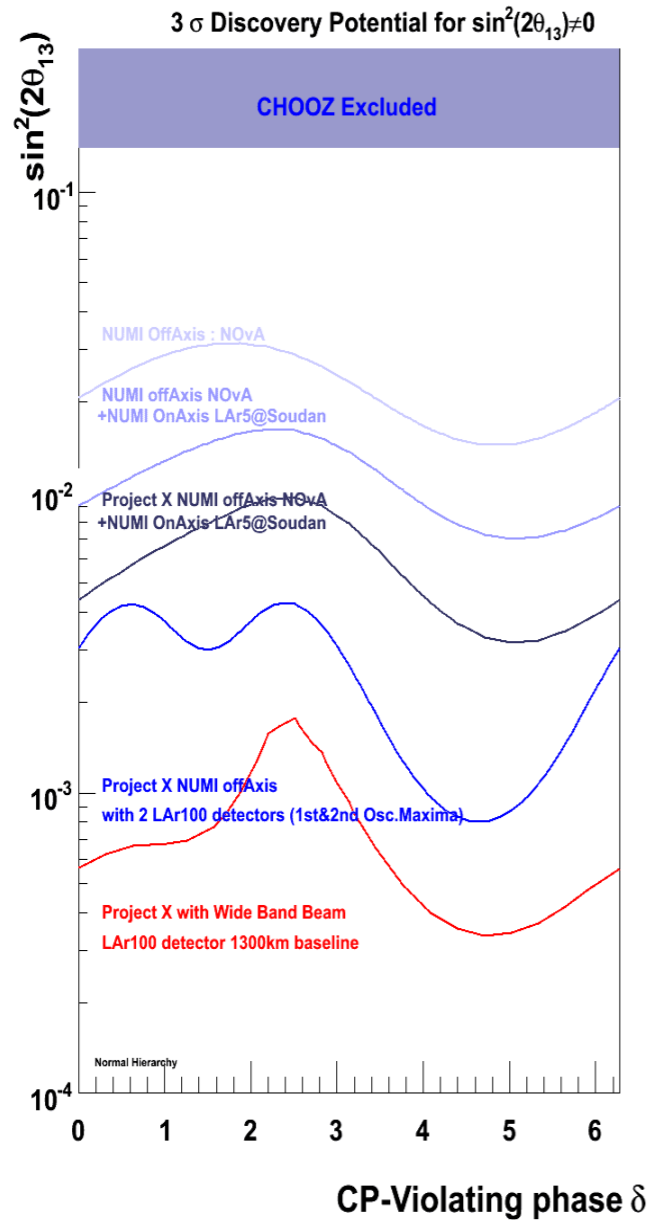
- Detector considerations:

- 300kT Water Cerenkov; 100kT Liquid Argon

Staged approach: ArgoNeut → MicroBoone → 5kT LAr

# Project X sensitivities

## Physics Reach : FNAL to DUSEL with 0.1 Mton LAr

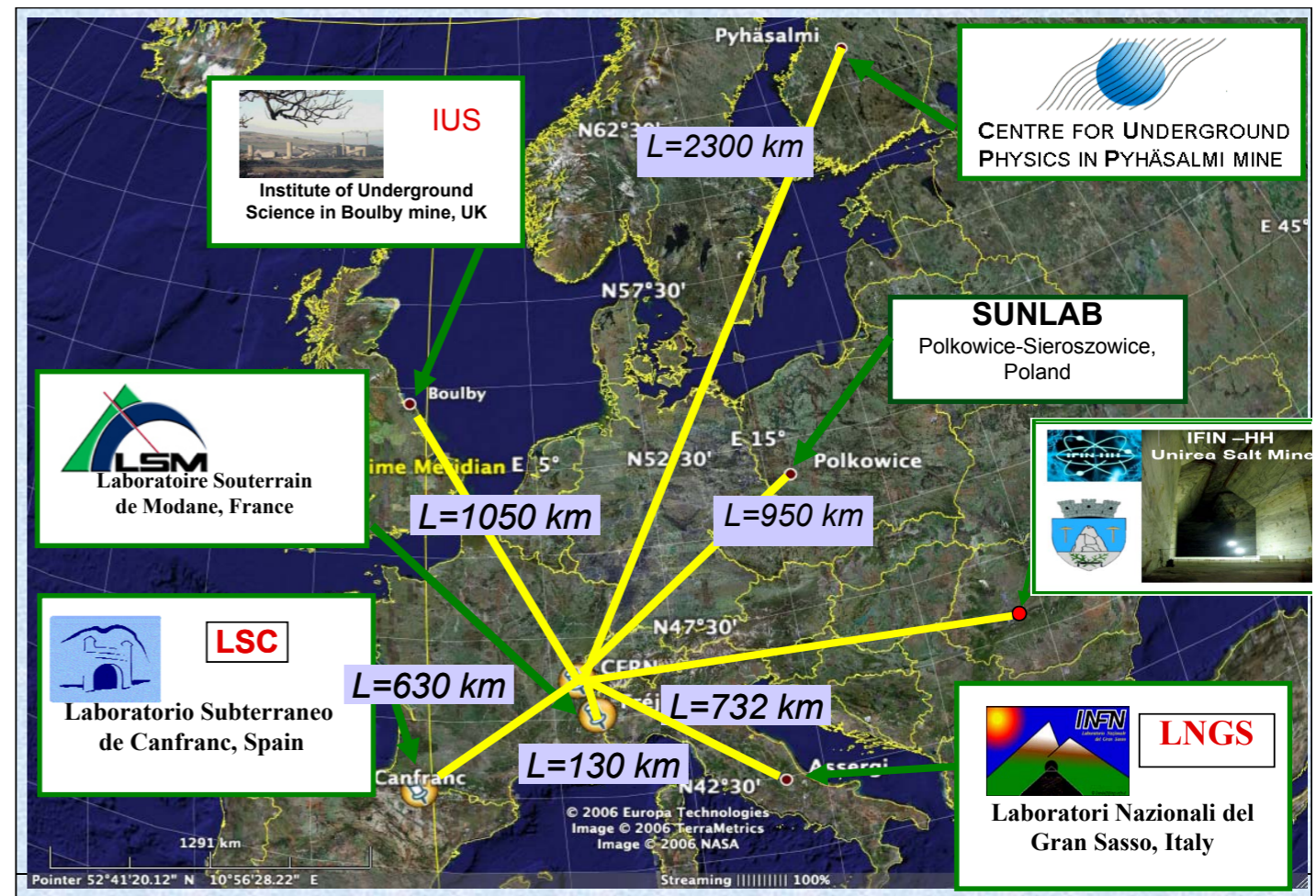


NOvA - NOvA+5ktLAr - NOvA+5ktLAr+PX - NOvA+100kt LAr +PX  
 100ktLAr (OR 300kt WC) +New WBB+PX at DUSEL

# Far-Future projects: Europe

- Large-scale multi-purpose detector design studies underway
  - 0.5 MT Water Cerenkov, 100kT LAr, 50kT liquid scintillator.
- High intensity beams:
  - conventional super-beams
  - beta beams ( $\nu_e$ )
- Further future: neutrino factory beams ( $\nu_\mu$  and  $\nu_e$ ) and longer baselines

- **LAGUNA** [cfr talk by Th. Patzak, contact person for France] Started  
 Design of a pan-European Infrastructure for Large Apparatus studying Grand Unification and Neutrino Astrophysics  
 ☞ Several institutions participating to WP2-Underground Infrastructures and Engineering and WP4-Science Impact and Outreach
- **EUROnu** (ranked 1st among FP7 Infrastructure proposals !) Started  
 A High Intensity Neutrino Oscillation Facility in Europe Study  
 ☞ Physics performance of Water Cerenkov detector to measure neutrino oscillation parameters with SuperBeam and BetaBeam, including detailed response and backgrounds



# Summary and Prospects

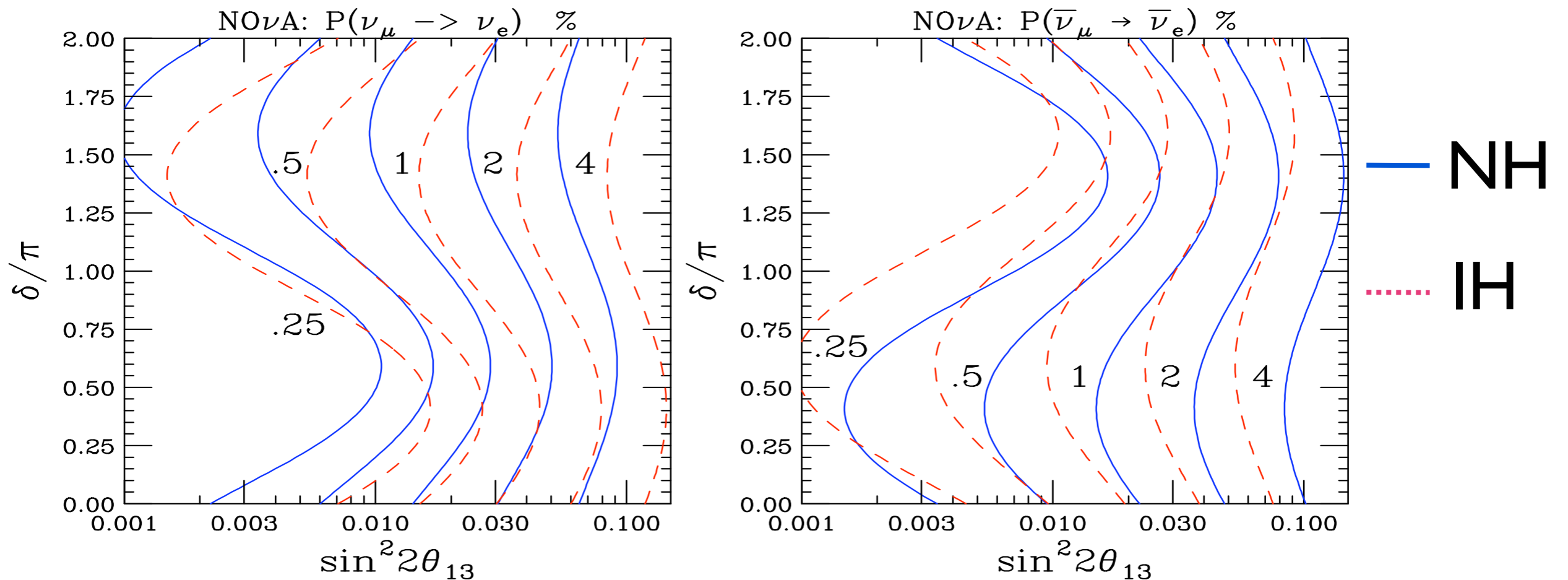
- Paradigm shift (since 1998):
  - phenomenon of neutrino oscillations is now well-established. No longer talk of neutrino “anomalies”
- Now entering the measurement phase of the PMNS matrix in long-baseline experiments:
  - Current generation: Precision measurement of 2-3 sector, verify  $\nu_{\mu} \rightarrow \nu_{\tau}$  mixing hypothesis. First chance at observing non-zero  $\theta_{13}$
  - Next generation: Focussed on search for non-zero  $\theta_{13}$  (down to 1% level). Possibility of resolving mass hierarchy if nature is kind
  - Far-future: Push  $\theta_{13}$  search to  $10^{-3}$  level. Search for CP violation

Difficult (but exciting) challenges ahead - a range of experiments and approaches will be required



Back-up slides

# Iso-probability contours



CPT symmetry

$$P(\nu_\mu \rightarrow \nu_e)(\delta, NH) = P(\bar{\nu}_\mu \rightarrow \bar{\nu}_e)(2\pi - \delta, IH)$$

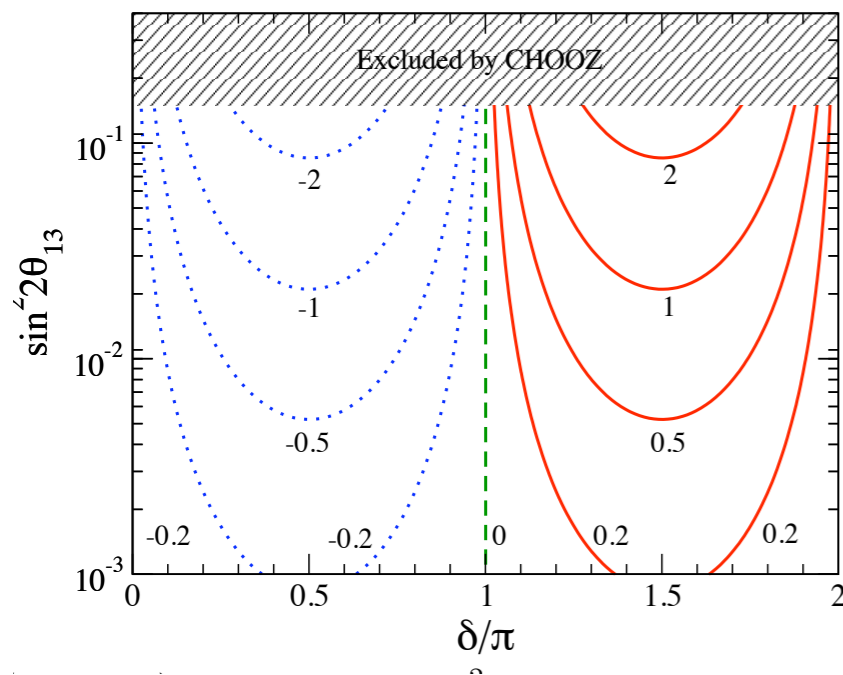
difference between NH/IH  $\rightarrow$  size of matter effect

$$P(\nu_\mu \rightarrow \nu_e) - P(\bar{\nu}_\mu \rightarrow \bar{\nu}_e)$$

**Iso-contours:** fractional difference between neutrino and anti-neutrino probabilities

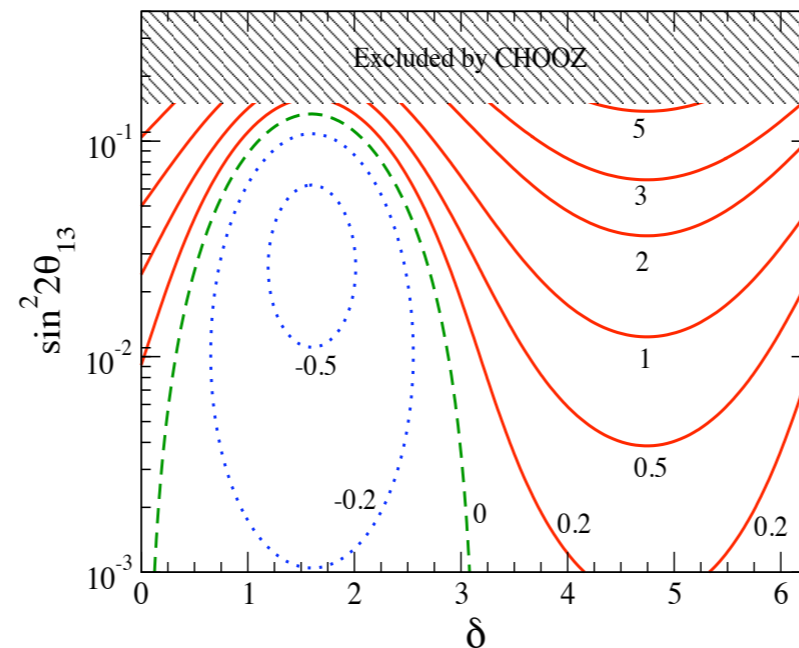
### No matter effects

Iso-Contours of  $\Delta P_{\nu\bar{\nu}} [\%]$  in Vacuum (L=810 km, E = 2.0 GeV)



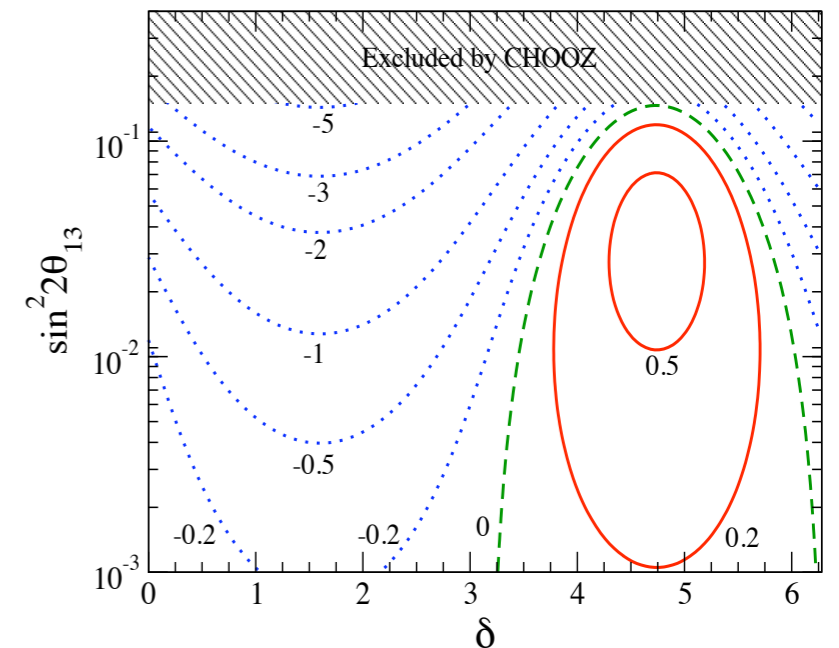
### Normal Hierarchy: matter effects

Iso-Contours of  $\Delta P_{\nu\bar{\nu}} [\%]$  in Matter for NH (L=810 km, E = 2.0 GeV)



### Inverted Hierarchy: matter effects

Iso-Contours of  $\Delta P_{\nu\bar{\nu}} [\%]$  in Matter for IH (L=810 km, E = 2.0 GeV)



Reference: arXiv:0710.0554v2 (2008)

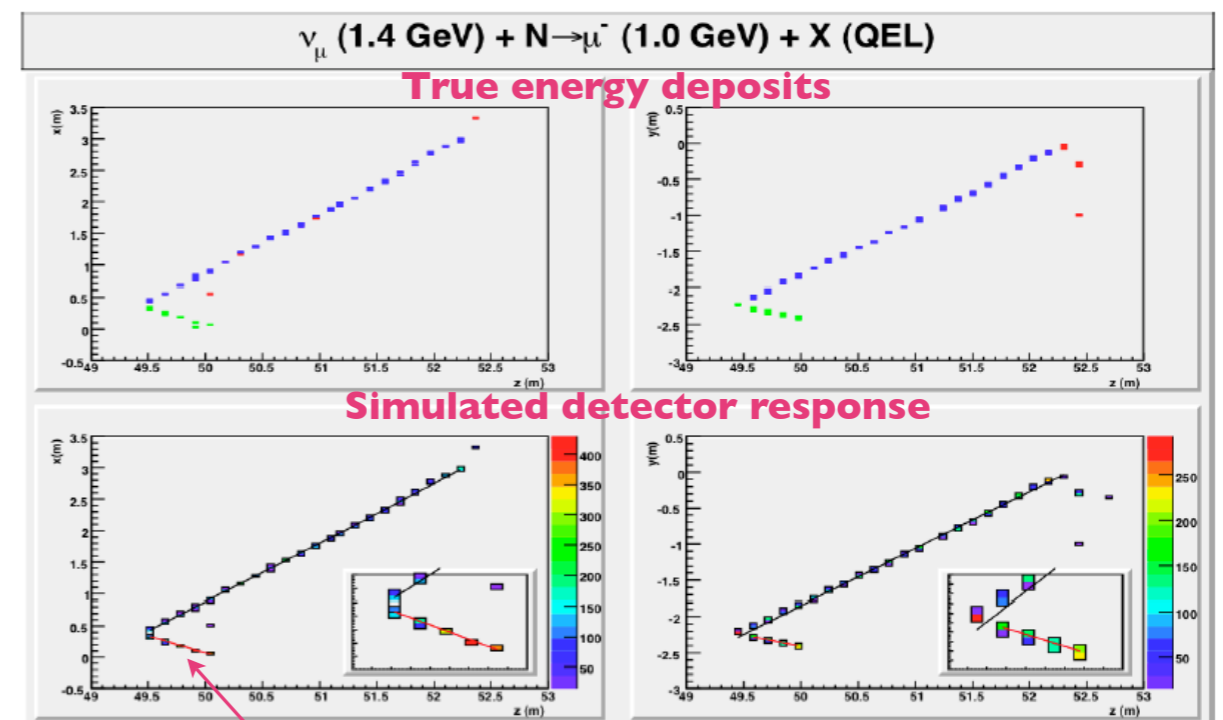
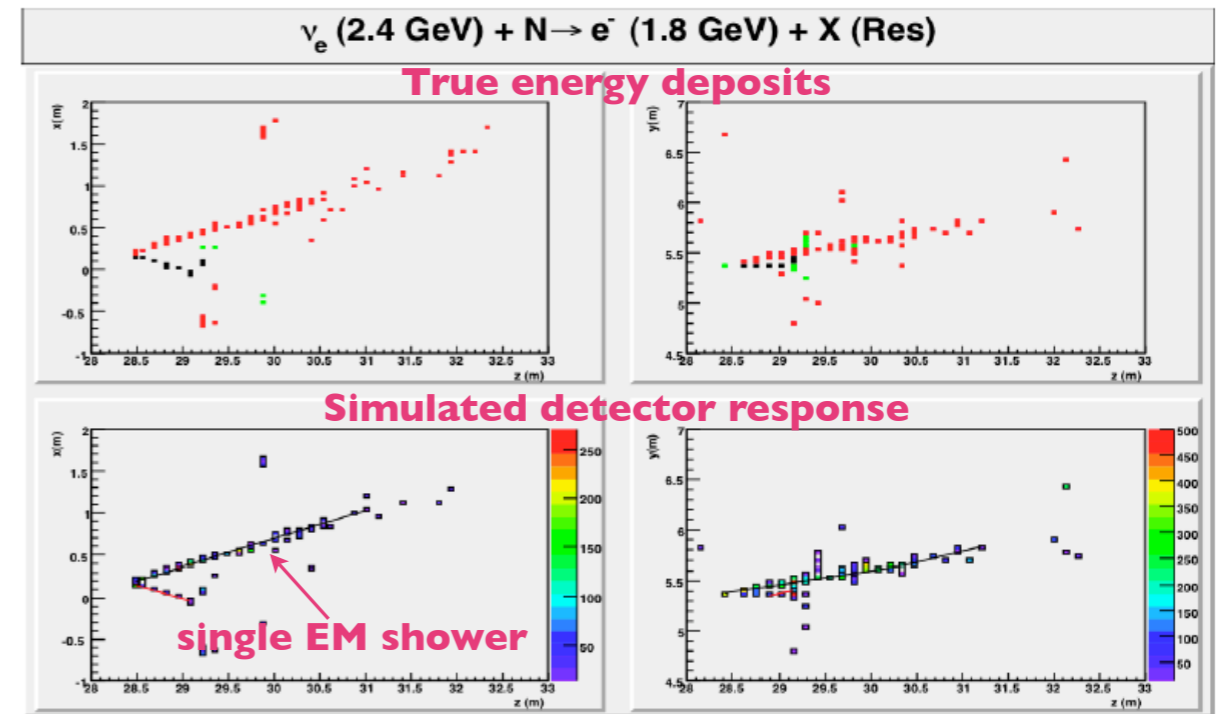
matter effects enhance fractional difference for one sector of  $\delta$  and suppress it in the other

illustrates ambiguity between matter effects and CP violating effects

# NoVA physics measurements

- Principal measurement is search for sub-dominant  $\nu_\mu \rightarrow \nu_e$  oscillations.
  - good spatial resolution allows separation between  $\nu_e$  signal and NC  $\pi^0$  background events
- Secondary goals are precision measurements of  $\theta_{23}$  sector via  $\nu_\mu$  disappearance

## Simulated events

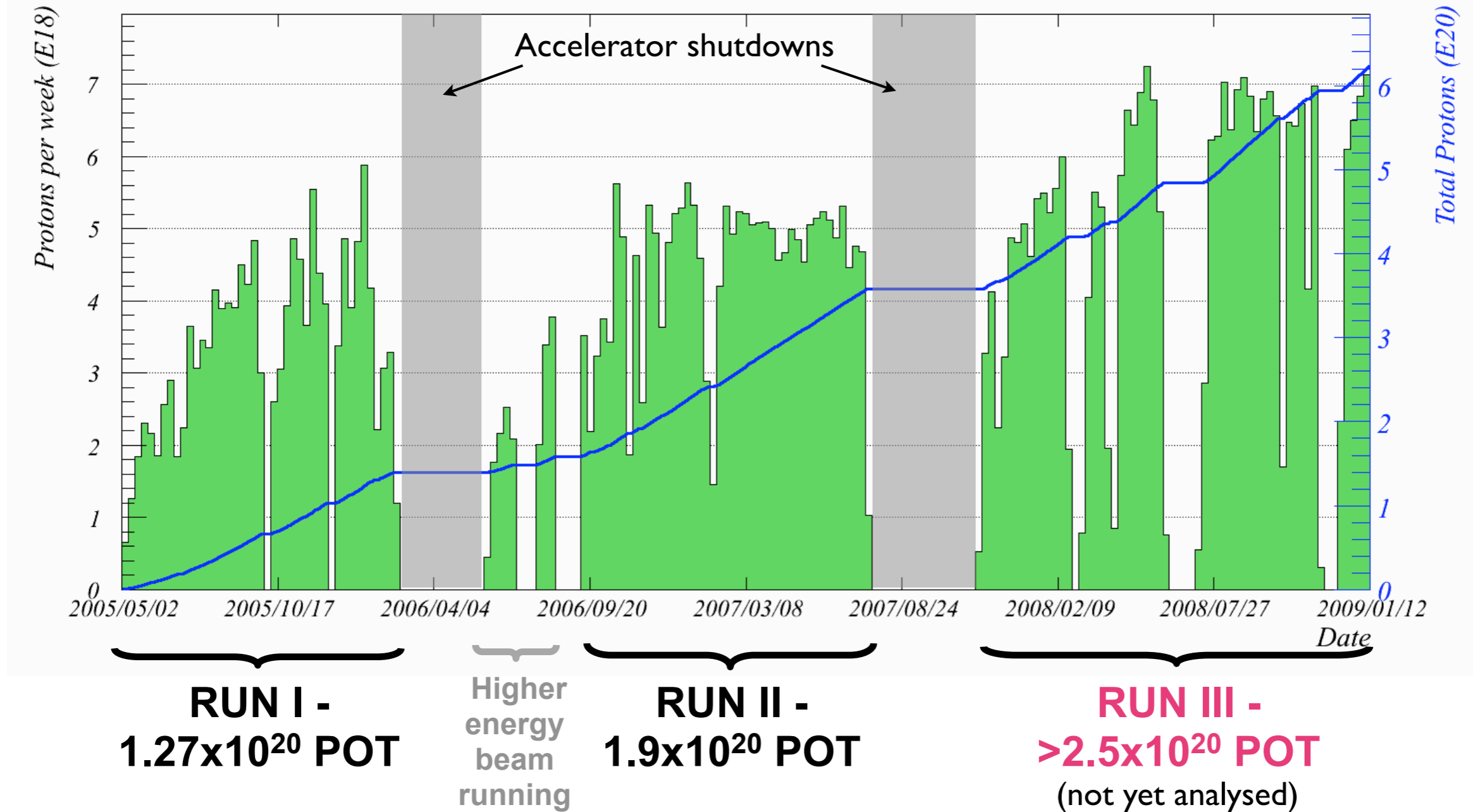


recoil proton

# NuMI beam delivery and analysis datasets

## Protons on Target for NuMI

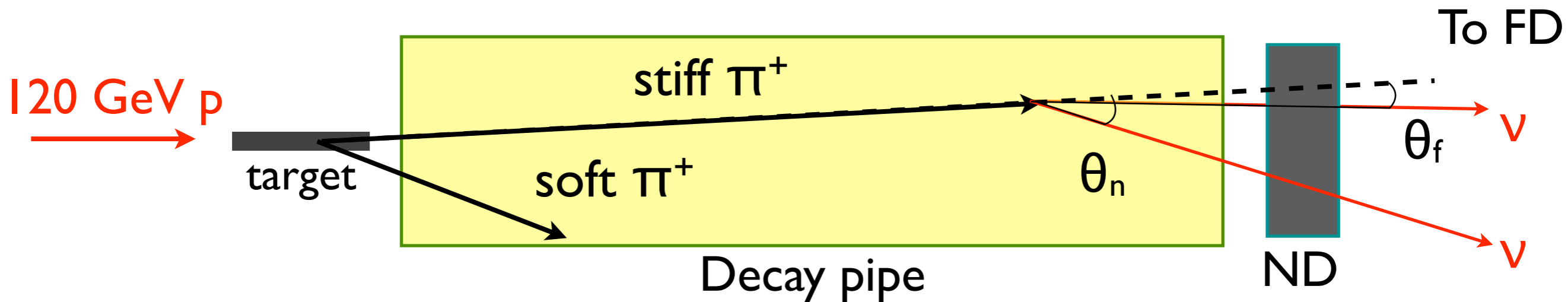
Total NuMI protons to 00:00 Monday 12 January 2009



This analysis: **Run I + Run II -  $3.2 \times 10^{20}$  POT**

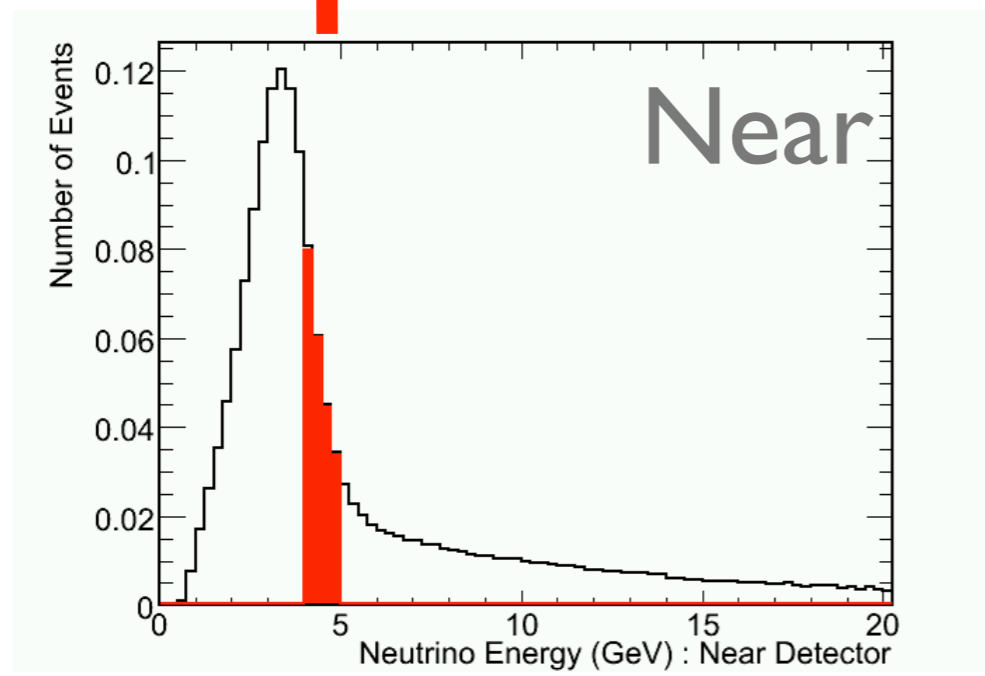
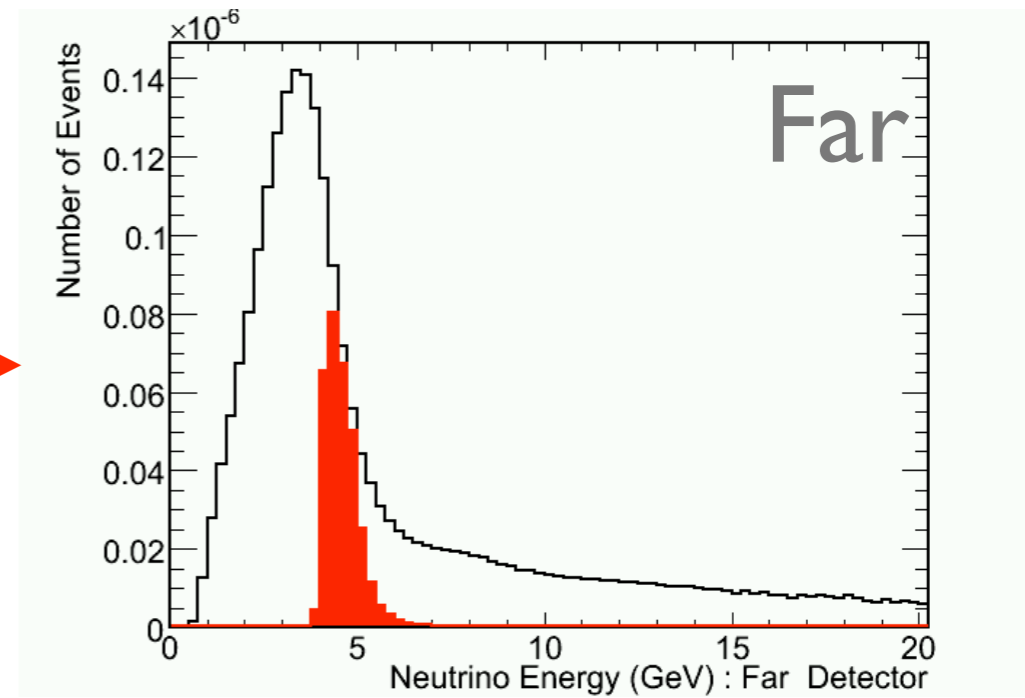
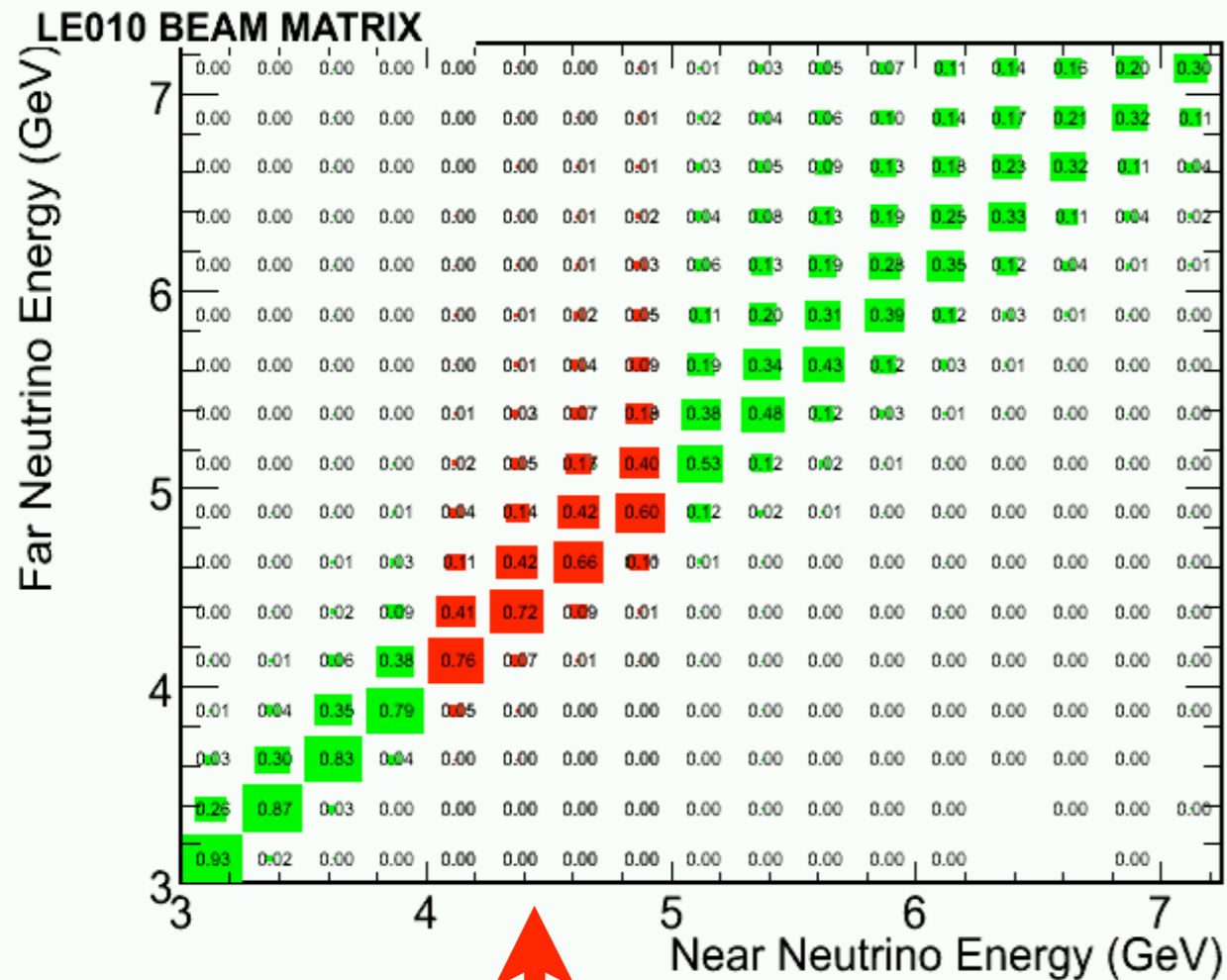
# Extrapolating the flux

- Directly use the MINOS Near detector data to perform the extrapolation between Near and Far, **using the Monte Carlo to provide necessary corrections due to energy smearing and acceptance.**
- Use the knowledge of **pion decay kinematics and the geometry of the beamline** (extended neutrino source, seen as point-like from the Far Detector) to **predict the Far detector energy distribution from the measured Near detector distribution**



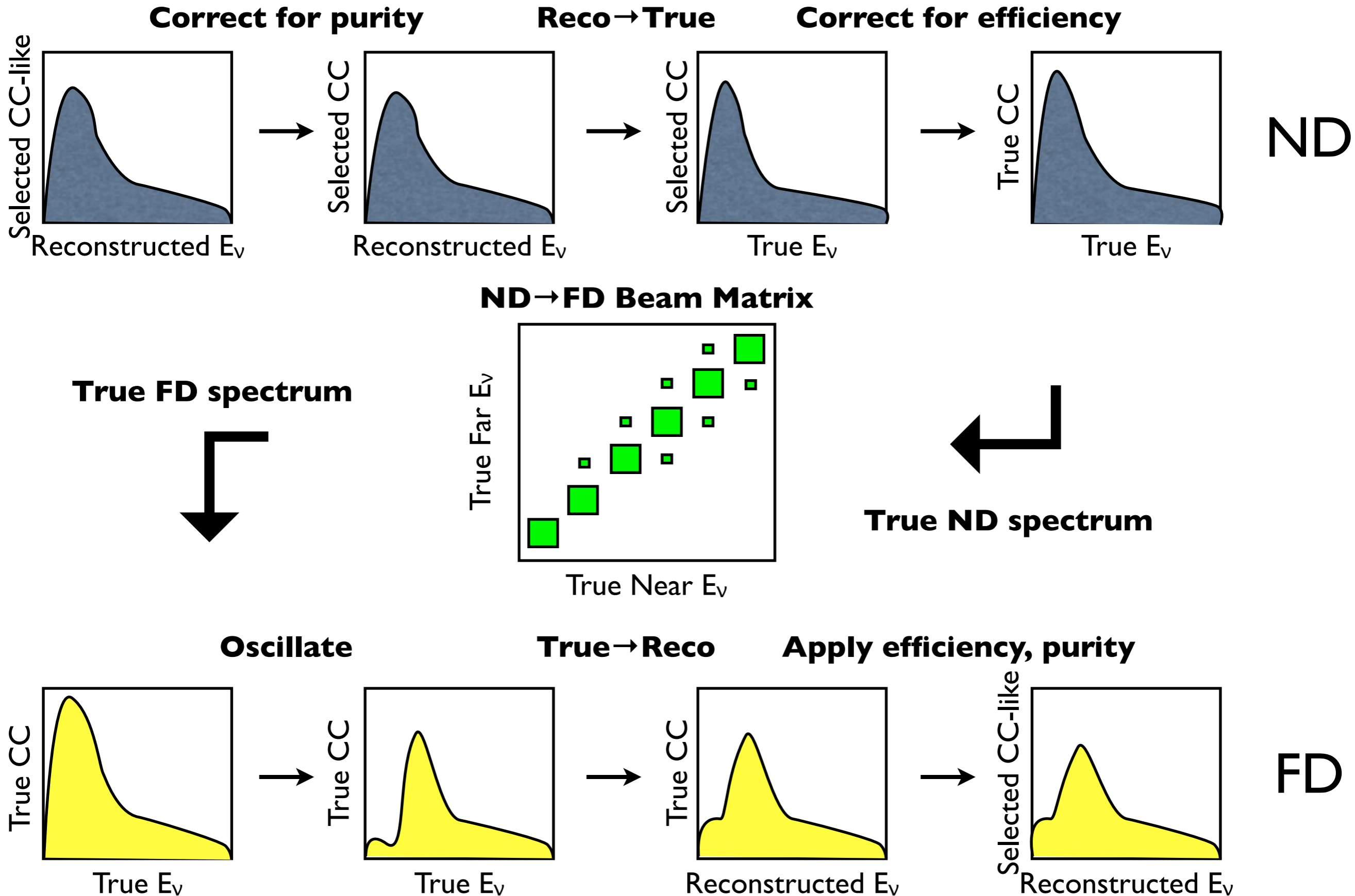
- This method is known as the “**Beam Matrix**” method.

# The Beam Matrix



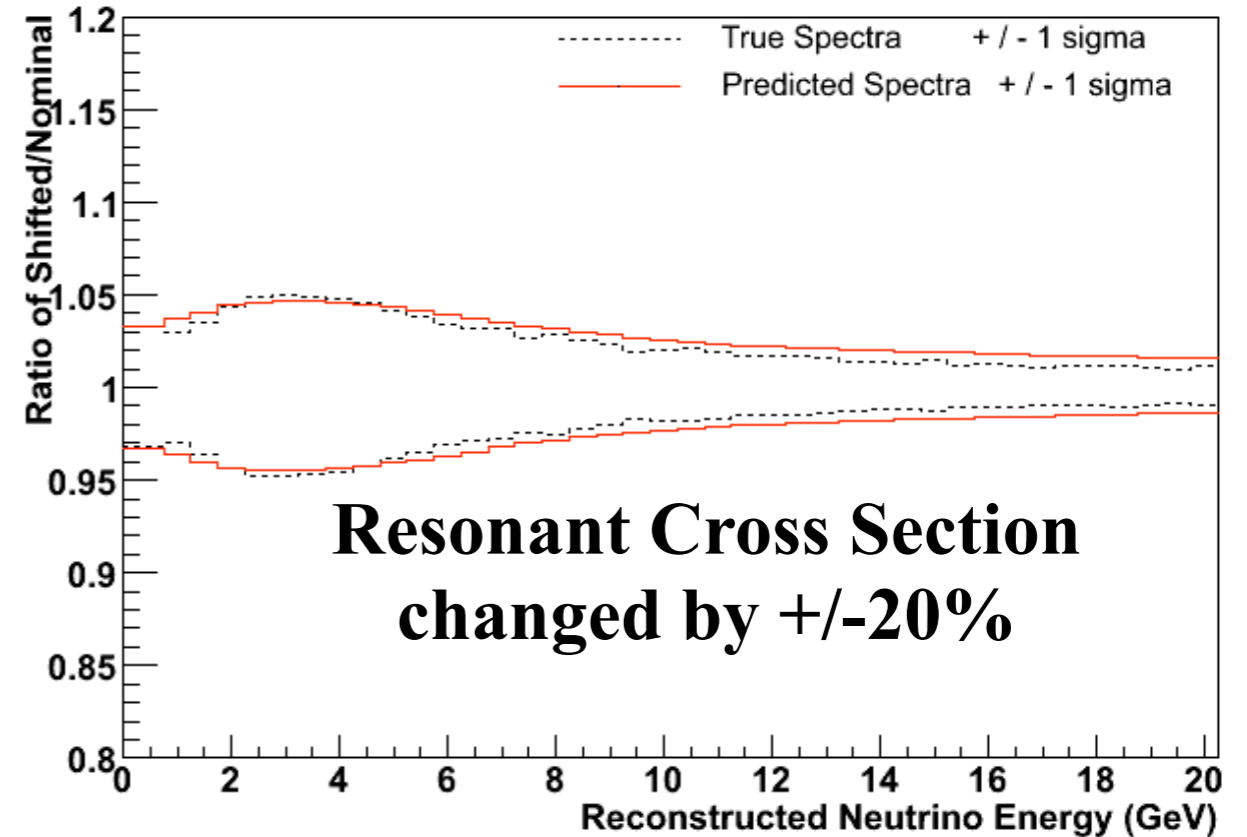
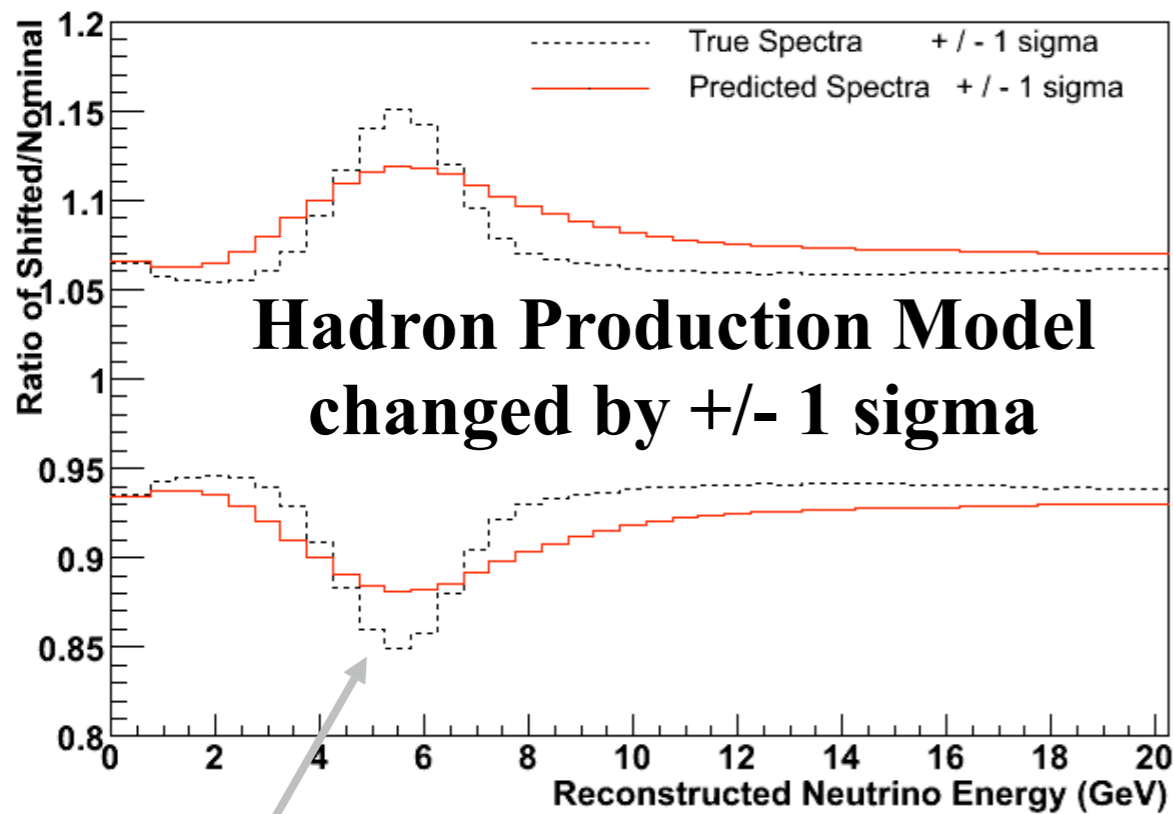
- Beam Matrix encapsulates the knowledge of pion 2-body decay kinematics & geometry.
- Beam Matrix provides a very good representation of how the near and far detector spectra relate to each other.

# Steps in the Beam Matrix method





# MINOS: Cancelling systematic errors



- We have investigated (using MC) the effect of systematic uncertainties on the predicted FD spectrum. The plots above illustrate uncertainties in beam modelling and neutrino cross-sections
  - the dashed lines show the magnitude of the systematic effect introduced to our reconstructed energy spectrum (relative to nominal MC)
  - the red lines show the predicted spectrum in these two cases, when the Beam Matrix method is used to extrapolate from Near-Far
  - the true and predicted spectra are very close, indicating that the effect of these systematics largely cancel when this method is used.

# K2K energy spectrum

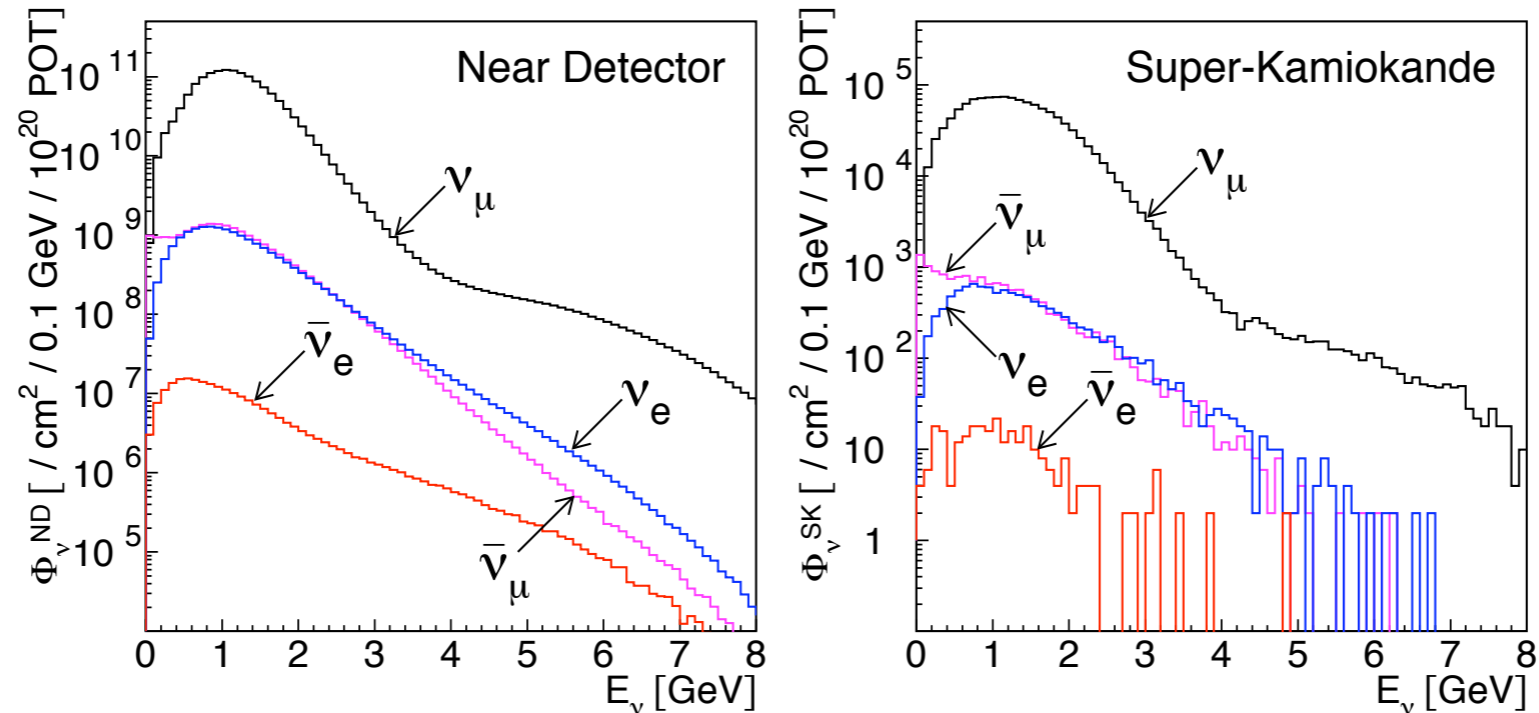
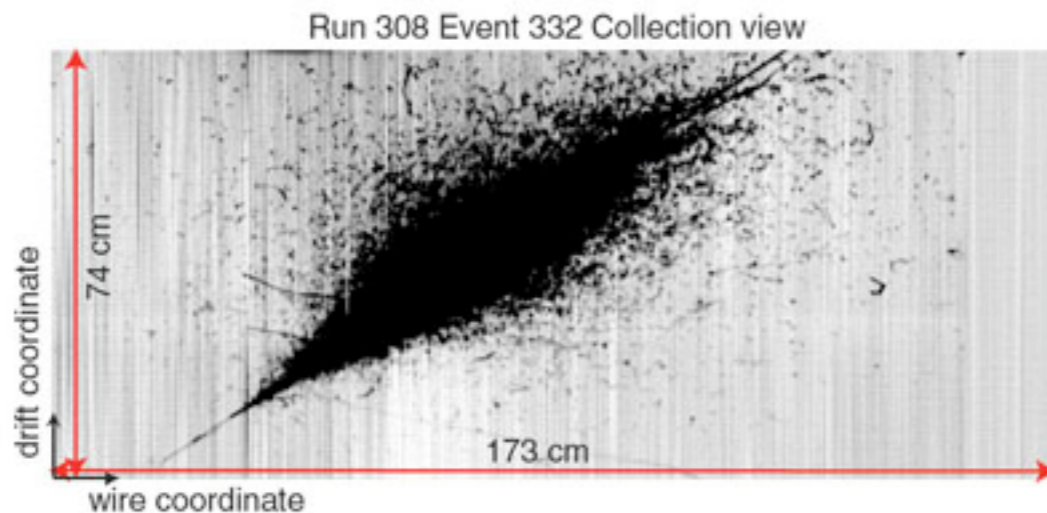


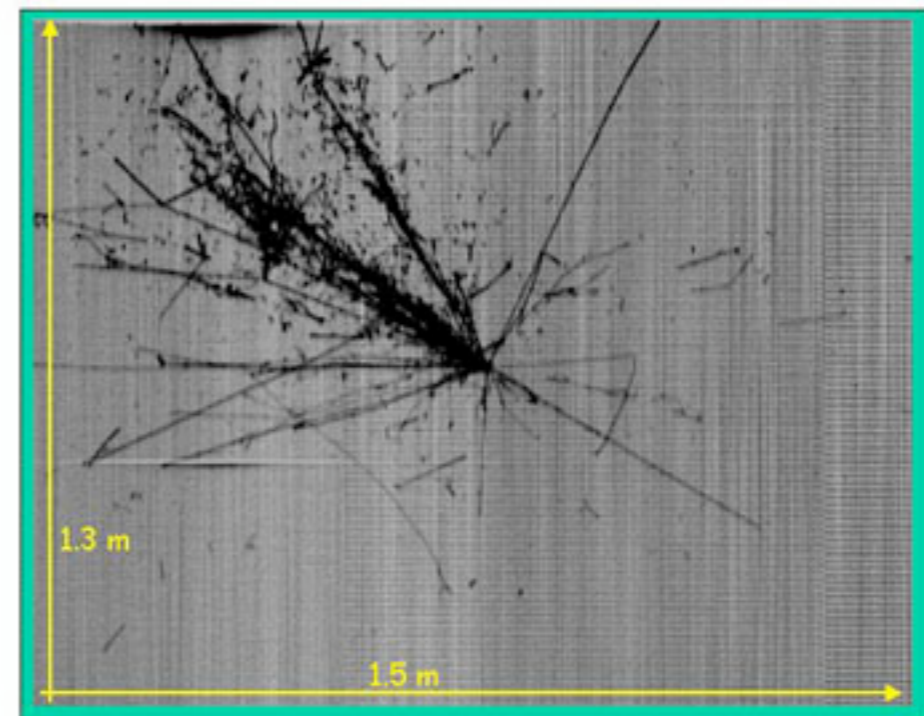
FIG. 6: The energy spectrum for each type of neutrino at ND (left) and SK (right) estimated by the beam MC simulation. The neutrino beam is 97.3% (97.9%) pure muon neutrino with contaminations of  $\nu_e/\nu_\mu \sim 0.013$  (0.009),  $\bar{\nu}_\mu/\nu_\mu \sim 0.015$  (0.012), and  $\bar{\nu}_e/\nu_\mu \sim 1.8 \times 10^{-4}$  ( $2.2 \times 10^{-4}$ ) at ND (SK).

# ICARUS

- 600t LAr detector, being installed in Gran Sasso
- High resolution images: multi-purpose detector:
  - Long-baseline neutrinos (CNGS)
  - solar/atmospheric neutrinos
  - proton decay
- Expected to be ready for data taking in 2009



Broad Electromagnetic Shower

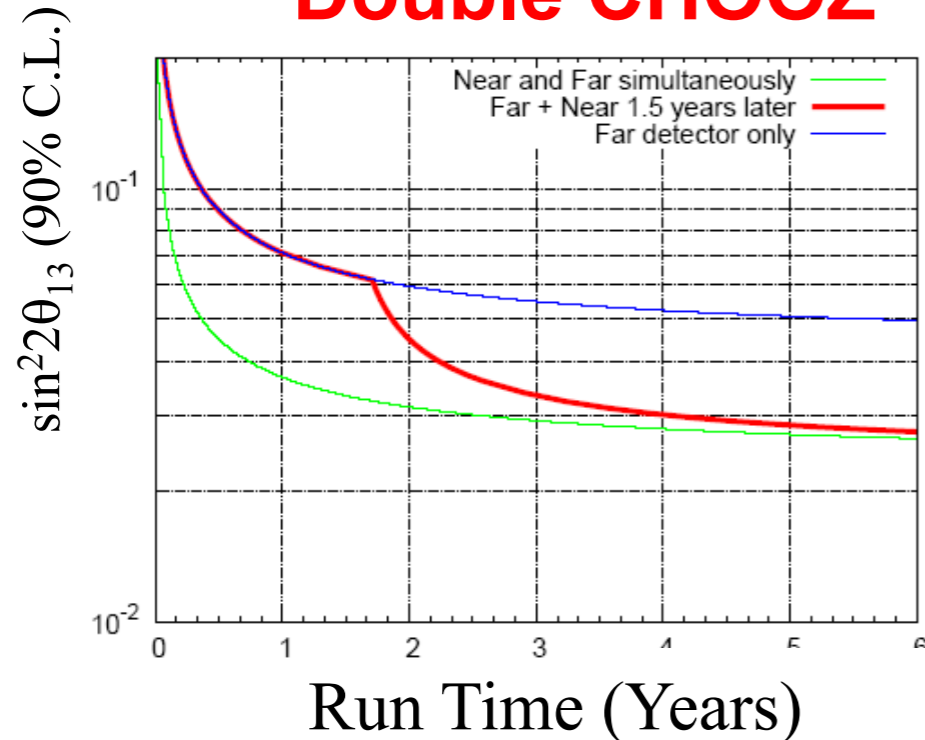


ICARUS T600: June2001 - Pavia Test

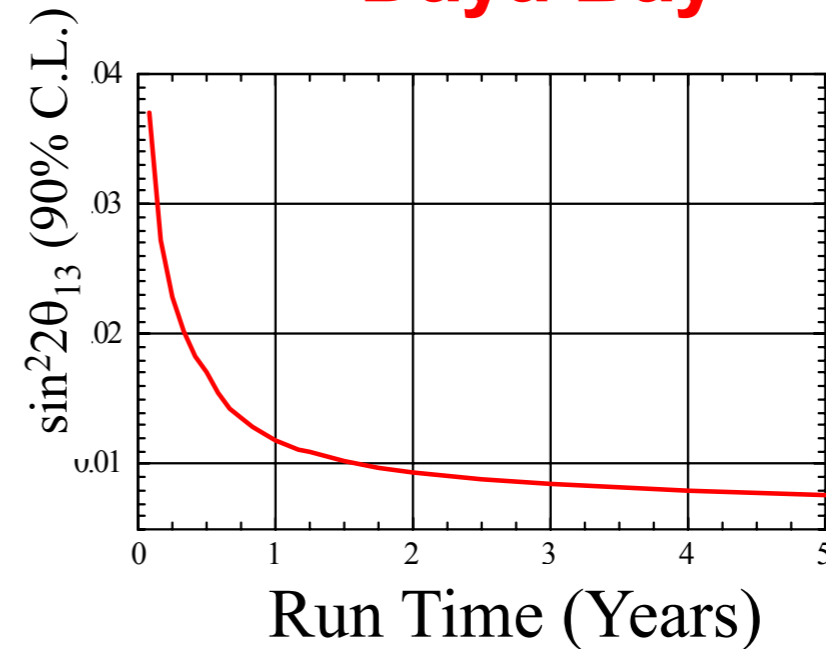
Cosmic ray interaction in LAr

# Reactor experiments

## Double CHOOZ



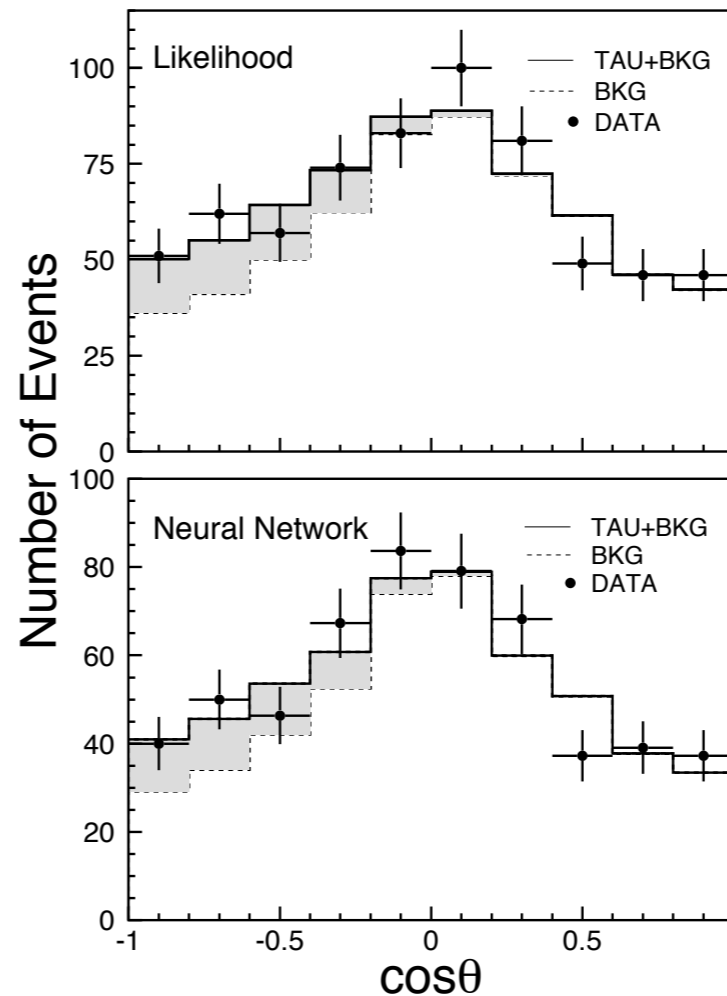
## Daya Bay



- Next generation of reactor experiments coming online in the next 2 years:
  - Double CHOOZ - start mid 2009 (no ND)
  - Daya Bay - Start commissioning in 2010
- Combine near and far detector results to reduce systematic errors
- Aiming for sensitivity to  $\sin^2 2\theta_{13} \sim 1\%$

# Super-K $\nu_\tau$ evidence

- Statistical search for  $\tau$  appearance in atmospheric  $\nu$ 
  - focus on hadronic decays of  $\tau$
  - construct discriminants based on visible energy, number of Cerenkov rings, sphericity etc
- Search for an excess of events over background in the upward-going  $\tau$ -enriched event sample
- Observe an excess consistent with  $\nu_\tau$  appearance: **2.4 sigma effect**



Systematic uncertainties for expected $\nu_\tau$		
	LH (%)	NN (%)
Super-K atmospheric $\nu$ oscillation analysis (23 error terms)	21.6	20.2
Tau related:		
Tau neutrino cross section	25.0	25.0
Tau lepton polarization	7.2	11.8
Tau neutrino selection efficiency	0.4	0.5
LH selection efficiency	4.8	–
NN selection efficiency	–	3.0
Total:	32.6	34.4
Systematic uncertainties for observed $\nu_\tau$		
	LH (%)	NN (%)
Super-K atmospheric $\nu$ oscillation analysis:		
Flux up/down ratio	6.5	5.7
Flux horizontal/vertical ratio	3.6	3.2
Flux K/ $\pi$ ratio	2.4	2.8
NC/CC ratio	4.3	3.8
Up/down asym. from energy calib.	1.4	< 0.1
Oscillation parameters:		
$0.0020 < \Delta m_{23}^2 < 0.0027 \text{ eV}^2$	+5.8	+8.8
	-2.6	-3.3
$0.93 < \sin^2 2\theta_{23} < 1.00$	-3.3	-3.9
$0.0 < \sin^2 2\theta_{13} < 0.15$	-20.6	-17.9
Total:	+10.7	+12.0
	-22.9	-20.3

**$\nu_\tau$  appearance signal**

$$138 \pm 48(\text{stat})_{-32}^{+15}(\text{sys})$$

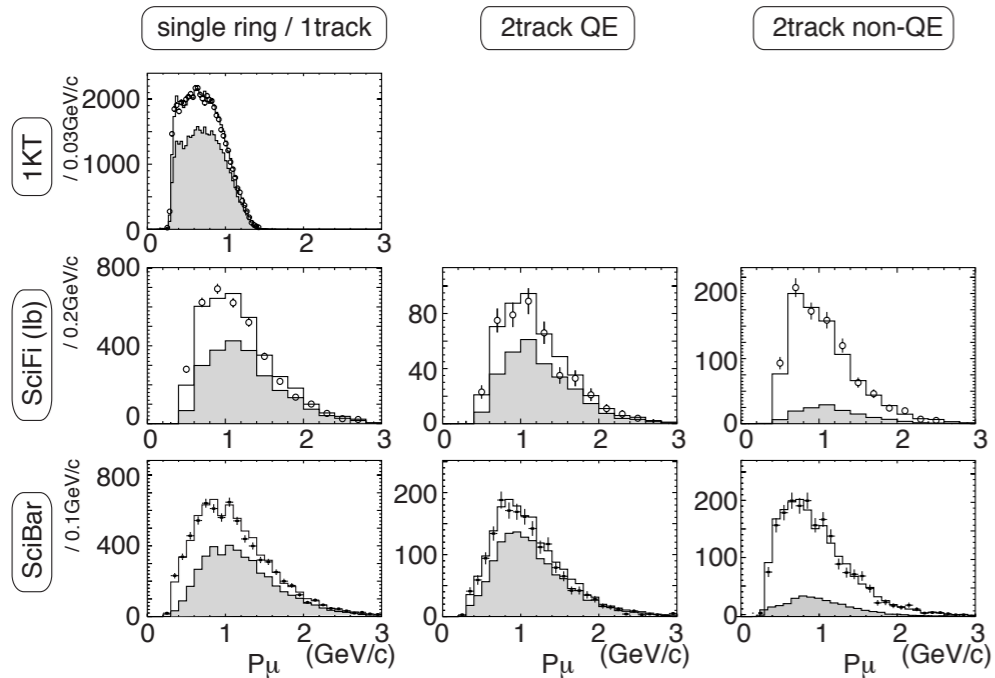
Expectation for  $\Delta m^2 = 2.4 \times 10^{-3} \text{ eV}^2$

$$78 \pm 26(\text{sys})$$

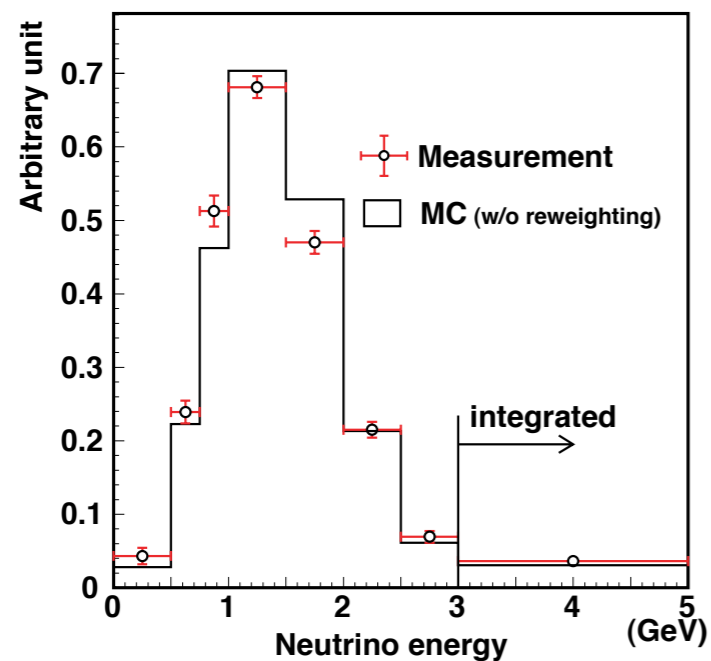
# K2K spectrum and systematic errors

## ND spectrum

### Fits to ND $p_\mu$ distributions

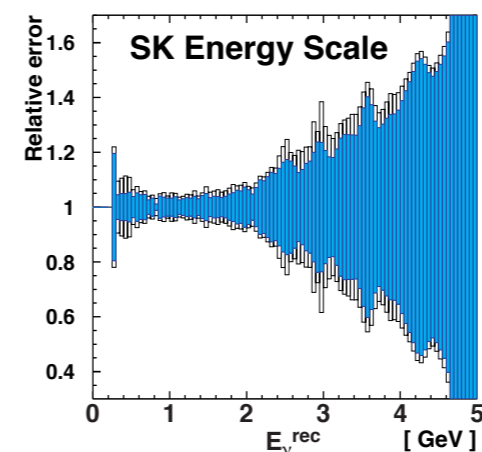
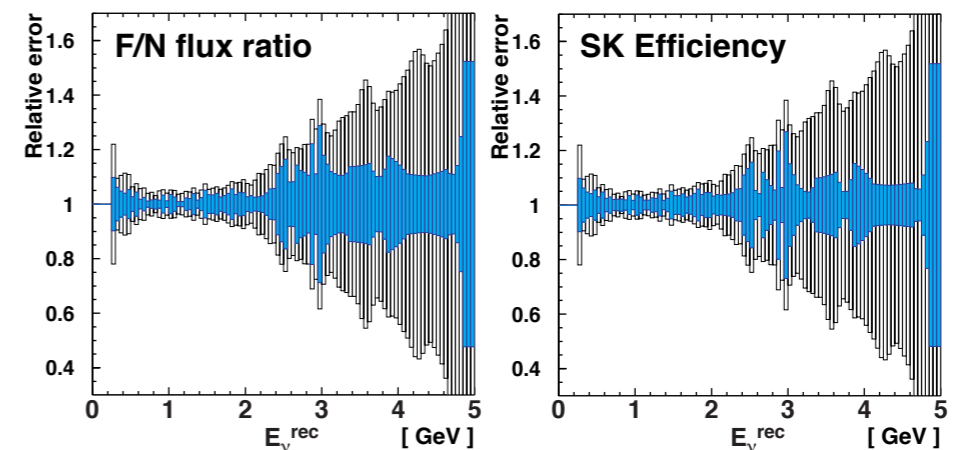
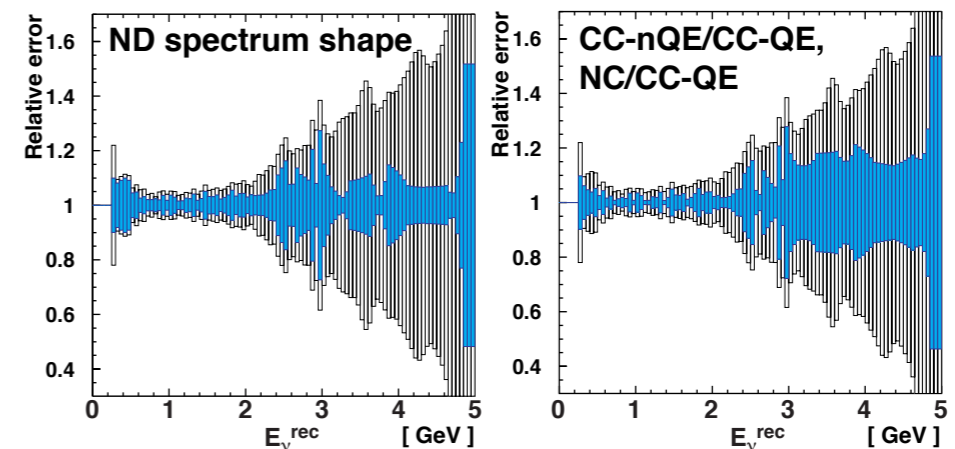


### ND energy spectrum after fit vs nominal MC

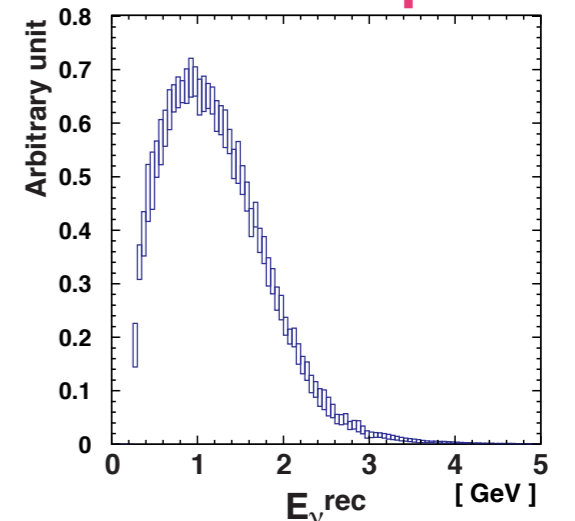


## FD spectrum

### Effect of systematic errors on FD spectrum



### Predicted FD spectrum



# $\theta_{13} > 0?$

## Hints of $\theta_{13} > 0$ from global neutrino data analysis

G.L. Fogli<sup>1,2</sup>, E. Lisi<sup>2</sup>, A. Marrone<sup>1,2</sup>, A. Palazzo<sup>3</sup>, and A.M. Rotunno<sup>1,2</sup>

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Nailing down the unknown neutrino mixing angle  $\theta_{13}$  is one of the most important goals in current lepton physics. In this context, we perform a global analysis of neutrino oscillation data, focusing on  $\theta_{13}$ , and including recent results [*Neutrino 2008, Proceedings of the XXIII International Conference on Neutrino Physics and Astrophysics, Christchurch, New Zealand, 2008* (unpublished)]. We discuss two converging hints of  $\theta_{13} > 0$ , each at the level of  $\sim 1\sigma$ : an older one coming from atmospheric neutrino data, and a newer one coming from the combination of solar and long-baseline reactor neutrino data. Their combination provides the global estimate

$$\sin^2 \theta_{13} = 0.016 \pm 0.010 (1\sigma),$$

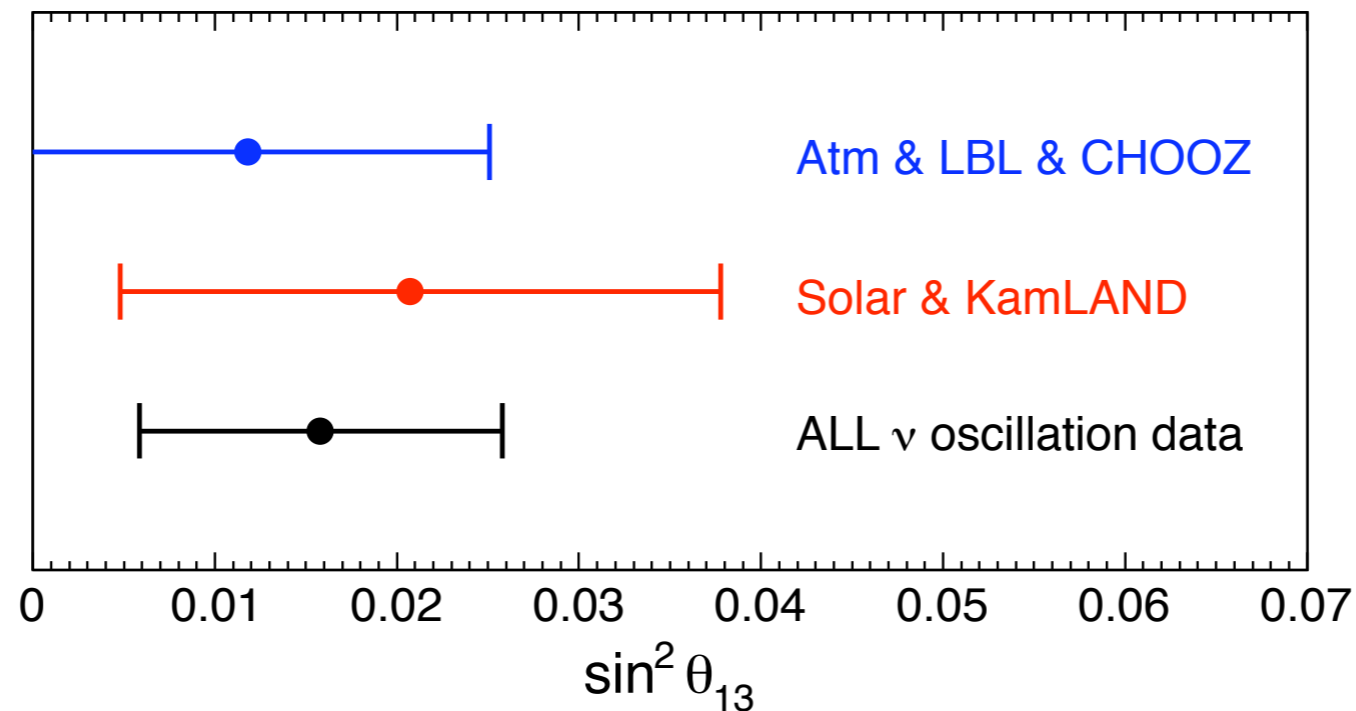


FIG. 2: Global  $\nu$  oscillation analysis: Allowed  $1\sigma$  ranges of  $\sin^2 \theta_{13}$  from different input data.